SELECT BOND

APPLICATION FORM - ADDITIONAL PREMIUM

utmost WEALTH SOLUTIONS

For use with the International Select Bond and the European Select Bond

USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

IMPORTANT INFORMATION

All references to Utmost International, we, us and our in this application form mean Utmost International Isle of Man Limited and Utmost PanEurope dac.

Before completing this top up application form, please make sure you have read through your **existing** relevant product literature including:

› Key Features of your International Select Bond or European Select Bond

› Details of your International Select Bond - Life (refISBLv1), International Select Bond - Redemption (refISBRv1) or European Select Bond Policy Terms

› Explaining your Portfolio bond Fees and charges

› International Select Bond or European Select Bond product brochure

If you are completing a hard copy of this form, please use blue or black ink and BLOCK CAPITALS. If you make a mistake cross it out, put in the correct words and sign your initials next to the correction. Do not use correction fluid.

This document contains links to relevant documents, websites and email addresses. Click on the **bold gold** words to access these links.

WHAT DO YOU DO WHEN YOU HAVE COMPLETED THIS FORM

Once completed, arrange for your financial adviser to return the completed forms and supporting documentation that are digitally signed and/or scanned by email to us at: **IOMnewbusinessheritage@utmostgroup.com**

Alternatively if completing a hard copy ask your financial adviser to return by post to Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

ADDITIONAL PAGES/PHOTOCOPIED PAGES

If additional pages are added, each separate page must be initialled by all policyholders.

YOUR RIGHT TO CANCEL

You have the right to cancel your International Select Bond or European Select Bond additional investment and obtain a refund of the premium paid, less any applicable charges* and any fall in the value of the assets linked to your International Select Bond or European Select Bond. You have 30 days from the date that you receive a letter accepting the additional investment to let us know you want to cancel. Further information on how to cancel can be found in the International Select Bond or European Select Bond Terms & Conditions.

*Where relevant, applicable charges include non-refundable fund charges, fees we have paid to your financial adviser on your behalf and bank charges.

FINANCIAL ADVICE

We only sell our products through financial advisers as we believe it is important that you receive independent financial advice. As it is you who chooses your financial adviser, you need to bear in mind that they are acting on your behalf and not on behalf of Utmost International. You are responsible for their actions or omissions.

A POLICYHOLDER DETAILS

A1 INDIVIDUAL POLICYHOLDERS

	Policyholder 1	Policyholder 2
1 Role		
2 Title	☐ Mr ☐ Mrs ☐ Miss ☐ Other ____	☐ Mr ☐ Mrs ☐ Miss ☐ Other ____
3 First Name(s)		
4 Surname		
5 Do you have a maiden name, previous name or alias?	☐ Yes ☐ No	☐ Yes ☐ No
If "Yes", please provide the other name(s)		
6 Date of birth	d d m m y y y y	d d m m y y y y
7 Country of birth		
8 Nationality/dual nationality, if applicable		
9 Country of tax residence		
10 National Insurance (NI) number		
11 US Tax Identification number (TIN)		
12 Other tax reference number (s)		

If there are more than two parties to the application please photocopy this page, complete and attach it securely to the form.

Only complete question 10 if you are a UK tax resident.

Only complete question 11 if you are a US tax resident.

13 Permanent residential address

Postcode / Country	Postcode / Country

14 Is correspondence address the same as permanent residential address

☐ Yes ☐ No ☐ Yes ☐ No

If "No" please provide correspondence address

Postcode / Country	Postcode / Country

15 Contact telephone number

16 Email address

Your telephone number is used for fraud prevention controls.

A2 CORPORATE/TRUSTEE POLICYHOLDERS

Existing bond number

Company type ☐ Private company ☐ Public company ☐ Other

Corporate name

Contact person

Country of registration

Date of incorporation d d m m y y y y

Registered office address

Country / Postcode

(This information must be provided in full. We are unable to accept PO Boxes and 'care of' addresses)

Correspondence address

Country / Postcode

Utmost International accepts no responsibility for the consequences of sending documentation to this correspondence address, or to an address notified subsequently. Utmost International reserves the right to send correspondence to the Registered office address where regulations require.

