

# REQUEST TO APPOINT A DISCRETIONARY ASSET MANAGER (ON A DISCRETIONARY BASIS)



FOR UTMOST INTERNATIONAL  
ISLE OF MAN LIMITED

## Tailored Life Plan

THIS DOCUMENT WAS LAST UPDATED IN FEBRUARY 2020.

Please confirm with your wealth adviser that this is the most up-to-date document for your product or servicing needs.

When completing this form, please use BLOCK CAPITALS and blue/black ink only and complete all relevant sections.

Please do not use correction fluid; any amendments should be crossed out and initialled by all policyholders. Any incomplete information will need to be confirmed in writing by you once Utmost International has received the form.

'Discretionary Asset Manager' and 'Dealing Desk' have the same meaning as that given in the Policy Terms and Conditions.

All references to Utmost International refer to Utmost International Isle of Man Limited.

Policy number (if known)

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## DETAILS OF INDIVIDUAL/INDIVIDUALS AS TRUSTEE POLICYHOLDER(S) (IF APPLICABLE)

If there are any further policyholders, please photocopy this policyholder section, attach the details with this form and tick here. (✓)

### Policyholder 1

### Policyholder 2 (if any)

Title (✓)

Mr Mrs Miss

Mr Mrs Miss

Other

Other

Full forename(s)

Surname

## DETAILS OF CORPORATE OR CORPORATE TRUSTEE POLICYHOLDER (IF APPLICABLE)

Corporate name

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DETAILS OF THE TRUST

The trust name is  
(for example 'the John Brown Will  
Trust')

POLICYHOLDER(S) ADDRESS (INDIVIDUAL, TRUSTEE AND CORPORATE)

Residential address  
(Where you are currently living.  
We are unable to accept PO  
Boxes and 'care of' addresses)

Postcode

Postcode

Registered office address  
(Corporate and Corporate  
Trustee Policyholders only.  
This information must  
be provided in full.  
We are unable to accept PO  
Boxes and 'care of' addresses)

Postcode

Daytime telephone number  
including dialling code

Email address

Fax number

DETAILS OF THE DISCRETIONARY ASSET MANAGER

**Please note the appointed person or firm below will have discretionary regulatory authority to carry out discretionary investment management activities based on an agreed investment mandate and does not need to agree changes to the assets with Utmost International before they submit asset dealing instructions.**

Name of Discretionary  
Asset Manager

Discretionary Asset Manager's  
firm name and registered  
address

Postcode
Country

Discretionary Asset Manager  
Regulatory Authority name &  
licence number

If the Discretionary Asset Manager is being appointed to manage the Assets held with an Authorised Custodian, please enter the name of the Authorised Custodian below.

DISCRETIONARY ASSET MANAGER CHARGE

The charge (inclusive of VAT or other applicable tax, if any) for this service is as follows:

% each year (to be taken quarterly) of the value of the Assets held by the Authorised Custodian shown above, or where there is no Authorised Custodian named, in respect of the Assets held by Utmost International's Default Custodian.

The Discretionary Asset Manager Charge detailed above is paid by Utmost International. This charge will be reflected as a Portfolio Fund Charge. This charge will be deducted in the Policy Currency and paid quarterly. Please refer to your Policy Terms and Conditions for further information on the Discretionary Asset Manager Charge.

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INVESTMENT MANDATE

If the investment objectives for the Portfolio Fund have already been provided on separate documentation then instead of completing 1, 2 and 3 below, you can choose to enclose these documents with this request form. If you wish to do this, then please confirm the name of this documentation below.

Name of documentation enclosed with this request form

1. Investment strategy

2. Risk criteria

3. Investment restrictions

DISCRETIONARY AUTHORITY DECLARATION - TO BE SIGNED BY THE POLICYHOLDER(S)

This declaration is made by each policyholder for individual policyholders, the trustees jointly for trustee policyholders, or the authorised signatory on behalf of a corporate or corporate trustee policyholder.

1. I/We hereby request that the Discretionary Asset Manager be appointed by Utmost International as Discretionary Asset Manager to manage the Assets linked to the relevant Portfolio Fund for my/our Policy.
2. I/We understand that the management of the relevant Portfolio Fund shall be at the discretion of the Discretionary Asset Manager.
3. I/We acknowledge that I/we shall be responsible for any costs arising associated with the appointment of the Discretionary Asset Manager and understand that the Discretionary Asset Manager Charge resulting from Utmost International paying the fee shown above to the Discretionary Asset Manager will be a charge against the Portfolio Fund as described in the Policy Terms and Conditions.
4. I/We have agreed with the Discretionary Asset Manager the investment objectives shown or referred to in the Investment Mandate section above for the Portfolio Fund for which I/we will be wholly responsible. Utmost International will not be responsible for any investment strategy or objectives pursued by the Discretionary Asset Manager or myself/ourselves but I/we understand that Utmost International does place restrictions on the types of investments that may be selected in accordance with the Policy Terms and Conditions.
5. I/We understand that I/we will be responsible for monitoring the Assets held to ensure they align with my/our investment strategy, risk criteria and investment restrictions and I/we will inform Utmost International if these change. I/We understand that Utmost International can accept no responsibility for the effects of any delay or failure to inform them of any such change.
6. I/We confirm that I/we have received a copy of the Policy Terms and Conditions and have read them before completing this request form.

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**SIGNATURE**

**Signatory 1**

Date

d	d	m	m	y	y	y	y
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Full name

Capacity (✓)

Individual                      Trustee

Third party/pledge interest

Director/Authorised Signatory

Other

**Signatory 2**

d	d	m	m	y	y	y	y
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Individual                      Trustee

Third party/pledge interest

Director/Authorised Signatory

Other

**SIGNATURE**

**Signatory 3**

Date

d	d	m	m	y	y	y	y
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Full name

Capacity (✓)

Individual                      Trustee

Third party/pledge interest

Director/Authorised Signatory

Other

**Signatory 4**

d	d	m	m	y	y	y	y
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Individual                      Trustee

Third party/pledge interest

Director/Authorised Signatory

Other

**This section should only be completed where a trust is being declared as part of the application process.**

In relation to the trust submitted with this application, we (the trustees to the trust) request appointment of the Discretionary Asset Manager appointed on a discretionary basis and acknowledge and confirm the contents of this document. In particular, the policyholder investment mandate and declarations above.

**SIGNATURE**

**Authorised signatory 1**

Date

d	d	m	m	y	y	y	y
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Full name

**Authorised signatory 2**

d	d	m	m	y	y	y	y
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**SIGNATURE**

**Authorised signatory 3**

Date

d	d	m	m	y	y	y	y
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Full name

**Authorised signatory 4**

d	d	m	m	y	y	y	y
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**Important note:** The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change.  
To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

## A WEALTH *of* DIFFERENCE

[www.utmmostinternational.com](http://www.utmmostinternational.com)

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost International Isle of Man Limited is registered in the Isle of Man under number 24916C.

Registered Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

Tel: +44 (0)1624 655 555 Fax: +44 (0)1624 611 715. Licensed by the Isle of Man Financial Services Authority.

Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

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