

FOREX/FORWARD REQUEST FORM

UNITED KINGDOM

From:	<input type="text"/>	Date:	<input type="text"/>
Company:	<input type="text"/>	Phone number or e-mail:	<input type="text"/>
Reference PCP/ILF:	<input type="text"/>	To:	Utmost Luxembourg S.A. Att: Dealing Team Fax number: + 352 34 08 90 153 E-mail: DealingTeam@utmostgroup.lu

DETAILS REQUIRED FROM PARTNER

DEAL NUMBER	FOREX OR FX FORWARD	SELL AMOUNT	CURRENCY	BUY AMOUNT	CURRENCY	MATURITY DATE FOR FORWARD ONLY
ie	Forex	10,000.00	EUR		X USD	
ie	Fx Forward		X GBP	20,000.00	EUR	6 months to maturity
ie	Forex	300,000.00	USD		X EUR	
1						
2						
3						
4						
5						



Guidelines for order transmission through Utmost Luxembourg S.A.

Additional comments:

SIGNATURES

We aim to confirm safe receipt of instructions within 24 hours of receipt. If you do not receive a confirmation please contact us before resending to avoid duplication.

PLEASE REFER TO OUR DEALING RULES ON THE NEXT PAGE

RULES FOR DEALING INSTRUCTIONS - FOR ALL PARTNERS

GENERAL CONDITIONS OF THE DEALING REQUEST TERMS

- › All dealing requests duly completed and signed should be instructed using the Utmost Luxembourg Dealing Request Form and sent to the Dealing Team at the following email address: **DealingTeam@utmostgroup.lu.**
 - › **The Dealing Request Form must be completed electronically. Dealing Request Forms that are handwritten, unclear, incomplete, not dated or not signed will not be processed.**
 - › The dealing and settlement requests will, upon acceptance, be submitted by Utmost Luxembourg S.A. to the relevant custodian bank for execution. The assets will be held by the custodian bank.
 - › Utmost Luxembourg S.A. will process the dealing requests based on the indicated ISIN code/SEDOL within the Dealing Request Form. Utmost Luxembourg S.A. accepts no responsibility for errors where the identifier code and asset name differ.
 - › A dealing request to purchase an investment will not be actioned unless there is sufficient cash within the internal fund's cash account to meet the purchase price and all costs of the purchase.
 - › For some assets (complex assets, non traditional assets, assets not setup in our system, etc...), Utmost Luxembourg S.A. may require to perform an eligibility check to ensure that they are permissible assets according to the investment rules. Utmost Luxembourg S.A. accepts no responsibility for any losses arising from the potential late execution of the instruction.
 - › If the custodian bank or any other execution intermediary/broker rejects or delays the execution of the transaction, Utmost Luxembourg S.A. accepts no responsibility for any potential financial loss resulting from this delay.
 - › Utmost Luxembourg S.A. will not provide any confirmation of the trade execution at the custodian bank. Depending on the custodian bank, the policyholder may retrieve such information through Utmost Luxembourg CONNECT, usually within 2 days following the execution date.
 - › **Buy or sell requests for permitted assets that are received by Utmost Luxembourg S.A. before 12:00 p.m. (noon) CET on a business day will normally be transmitted to the custodian at any time on the following business day at the latest.**
- We consider instructions that are received after 12:00 p.m. (noon) CET on a business day to have been received on the following business day. The dealing request will therefore at the latest be transmitted to the custodian bank two business days after receipt.**
- › All deals are placed on a "reasonable endeavour" basis. Only complete dealing instructions will be accepted where there is available cash in the internal fund's account.

INVESTMENT RESTRICTIONS

- › All assets eligible to be purchased for the policies are subject to product restrictions and need to be quoted on a recognised stock exchange and be permissible assets according to the Commissariat aux Assurances (CAA) investment rules and other regulations (e.g. UK personal portfolio bond (PPB) rules if applicable for the policy) and limitations.
- › For CAA investment rules, UK PPB Rules, strategy restrictions, terms and IMA restrictions, please ensure that your investments are eligible. Utmost Luxembourg S.A. accepts no responsibility regarding any breach.
- › An indemnity form in the format specified by Utmost Luxembourg S.A. must be completed before investing into "specialised investments" (e.g. alternative Investments such as hedge funds, real estate funds, structured products linked to hedge funds or derivatives, etc.).
- › The custodian bank may impose dealing charges. Please ensure that sufficient liquidity is retained in the internal fund's cash account to meet such charges.
- › **A delay in the placement of deals may occur if the requests are illegible, unclear, incomplete or relate to an asset that is not permitted or not set up in our system. We are unable to backdate transactions resulting from the delay caused in clarifying your instruction.**

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Utmost Luxembourg S.A. is registered with R.C.S. under number B37604 and regulated by the Commissariat aux Assurances (CAA)
Registered office address: 4, rue Lou Hemmer, L-1748 Luxembourg, Grand-Duché de Luxembourg
Utmost Wealth Solutions is registered in Luxembourg as a business name of Utmost Luxembourg S.A.