Telephone number including area code (daytime)

E-mail address

Corporate website address

Please state the company's main business (for example manufacturing or trading company)

B POLITICALLY EXPOSED PERSONS' DETAILS

We are required to identify persons associated with this application who could be classed as a Politically Exposed Person ("PEP"). A PEP is a term used to describe someone who is currently, or has previously been, entrusted with prominent public functions or responsibilities. For example: a Head of State, a holder of a senior political or government post, a senior member of the Judiciary or the Military, a senior employee of a State Owned Corporation, or a board member of a Central Bank. Immediate family members or close associates of a PEP should be considered a PEP in their own right.

Is there anyone associated with this application who could be considered a PEP? ☐ Yes ☐ No

If "Yes" provide details

C POLICY AND PREMIUM DETAILS

C1 POLICY DETAILS

Existing bond number

Nature and purpose of investment ☐ Succession Planning ☐ Retirement Planning

☐ Other

C2 PREMIUM DETAILS

NOTE

Minimum additional investment is £500, US$750 or €750

Currency ☐ £ ☐ US$ ☐ €

Amount to be invested

Payment method ☐ Bank Transfer ☐ Cheque

C3 BANK DETAILS OF WHERE FUNDS ARE BEING REMITTED FROM

The premium payment must come from an account held in the name of the policyholder(s) and **NOT** a third party.

If multiple payments are being received, please complete this section for each payment.

BANK DETAILS

Payment currency ☐ GBP ☐ USD ☐ EUR

Payment amount

Name as stated on bank account

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Sort code
(If applicable)

Account number/ IBAN

SWIFT or BIC code
(If applicable)

Bank name

Bank's full address

Country | Postcode

How long have you held this account years months

FURTHER PAYMENTS

Payment currency GBP USD EUR

Payment amount

Name as stated on bank account

Sort code
(If applicable)

Account number/ IBAN

SWIFT or BIC code
(If applicable)

Bank name

Bank's full address

Country | Postcode

How long have you held this account years months

D EMPLOYMENT AND SOURCE OF FUNDS

D1 EMPLOYMENT DETAILS

Role	**Policyholder 1**	**Policyholder 2**
1 Employment status	☐ Employed ☐ Self Employed ☐ Retired ☐ Unemployed ☐ Homemaker	☐ Employed ☐ Self Employed ☐ Retired ☐ Unemployed ☐ Homemaker
2 Date of retirement or unemployment or became homemaker	d d m m y y y y	d d m m y y y y
3 Occupation		
4 Last year's annual income/salary	Currency Amount	Currency Amount
5 Do you receive income other than from your occupation?	☐ Yes ☐ No	☐ Yes ☐ No
If "Yes" please provide details including amount and source		
6 Employer/business name		
7 Employer/business Address	Postcode Country	Postcode Country

Questions 2 and 3, If Retired, Unemployed or a Homemaker please provide your former occupation including role e.g. Director accountancy and include date of retirement, unemployment or becoming a homemaker.

Question 4, Income details are required for Know Your Client and AML requirements and failure to complete will result in a delay in processing the application.

D2 ACTIVITY WHICH GENERATED AMOUNT TO BE INVESTED

Utmost International is required to record details of how the funds being invested have been accumulated.
Where your funds come from more than one source, you should complete all relevant sections to give us the full picture of its origin.

Documentary evidence requirements:

All investments are assessed on a case-by-case basis. Independently certified documentary evidence of source of funds may be required in order to proceed with the application.

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1. Savings from employment income (including salary, bonus and fees)

Total amount received Currency Amount

Number of years income accumulated years

Institution holding the funds

Name of account where earned income accumulated

Account number

Sort code - -

Length of time funds have been in this account years months

Main occupation during the accumulation period (e.g. Director)

