

VERIFICATION OF CORPORATE OR TRUSTEE APPLICANT IDENTITY

The introducing Financial Adviser should complete this section.

Full name of First Applicant: _____

Full name of Second Applicant: _____

This section is required to verify the identity of a Company or a Trustee. All identification papers must be certified by the Financial Adviser or a Notary Public and include a photograph of each Applicant.

REQUIRED IN ALL CASES

Please tick alongside all items below and ensure that all necessary documents are included.

A certified copy of the Certificate of Incorporation ☐

A signed Directors' statement as to the nature of the company's business ☐

A certified copy of the passport for all verification subjects* ☐

A certified copy of a utility bill for all verification subjects* showing the name and current permanent residential address ☐

A copy of the Authorised Signatory list certified by the Company Secretary ☐

A copy of the Company Share Register and Directors' Register certified by the Company Secretary ☐

A copy of the Company Memorandum and Articles of Association certified by the Company Secretary ☐

Are there any holding companies or subsidiaries? Yes ☐ No ☐

If yes, please give details: _____

*Verification subjects include all applying Directors, Officers, Authorised Signatories and all Beneficial Owners.

Depending on the circumstances of the case, we may also require audited accounts and/or other forms of evidence to substantiate the capability to fund the investment.

ADDITIONAL REQUIREMENTS FOR TRUSTEES

Trust Deed (Trustees to advise in writing the names of all beneficiaries and relationship to the Settlor(s)) ☐

Identification for Settlor(s), Trustees, Beneficiaries and Protector(s) ☐

DECLARATION

- I confirm that I have seen the original documents or certified true copy and have checked the name and identity of the Applicant(s) as specified above.

DATA PROTECTION

All personal information supplied by you will be treated both in confidence and in accordance with The Data Protection (Bailiwick of Guernsey) Law, 2017 ("the Law"). The Personal Data provided to us, will only be transferred or disclosed by us to third parties where it is permissible under the Law and only in relation to the provision of Utmost Worldwide's services in the following circumstances:

- To other companies within our corporate group for the purpose of providing our products and services.
This information may include that required for business analysis and the prevention of fraud;
- To our service providers, meaning any agent, contractor or third-party service provider, including but not limited to our administration and claims outsourcing partners, underwriting, administration, claims handling, customer service, or re-insurers, that provide services to us in connection with the provision of our products and services to you, wherever they are located in the world;

By signing this form you are providing your confirmation that you have the relevant authority from the data subject to provide the information, including where necessary, sensitive personal data as defined under the Law.

Subject to the terms of our Data Privacy Notice, we will provide the Data Subject with a copy of the Personal Data that is held about them upon request and we will correct any Personal Data that we identify as being inaccurate or out of date. It is important that all Data Subjects keep us informed of any change in Personal Data that we hold and let us know immediately if they become aware of any errors or omissions in that data accordingly.

Reference can be made to our website for further information regarding the statutory rights relating to data protection. Our Data Protection Officer can also be contacted for further information at DPO@utmostworldwide.com

Signature of Financial Adviser:

Financial Adviser Name: _____

Date:

A WEALTH *of* DIFFERENCE

Registered Head Office address: Utmost Worldwide Limited, Utmost House, Hirzel Street, St Peter Port, Guernsey, Channel Islands GY1 4PA.

Utmost Wealth Solutions is the trading name used by Utmost Worldwide Limited and a number of Utmost companies.

Utmost Worldwide Limited is incorporated in Guernsey under Company Registration No. 27151 and regulated in Guernsey as a Licensed Insurer by the Guernsey Financial Services Commission under the Insurance Business (Bailiwick of Guernsey) Law, 2002 (as amended).

Websites may make reference to products that are not authorised or regulated and/or are not available for offering to planholders in certain jurisdictions.

T +44 (0) 1481 715 800
F +44 (0) 1481 712 424
E UWCustomerService@utmostworldwide.com
W utmostworldwide.com