

SPANISH COLLECTIVE INVESTMENT BOND



ADDITIONAL REQUIREMENTS FOR THE TRUSTEE INVESTOR

USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

THIS DOCUMENT WAS LAST UPDATED IN JUNE 2022.

Please confirm with your Financial Adviser that this is the most up-to-date document for your servicing needs.

SECTION	PAGE	COMPLETED
A Details of the Trust	2	<input type="checkbox"/>
B Source of Funds - Activity which generated amount to be invested	3	<input type="checkbox"/>
C1 Verification of Customer Identity for Trustee Applicants - financial adviser/ suitable certifier to complete	4	<input type="checkbox"/>
C2 Verification of Customer Identity for Corporate Trustee Applicants - financial adviser/ suitable certifier to complete	5	<input type="checkbox"/>
D Additional Declarations Applicable to Trustee Applicants, including Corporate Trustee Applicants	6	<input type="checkbox"/>

Important note: The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change.
To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland. Registered No 311420.

Administration Centre for correspondence: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.
Tel: +353(0)1 479 3900 Fax: +353(0)1 475 1020.

Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

UPEQ PR 00003 | 10/22

Please sign and return your completed form alongside the application form to our administration centre at King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU.

All references to **Utmost PanEurope, we, us** and **our** in this application form mean Utmost PanEurope dac.

COMPLETING THE FORM

To complete this form:

- › use CAPITAL LETTERS only
- › use blue or black ink
- › specify choices as appropriate
- › complete all relevant sections;
- › do not use correction fluid; any amendments should be crossed out and initialled by the applicant.

Please ensure that you complete all relevant sections. We will contact you regarding any missing information which will need to be provided to us in writing, and this may delay your application.

TAXATION INFORMATION

Under Automatic Exchange of Information (AEOI) regulations Utmost PanEurope is required to obtain information about an applicant's tax status. To enable us to comply with these regulations, when submitting this application form you must also submit either the 'Tax declaration and self-certification for trusts (where the trustees are individuals)', or the 'Tax Declaration and Self-Certification for entity investors (for corporate and trustee investors)'.

Completion and submission of a self-certification is mandatory and failure to provide one could result in your Portfolio being reported under AEOI by default. If any of the information contained in the self-certification changes, please advise Utmost PanEurope promptly so we can determine if a new self-certification is required.

DECLARATION OF RESIDENCE OUTSIDE IRELAND

A 'Declaration of Residence outside Ireland' is required:

- › if you are resident in Guernsey or Gibraltar
- › for trust cases, where it should be signed by the settlor of the trust or the pension trustee company where appropriate.

A DETAILS OF THE TRUST

In this section, please give the following details: the name of the trust (for example, 'the Mary Jones Policy Trust dated 1 April 1990', 'the John Brown Will Trust') and the date the trust was created on.

The trust name is

The trust was created on

d	d	m	m	y	y	y	y
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Trust details

(Please explain the reason for the establishment of the trust, what type of trust it is and detail the source of the trust assets and country of origin where the trust is established.)

TRUST ASSETS

Please provide an indication of the total value of the trust's assets

Currency (✓)	£	US\$	€	Other (state currency)	<input type="text"/>
Amount	<input type="text"/>				
List of assets currently included in the trust	<input type="text"/>				

B SOURCE OF FUNDS - ACTIVITY WHICH GENERATED AMOUNT TO BE INVESTED

If you are making multiple pension transfers, please photocopy this page and attach the details with this application form and tick here

Transfer of pension

Pension providers name	<input type="text"/>
Pension account number	<input type="text"/>
Pension providers address	<input type="text"/>
	<input type="text"/>
	Postcode
Pension value	Currency <input type="text"/>
	Amount <input type="text"/>
Transfer date	<input type="text"/>

Transfer of pension

Pension providers name	<input type="text"/>
Pension account number	<input type="text"/>
Pension providers address	<input type="text"/>
	<input type="text"/>
	Postcode
Pension value	Currency <input type="text"/>
	Amount <input type="text"/>
Transfer date	<input type="text"/>

Please also complete the Source of Funds section in the main application, which captures: Accumulated earned income (including salary, bonus and fees), compensation, competition wins, gifts, inheritance, loans, maturing policy/policy claim/ replacement policy, sale of asset portfolio or investment, sale of interest in a company, sale of property and other.