Industry/Business sector

Main employer's name

Employer's address

Postcode Country

Date employment commenced d d m m y y y y

Average annual salary over the accumulation period Currency Amount

Average annual bonus over the accumulation period Currency Amount

2. Compensation payment

Name of organisation or individual that paid compensation

Reason for compensation

Country compensation was awarded

Total amount received Currency Amount

Date received d d m m y y y y

☐ **3. Competition win**

Name of competition organiser

Description of competition

Country competition was held in

Total amount won — Currency — Amount

Date of win — d d m m y y y y

☐ **4. Gift**

Full name of person who gave the gift

Date of birth — d d m m y y y y

Nationality

Address

Postcode — Country

Relationship to applicant

Reason for gift

Description of gift

Total amount received — Currency — Amount

Date received — d d m m y y y y

Details of the activity that generated the amount received

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☐ **5. Inheritance**

Deceased's full name	
Relationship to applicant	
Date of death	d d m m y y y y
Details of the inheritance Tell us about the assets forming the inheritance (eg. cash, property, shares etc.)	
Amount received	Currency Amount
Date received	d d m m y y y y
Details of the activity that generated the amount received	
Country inheritance was accumulated in	
Solicitor/lawyer's (who dealt with the estate) name	
Solicitor/lawyer's firm name	
Solicitor/lawyer's firm address	Postcode Country

☐ **6. Loan**

Name of loan provider	
Address of loan provider	Postcode Country
Total amount borrowed	Currency Amount
Date of loan	d d m m y y y y
Purpose of loan	

☐ **7. Maturing policy/policy claim/replacement policy/pension**

If the source of funds is the sale of an investment rather than maturity, please complete 8 instead.

Name of policy provider

Address of policy provider

Postcode | Country

Policyholder's full name

Length of time policy held ☐☐ years ☐☐ months

Amount of the original investment

Details of the activity that generated the original investment

Reason for policy claim or replacement policy (if applicable)

Total amount received Currency Amount

Surrender penalty (if applicable)

Date received d d m m y y y y

☐ **8. Sale of asset portfolio or investment**

If the source of funds is a maturing investment rather than one that you are choosing to sell, please complete 7 instead.

Description of asset portfolio or investment (e.g. government bonds, equities etc.)

Name of the company that held it

Registered address of company

Postcode | Country

Account name

Length of time asset portfolio or investment held ☐☐ years ☐☐ months

Amount of the original investment

Details of the activity that generated the original investment

Date of sale d d m m y y y y

Net amount received Currency Amount

☐ **9. Company sale or sale of interest in company**

Company name	
Industry/business sector	
Address of company	
	Postcode / Country
Your connection with the company For example: owner, partner or shareholder	
Date connection with the company began	d d m m y y y y
Average year dividend/income from the company over the previous three years	
Date of sale	d d m m y y y y
Sale amount	Currency ___ Amount ___
Net amount received i.e. the amount you have received after any deductions such as fees and taxes.	Currency ___ Amount ___

☐ **10. Property sale**

If you are not the beneficial owner of this property, please select a different option for source of funds that is more appropriate.

Address of property sold (including postcode if applicable)	
	Postcode / Country
Length of time property owned	___ years ___ months
Source of funds for the original property purchase	
Was the property your main residence?	☐ Yes ☐ No
If "Yes" was an alternative main residence purchased?	☐ Yes ☐ No
If "Yes" please confirm Purchase price	
Address of new residence	
	Postcode / Country
Date of sale	d d m m y y y y
Total sale amount	Currency ___ Amount ___
Net amount applicant received from sale	Currency ___ Amount ___

☐ **11. Other income sources**

Description of the activity that generated the funds	
Role in relation to above activities	
Period over which the activities occurred	
Country in which the activity occurred	
Date received	years months
Proceeds received from the activity	Currency Amount

E INVESTMENT OPTIONS

NOTE

If you previously selected either the **WEALTH**SELECT investment range or the **SELFS**ELECT investment range, you can find more information on these investment ranges in the International Select Bond product brochure and Fund Information document.

You can only choose assets which are available through the investment range which applies to your Policy. It is not possible to mix assets.

If a phased investment is already in place, a new phased investment cannot be selected until the current phased investment comes to an end.

Please be aware that you cannot retain a link to an existing model portfolio, which you have arranged with your financial adviser, if you have chosen the phased investment option. Please select new assets as required.

You have three options when selecting the assets you wish to hold in your selected investment range. Please select one of the options below.

