

# DEALING INSTRUCTIONS FORM

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost PanEurope dac.

## IMPORTANT NOTES

Please ensure that all sections are fully completed. In this form words in the singular shall include the plural and vice versa.

The minimum purchase or sale under the Complete Investment Portfolio or Portfolio Bond is £5,000, US \$7,500 or €7,500.

The minimum overall amount that can be left in any individual holding is £5,000, US \$7,500 or €7,500.

Dealing instructions will be carried out wherever possible, at the next available price immediately following receipt by Utmost PanEurope dac of these duly completed instructions.

If the bond has been assigned or placed under trust, these instructions cannot be implemented until the assignee/trustees has/have indicated consent by signing the reverse on this form.

Where the bond consists of more than one segment policy, the alterations will be made to all segment policies within the bond.

If you are selling an existing holding to make a purchase and the sales proceeds are insufficient, the shortfall will be taken from the Cash Account. If the sales proceeds exceed the purchase amount, the surplus will be placed in the Cash Account.

## HOW TO COMPLETE THIS FORM

This form should be **completed by the owner(s)** using **blue or black ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. **Do not use correction fluid.**

## HOW WE USE YOUR INFORMATION

Our Privacy Statement explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at [utmostinternational.com/privacy-statements](http://utmostinternational.com/privacy-statements) or you can request a copy from our Client Relations Team.

## WHAT TO DO WHEN YOU HAVE COMPLETED THIS FORM

Return the completed form, by post, to Utmost PanEurope dac.

**Utmost PanEurope dac, 16 Joyce Way, Park West Business Park, Dublin 12, Ireland.**

### A PLAN DETAILS

First Owner of Plan

Policy Number

|  |  |  |  |  |  |  |  |  |  |
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## A WEALTH *of* DIFFERENCE

[www.utmostinternational.com](http://www.utmostinternational.com)

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland (No 311420). Its registered office is Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland.

Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

UIC PR 00156 | 11/22

**B** DEALING INSTRUCTIONS

**PURCHASES**

Please specify the name of the holding to be purchased, along with the number of units to be purchased, or the amount of money to be invested, in this holding.

| PURCHASES                             |           |                              |                                   |             |
|---------------------------------------|-----------|------------------------------|-----------------------------------|-------------|
| Full name & description of Trust/Fund | Listed in | Income or Accumulation Units | (Please complete <b>ONE</b> only) |             |
|                                       |           |                              | Cash                              | No of Units |
| e.g. XYZ Inv. Mgr. Energy Fund        | London    | Accumulation                 | £7,500                            |             |
|                                       |           |                              |                                   |             |
|                                       |           |                              |                                   |             |
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|                                       |           |                              |                                   |             |

\*Delete as appropriate

Dividends will automatically be set to reinvest unless otherwise instructed.

If onshore or offshore investment is not stated we will assume onshore.

**SALES**

Please specify the name of the holding to be sold, along with the number of units to be sold, or the amount of money to be realised out of this holding.

| SALES                                 |           |                              |                                   |             |
|---------------------------------------|-----------|------------------------------|-----------------------------------|-------------|
| Full name & description of Trust/Fund | Listed in | Income or Accumulation Units | (Please complete <b>ONE</b> only) |             |
|                                       |           |                              | Cash                              | No of Units |
| e.g. XYZ Inv. Mgr. Energy Fund        | London    | Accumulation                 | £7,500                            |             |
|                                       |           |                              |                                   |             |
|                                       |           |                              |                                   |             |
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\*Delete as appropriate

**C** DECLARATION

Please see the notes overleaf before signing this form.

As Fund Adviser/Owner(s) of the above bond, I/we authorise you to conduct the above deal on behalf of the Owner(s) of the bond.

**Nominated Fund Adviser or Nominated Owner as appropriate**

**SIGNATURE**

**SIGNATURE**

Date

|   |   |   |   |   |   |   |   |
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**Signature(s) of Owner(s)**

**SIGNATURE**

**SIGNATURE**

Date

|   |   |   |   |   |   |   |   |
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**SIGNATURE**

**SIGNATURE**

Date

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If there are more than two Owners, the additional Owners should sign and date the reverse of this form.

If the arrangement between the Fund Adviser and Owner(s) of the bond is discretionary then only the Fund Adviser needs to sign this form. If the relationship is advisory only, then all the Owner(s) should sign and date this form.