C1 VERIFICATION OF CUSTOMER IDENTITY FOR TRUSTEE APPLICANTS - FINANCIAL ADVISER/SUITABLE CERTIFIER TO COMPLETE

All the following details should be provided for **all trustee business**:

Full name of the trust	
Nature and purpose (for example, discretionary)	
Country of establishment	
Names of all trustees	
Names of all settlors	
Names of all beneficiaries (or class of beneficiaries when they are not nominated)	

The following information would be required for Utmost PanEurope to review this application:

Enclosed (✓)

1. Copy of trust deed
2. Verification of the identity and address of all individual trustees
3. Verification of the identity and address of the protector/controller (where appropriate)
4. Evidence of the appointment of trustees (for example a certified copy of an extract from the trust deed, but not the whole deed) if the application is in respect of an existing trust.
5. The trustees should provide the name, current residential address and date of birth or death for all the parties to the trust, for example settlor/donor, protector/controller, beneficiaries. If the beneficiaries are not named you must provide the class of beneficiaries, for example grandchildren.

Please continue on a further sheet if necessary.

Capacity (✓)	Trustee	Settlor	Protector/Controller	Beneficiary	Signatory
Name				Date of birth	d d m m y y y y
Address					
	Postcode				

Capacity (✓)	Trustee	Settlor	Protector/Controller	Beneficiary	Signatory
Name				Date of birth	d d m m y y y y
Address					
	Postcode				

SPANISH COLLECTIVE INVESTMENT BOND

ADDITIONAL REQUIREMENTS FOR THE TRUSTEE INVESTOR

Capacity (✓)

	Trustee	Settlor	Protector/Controller	Beneficiary	Signatory
Name				Date of birth	<div style="display: flex; border: 1px solid black;"> <div style="border: 1px solid black; width: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> </div>
Address					
					Postcode

Capacity (✓)

	Trustee	Settlor	Protector/Controller	Beneficiary	Signatory
Name				Date of birth	<div style="display: flex; border: 1px solid black;"> <div style="border: 1px solid black; width: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; text-align: center;">y</div> </div>
Address					
					Postcode

You may also wish to provide identification documentation at the time of application for the named parties to the trust (for example, settlors and beneficiaries) in order to avoid delays on subsequent transactions where documentary evidence is required.

C2 VERIFICATION OF CUSTOMER IDENTITY FOR CORPORATE TRUSTEE APPLICANTS - FINANCIAL ADVISER/SUITABLE CERTIFIER TO COMPLETE

Identification of Trust company

Please note points 1-8 below only need to be completed once for each corporate trust company, and we will then keep these details on file.

Enclosed (✓)

1. Evidence of the registered office of the corporate trustee
2. A list of authorised signatories, specimen signatures and evidence their signing power(s)
3. A list of director's names on headed paper
4. ID and proof of residency (POR) of two directors, one of whom must be an executive director
5. Verification of identity of beneficial owners
6. A Certified copy of the Certificate of Incorporation
7. Whether the company is listed (a PLC) and if so where
8. A copy of the latest annual report and accounts.

D ADDITIONAL DECLARATIONS APPLICABLE TO TRUSTEE APPLICANTS, INCLUDING CORPORATE TRUSTEE APPLICANTS

References to the word 'I' in this declaration refer to:

1. each applicant named in this application where they are individuals or individuals acting as trustees in relation to a trust; or
2. each director/authorised signatory on behalf of the Company where the applicant is a company, including where the applicant is a trust company
 1. I confirm that the Company/Trust Company has not been, or is not in the process of being dissolved, struck off, wound up or terminated.
 2. I confirm the investment into the Spanish Collective Investment Bond is within the investment powers available to the trustees of the trust/Trust Company.
 3. I have read and understand the terms and conditions detailed in this application which apply to me/us, the trustee and my/our financial adviser.
 4. The contribution detailed in this application and any other contribution tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation.
 5. The application for a Utmost PanEurope account is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.
 6. I understand that Utmost PanEurope does not provide tax or legal advice and I declare that it is my responsibility to obtain professional tax and legal advice in relation to the implications of trusts from a Spanish perspective.
 7. I declare to the best of my knowledge and belief that the statements made in this application, and any related documents, are true and complete. I have not concealed a material fact. I agree to provide Utmost PanEurope with any further information in respect of this application on request.
 8. I have read and understood all of the declarations in the main application form, including the data privacy statement.

SIGNATURE

Trustee 1/Corporate applicant

Name

Date

d	d	m	m	y	y	y	y
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Trustee 2/Corporate applicant

d	d	m	m	y	y	y	y
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SIGNATURE

Trustee 3/Corporate applicant

Name

Date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Trustee 4/Corporate applicant

d	d	m	m	y	y	y	y
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