I want to:

- Phase my premium into selected assets* ☐ (please complete section A below)
- Choose assets without phasing my investment ☐ (please complete section B on the next page)
- Choose assets where a portion of my premium is phased into my selected assets and the remainder of the premium into my selected assets without phasing ☐ (please complete sections A below and B on the next page)

*this can only be chosen if an existing phased investment is not in place.

Please complete the relevant sections below.

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A) PHASED INVESTMENT OPTION

NOTE

You can phase all or part of your premium. Where the expected premium amount and the actual premium received differ, the amount to phase will be calculated as a percentage of the amount received based on the instructions provided to us in this form. Please be aware that the phased investment option cannot co-exist with a model portfolio which you have arranged with your financial adviser. If you are invested in a model portfolio, this will be de-linked and you will need to select alternative assets.

This option is not available if an existing phased investment option is already present.

Amount to phase (this will be in the policy currency) ☐

Phasing will occur monthly; please select the period over which the phasing will occur.

Phased investment term ☐ 3 months ☐ 6 months ☐ 12 months ☐ 18 months ☐ 24 months

Please note the first phased investment will occur on the additional premium issue date.

Please select the assets for your phased investment. Please note that only assets from your selected investment range (**WEALTHSELECT** or **SELFSELECT**) can be used.

Feeder fund (Please note, the feeder fund cannot be changed once selected).

ASSET IDENTIFIER ISIN	ASSET NAME – IN FULL	BASE CURRENCY OF SECURITY/FUND

Target fund(s)

ASSET IDENTIFIER ISIN	ASSET NAME - IN FULL	BASE CURRENCY OF SECURITY/ FUND	INVESTMENT % (WHOLE NUMBERS)
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
		Total of phased investment	%

B) NON-PHASED INVESTMENTS OPTION

NOTE

Complete this section with your asset choice if you have not selected phased investment or you have selected a partial phased investment.

If you currently have a model portoflio set up by your financial adviser and you are choosing assets outside of that existing model portfolio, that model portfolio will be cancelled. If you choose to retain the link to the model portfolio you are currently invested in, your financial adviser will need to re-attach the model portfolio.

ASSET IDENTIFIER ISIN	ASSET NAME - IN FULL	BASE CURRENCY OF SECURITY/ FUND	INVESTMENT % (WHOLE NUMBERS)
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
			%
		Total	%

In case we need to clarify the asset choice details, please provide us with the following contact details:

Contact name	
Contact telephone number including country code	
Alternate contact telephone number including country code	
E-mail address	

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F UTMOST INTERNATIONAL CHARGES AND ADVISER FEES

FINANCIAL ADVISER FEES

Initial fee to be paid to your adviser and facilitated by Utmost International ☐ Yes ☐ No

Monetary amount [ ] or [%]

You would like us to pay this fee: (please select one below)

☐ **before investment** in your International Select Bond or European Select Bond, meaning that we will deduct the fee from your additional premium payment before the remainder is invested in your International Select Bond or European Select Bond.

☐ **after investment** in your International Select Bond or European Select Bond, meaning that we will deduct this fee by making a one-off withdrawal (part surrender) of this amount from your policy after your additional premium has been invested.

NOTE

Please note there may be tax implications. We suggest that you discuss the above with your financial adviser before selecting any option.

G DECLARATION AND APPLICATION

Please enter the country in which this application form was completed. [ ]

SUPPORTING DOCUMENTATION

We may require further documentary evidence in addition to the documents already requested to support your application, particularly in relation to your country of residence and investment amount, before we can process the application.

TAXATION INFORMATION

Under Automatic Exchange of Information (AEOI) regulations, Utmost International is required to obtain information about an applicant's tax status. To enable us to comply with these regulations, if your taxation details have changed since you took out your International Select Bond or European Select Bond, you should submit an updated **'Taxation declaration and self-certification – for individual investors'** form. Completion and submission of a self-certification is mandatory and failure to provide one could result in your Portfolio Bond being reported under AEOI by default. If any of the information contained in the self-certification changes please advise Utmost International promptly so we can determine if a new self-certification is required.

DATA PRIVACY STATEMENT

I understand that Utmost Services Limited, Utmost International Business Services Limited, Utmost Services Ireland Limited, Utmost Administration Limited, Utmost International Isle of Man Limited, Utmost International Trustee Solutions Limited and / or Utmost PanEurope dac (Utmost International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

› my contact details

› information to verify my identity

› information about my family, lifestyle, health and finances

› my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside the Utmost group of companies for the general purpose of establishing, maintaining and servicing an insurance policy. The sharing of my personal data may be used for any or all of the following purposes, to:

› check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;

› allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;

› enable an appointed financial adviser or fund adviser to assist in the provision of services to the policyholder;

› compile statistical analysis or market research, where information is not specific to the individual;

› comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standards;

› enable an appointed discretionary asset manager or custodian to meet their legal or regulatory requirements, where that discretionary asset manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a discretionary asset manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Utmost International would apply.

I may ask Utmost International to:

› provide a copy of personal information held about me and an explanation of how this data is processed;

› update or correct my personal information;

› delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);

› restrict processing of my personal information where appropriate. I may also object to Utmost International processing my data but understand that this may have consequences in Utmost International being able to continue servicing my policy.

I have been made aware that a full explanation of how Utmost International collects, uses and shares my personal information can be found at **www.utmostinternational.com/privacy-statements/**

If I have any questions about data privacy I can address these to:

For Utmost PanEurope dac: The Data Protection Officer, Utmost PanEurope dac, Navan Business Park, Athlumney, Co Meath, C15 CCW8, Ireland.

Or email: **dataprotection@utmost.ie**

For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited: The Data Protection Officer, Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

Or email: **IOM.DPO@Utmostinternational.com**

If I have a complaint about the processing of my personal information and Utmost International is unable to provide a satisfactory response I may contact the appropriate regulator:

For Utmost PanEurope dac: The Ireland Data Protection Commissioner, Canal House, Station Road, Portarlington, R32 AP23 Co. Laois, Ireland.

For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited: The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, British Isles, IM1 1ET.

As the Isle of Man is not part of the United Kingdom, our Appointed Representative in the United Kingdom is an establishment of Utmost Services Limited based at Saddlers House, 5th Floor, 44 Gutter Lane, London, EC2V 6BR.

I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose personal information has been provided by me to Utmost International either in this application or within accompanying documentation.

WARNING

Please read the declarations carefully. Any omission or misstatement of a material fact in this application could affect the payment of benefits under the International Select Bond or European Select Bond – Life or International Select Bond or European Select Bond – Redemption. A material fact is one which is likely to influence the assessment and acceptance of the application. If you are uncertain whether a fact is material, you should give full details so that we can assess its possible significance. If you become aware of such a fact while we are considering your application, you should notify us immediately. You should satisfy yourself that you are able to effect the proposed contract under any taxation, exchange control or insurance law to which you may be subject. You are responsible for any tax reporting and liability in relation to your Policy required by the relevant tax authorities. Your country of residence could vary how your Policy is taxed, and you should seek professional tax advice before moving to a new country.

DECLARATION BY EACH POLICYHOLDER

A copy of this completed application form is available on request. Utmost International Isle of Man Limited will be referred to as Utmost International throughout this declaration.

1. I request that the Lump Sum in Section B and referred to as a premium is invested as I have instructed as an additional premium for the bond number detailed in section A of this application form.
2. I declare to the best of my knowledge and belief that the statements made in this application, and any related documents, are true and complete. I have not concealed a material fact. I agree to provide Utmost International with any further information in respect of this application on request.
3. I confirm that Utmost International has not provided any investment advice and I, the discretionary asset manager or my fund adviser are responsible for the selection of assets to be linked to my Portfolio Fund. I acknowledge that Utmost International and the Discretionary Asset Manager are not responsible for the investment performance of any asset. The provider of the underlying asset is responsible for the management of any assets. Utmost International is responsible for the management of Internal Funds which are invested in accordance with the criteria as published in the relevant fund factsheet and carrying out a treasury function in respect of the Transaction Account and Utmost International does not recommend any asset as a suitable investment.
4. I confirm that I am not a resident of the United States of America or any of its territories. If I become resident in the United States of America or any of its territories, Utmost International may not be able to accept any further premiums until after I cease to be a resident in the United States of America or any of its territories.
5. I confirm that I have read my copy of the relevant Key Features document before signing this top up application and understand the terms that will apply to this top up. I confirm I have also been informed of any changes to the charges that will apply to this top up.
6. I authorise and request Utmost International to effect the facilitation of any initial fee to be taken before my investment is paid into the Policy, if applicable to my application, and I confirm that such payments will discharge Utmost International from all liabilities and claims arising from the payment of that initial fee. I understand that this authority supersedes any authority previously given.
7. I authorise and request Utmost International to effect the Part Surrender transactions on my policy to facilitate advice fees I have agreed to pay to my financial adviser in accordance with the details shown in Section F, and I confirm that such payments will discharge Utmost International from all liabilities and claims arising from those payments of Part Surrenders. I understand that this authority supersedes any authority previously given.
8. I understand that in cases where the asset(s) selected is/are not redeemable for a certain period of time, Utmost International may not be able to return that part of my payment until the end of that period. The description of the funds and/or assets chosen will give details if this applies. Investments may be made immediately into non daily dealing funds with the understanding that in the event of cancellation or requiring early access that:
    a. I may not get my money back immediately and payment may be delayed for some time;
    b. the institution may impose penalties and therefore I may get back less than I invested, and/or
    c. the only way in which to receive value may be through a transfer of the ownership of that asset into the name of the Policyholder.
9. I consent to my personal data being used in accordance with the Data Privacy Statement.
10. I am resident for taxation only in the country or countries shown in section A and am not resident for taxation elsewhere.
11. I am a national/citizen of the country (or countries in the case of dual nationality/citizenship) detailed in this application and am not a national or citizen of any other country.

12. The premium detailed in this application and any other premium tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation.
13. The application for an additional premium is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.
14. I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose Personal Data has been provided to Utmost International either in this application or within accompanying documentation.

This application must either be completed by the policyholder(s) or financial adviser if instructed to do so.

Did you complete this application yourself? ☐ ☐

If NO, did your financial adviser complete this on your behalf? ☐ ☐

By signing this application you confirm that you have read through the declarations on pages 12 and 13, and, if a financial adviser has completed the application form on your behalf, that all information provided in it is correct.

Trustee applicants I confirm that an investment into an Utmost bond is within the investment powers available to the trustee(s) under the trust.

Corporate applicants I confirm that investment into the Utmost bond is within the investment powers available to the director(s) under the constitutional documentation of the company. I confirm that the Company is not in the process of being dissolved, struck off, wound up or terminated.

	Policyholder 1 / trustee1	**Policyholder 2 / trustee 2**
SIGNATURE		
Date	d d m m y y y y	d d m m y y y y

	Policyholder 3 / trustee 3	**Policyholder 4 / trustee 4**
SIGNATURE		
Date	d d m m y y y y	d d m m y y y y

Copies of the Policy Terms and/or this completed application form are available upon request.

	Authorised Signatory for Corporate or Corporate Trustee Applicant
SIGNATURE	
Capacity	
Date	d d m m y y y y
SIGNATURE	
Capacity	
Date	d d m m y y y y

H FINANCIAL ADVISER DETAILS AND DECLARATION

H1 FINANCIAL ADVISER DETAILS

Utmost International account number	
Adviser company name	
Name of financial adviser	
Adviser company address	
	Postcode / Country
Telephone number	
E-mail address	
Regulatory body name	Registration number with regulatory body

This section must be completed in all instances

H2 DECLARATION BY THE FINANCIAL ADVISER/SUITABLE CERTIFIER

PART 1 – WHO HAS MET THE CLIENT

Please complete one of the following:

☐ I have met the client(s) in person

☐ I have met the client(s) face-to-face via secure live video stream

☐ I have not met the client(s) face-to-face

PART 2 – HOW HAS THE CUSTOMER DUE DILIGENCE (CDD) BEEN OBTAINED

Please confirm which items of CDD have been provided and how they were obtained by ticking the relevant boxes:

Please note who has met the client face to face' also includes via live video stream.

	OBTAINED BY THE ADVISER WHO HAS MET THE CLIENT FACE TO FACE	OBTAINED VIA A THIRD PARTY WHO HAS MET THE CLIENT FACE TO FACE	PROVIDED DIRECT TO UTMOST INT. BY THE CLIENT
☐ **Valid identity document(s)**	☐	☐	☐
☐ **Valid proof of residential address**	☐	☐	☐

How to certify documents is outlined in the document **Anti Money Laundering and Document Certification Requirements**

PART 3 – THIRD PARTY DETAILS

If you have confirmed in either Part 1 that you have not met the client face to face or in Part 2 that CDD has been obtained via a third party who has met the client face to face, please provide the following details:

	THIRD PARTY DETAILS 1	THIRD PARTY DETAILS 2
Name of individual(s) that obtained the CDD or met the client face to face		
Date of Birth		
Residential Address		
Registered Company Name		
Registered Company Address		

Where there is more than two third parties involved in obtaining CDD, please contact your Utmost International Sales Consultant for further guidance.

I declare that:

› I have taken reasonable steps to ensure that the funding is legitimate and in line with the client's circumstances.

› To the best of my knowledge, all the information provided with this form and application is true and complete and that I will provide further information if required.

› I have not made any changes to the application form after the client has signed it

› I have verified the contents of the original documents where copies have been enclosed and that they are true copies of the original.

By providing certification for Customer Due Diligence documents where these have been viewed and verified via secure live video stream, you confirm:

1. That the client held their ID beside their face to confirm the document as a true likeness.
2. The other elements of the Customer Due Diligence (CDD) were held up by the clients so I could verify they were a true likeness to those in my possession.
3. That I obtained evidence by retaining a recording of the video meeting or by taking a picture of my client with their CDD for record keeping purposes and to validate my certification. I will provide this to Utmost International upon request.

I confirm that I gave advice concerning this investment to the applicant(s) in (name of country)

on d d m m y y y y

Regulatory body authorisation number (if applicable)

Regulator name

Utmost International financial adviser account number

Financial Adviser

SIGNATURE

Full name of financial adviser

Date d d m m y y y y

I BANK DETAILS AND PAYMENT INFORMATION

BANKING DETAILS

STERLING PAYMENTS (RELEVANT TO INTERNATIONAL SELECT BOND ONLY)

From UK banks (CHAPS payments)		**From non-UK banks (SWIFT payments)**	
Sort code:	55-91-00	SWIFT code:	RBOSIMD2XXX
Bank:	Isle of Man Bank, East Region, 2 Athol Street, Douglas, Isle of Man	Bank:	Isle of Man Bank, East Region, 2 Athol Street, Douglas, Isle of Man
Beneficiary:	Utmost International Isle of Man Limited	Beneficiary:	Utmost International Isle of Man Limited
Account number:	10939946	IBAN:	GB94NWBK55910010939946
Cheque:	Make payable to **Utmost International Isle of Man**		

OTHER CURRENCY PAYMENTS (SWIFT PAYMENTS)

Payments should be made to Utmost International Isle of Man Limited's accounts held with National Westminster Bank, London.

SWIFT code:	NWBKGB2LXXX		
Bank:	National Westminster Bank, London		
1. US dollar	IBAN – GB05NWBK60730167544800	2. Euro	IBAN – GB63NWBK60720267545858

STERLING PAYMENTS (RELEVANT TO EUROPEAN SELECT BOND ONLY)

SWIFT code:	NWBKGB2LXXX
Sort code:	56-00-68
Bank:	National Westminster Bank, Southampton
Beneficiary:	Utmost PanEurope dac
IBAN:	GB59NWBK56006837519611

OTHER CURRENCY PAYMENTS (SWIFT PAYMENTS)

Payments should be made to Utmost PanEurope dac's accounts held with National Westminster Bank, London.

SWIFT code:	NWBKGB2LXXX
Bank:	National Westminster Bank, Southampton
IBAN:	(select as applicable, see below)
1. US dollar IBAN	GB36NWBK60730140501418
2. Euro IBAN	GB26NWBK60720240501469

RESET

A WEALTH *of* DIFFERENCE

ULQ PR 13751 | 08/24