

# SOURCE OF WEALTH QUESTIONNAIRE



## FOR PROVIDING DETAILS OF A CLIENT'S WEALTH

(for high risk clients/clients subject to enhanced due diligence).

### USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

THIS DOCUMENT WAS LAST UPDATED IN AUGUST 2025.

All references to Utmost International, in this document mean Utmost International Isle of Man Limited and Utmost PanEurope dac.

Under international anti-money laundering and combatting terrorist financing requirements, financial services companies are required to take reasonable measures to establish the Source of Wealth of certain clients. The term 'Source of Wealth' extends further than the funds to be invested, referred to as 'Source of Funds', and relates to a client's entire body of wealth.

The type of client that Source of Wealth requirements relate to will either be Politically Exposed Persons (PEPs) or clients with certain attributes which, under international standards, are regarded as representing an enhanced risk.

This questionnaire seeks to obtain information and documentation to establish the Source of Wealth of such clients.

### IF YOU NEED ASSISTANCE

If you need further information and assistance with completing this form you may contact our relevant Customer Service team as follows:

#### Utmost International Isle of Man Limited

Head Office (UK products):

☎ +44 1624 643 345

✉ [customersupport@utmostwealth.com](mailto:customersupport@utmostwealth.com)

Head Office (ROW products):

☎ +44 1624 655 555

✉ [IOMask@utmostgroup.com](mailto:IOMask@utmostgroup.com)

Hong Kong Office:

☎ +852 3552 5860

✉ [IOMaskhk@utmostgroup.com](mailto:IOMaskhk@utmostgroup.com)

Singapore Office:

☎ +65 6216 7990

✉ [IOMasksingapore@utmostgroup.com](mailto:IOMasksingapore@utmostgroup.com)

#### Utmost PanEurope dac

Head Office:

☎ +353 46 909 9700

✉ [ccsfrontoffice@utmost.ie](mailto:ccsfrontoffice@utmost.ie)

**A** DETAILS OF THE APPLICANT/POLICYHOLDER/INDIVIDUAL PROVIDING SOURCE OF WEALTH

In this section please give details of the applicant, or if an existing policy, the policyholder. If the application is in the name of a legal person or legal arrangement, please provide information on the individual who has generated the wealth behind the investment (referred to as the 'Beneficial Owner' below).

If we have requested Source of Wealth information from more than one individual, please provide the additional information on a separate form.

Name of Applicant/  
Policyholder/Beneficial Owner

Policy Number (if known)

Name of individual whose Source of Wealth is being assessed (if different from above)

Date of birth of individual whose Source of Wealth is being assessed

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Residential address of individual whose Source of Wealth is being assessed  
(This information must be provided in full. We are unable to accept PO Boxes and 'care of' addresses)

<input style="width: 95%; height: 95%;" type="text"/>	<input style="width: 95%; height: 95%;" type="text"/>
<input style="width: 95%; height: 95%;" type="text"/>	<input style="width: 95%; height: 95%;" type="text"/>
Postcode	Country

**B** SOURCES OF WEALTH

Please provide below an estimated breakdown of the sources from which the individual's current wealth is derived along with the relevant percentages, details and any open source links (URLs) which may provide additional information relating to the source.

SOURCE	%	AMOUNT	DETAILS	URLS
<p><b>Earned Income (including salaries, bonuses and fees)</b></p> <p>Please include details of employers and periods of employment.</p>				
<p><b>Investment Income (e.g. interest, dividends, rents)</b></p> <p>Please include details of the main investments from which the income is derived.</p>				
<p><b>Sale of financial assets (e.g. stocks, bonds, collectives)</b></p> <p>Please include details of the main assets sold to raise the proceeds.</p> <p>Gains only to be included in this section. For the original capital invested, please indicate the source in the relevant section.</p>				
<p><b>Sale of business interests (e.g. sale of own company)</b></p> <p>Please provide name, address and business sector/activities of the business(es) sold.</p> <p><b>Please provide connection with the company, e.g. owner, partner or shareholder, and the date when this began.</b></p> <p>Please indicate % of shares held and sold in the business in the details section.</p>				

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<b>Sale of property (e.g. sale of residential property or portfolio of properties)</b> Please provide details and address of the properties sold and length of time owned.				
<b>Inheritance (e.g. by will, receipt from trusts or under law of succession)</b> Please provide details of the inheritance including the source, amount and date.  Please indicate the relationship between deceased party and heir, along with the activity that generated the amount received in the details section.				
<b>Settlement (such as divorce proceedings or arising from litigation)</b> Please provide details of the settlement(s) including the source, amount and date.  Please indicate the activity that generated the amount received in the details section.				
<b>Gifts (e.g. inter-spouse transfers or other lifetime gifts)</b> Please provide details of the grantor, the amount and date.  Please indicate the activity that generated the amount received in the details section.				
<b>Competition Win (e.g. lottery/gambling winnings)</b> Please provide details of the win including the source, amount and date.				
<b>Other (please specify)</b> Please provide details of any source not included above.				
<b>TOTAL</b>	100			

**C** DETAILS OF ASSETS AND LIABILITIES

Please list below details of the individual's assets.

**1. INVESTMENTS (BANK ACCOUNTS, ASSET PORTFOLIOS, STOCKHOLDINGS AND OTHER FINANCIAL INSTRUMENTS OR PRODUCTS)**

Jurisdiction (i.e. where held)	Financial Institution	Account/Product Name	Currency	Value
<b>Total</b>				

**2. PENSIONS (COMPANY OR PERSONAL PENSIONS SCHEMES)**

Name of Scheme	Pension Provider	Currency	Approximate Fund Value
<b>Total</b>			

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**3. PROPERTIES (COMMERCIAL OR RESIDENTIAL REAL ESTATE)**

Address of Property	Currency	Value	Outstanding Mortgage
<b>Total</b>			

**4. BUSINESS INTERESTS (SHARES IN PRIVATELY OWNED/UNLISTED COMPANIES OR PARTNERSHIPS)**

Name of Business	Address of Business	Website of Business	Ownership %	Currency	Value
<b>Total</b>					

**5. OTHER ASSETS (PERSONAL PROPERTY, VALUABLES, CARS, ARTWORK ETC)**

Name of Asset	Asset Description	Currency	Value
<b>Total</b>			

**Please list below details of the individuals liabilities.**

**6. LIABILITIES (CREDIT CARDS, LOANS, DEBTS)**

Liability name	Asset Description	Currency	Value
<b>Total</b>			

**D TOTAL NET WORTH**

Please tick which currency the net worth is expressed in.

US\$

GBP£

Euro €

Other (please state)

Please indicate below the individuals total net worth, represented by total assets minus total liabilities, both detailed in Section C.

**E DECLARATION BY THE FINANCIAL ADVISER REPRESENTATIVE/SUITABLE CERTIFIER**

I declare that:

- › I have verified the contents of any original documents where copies have been enclosed and confirm that they are true copies of the original which I have seen.
- › To the best of my knowledge and belief, all the information provided in and with this questionnaire is true and complete and was obtained from the individuals who are of good standing. I also confirm I will provide further information if required.
- › I have not made any changes to the questionnaire after completion by the applicant/policyholder.

**SIGNATURE**

**Financial Adviser Representative/Suitable Certifier**

Date

d	d	m	m	y	y	y	y
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Full name of introducer firm

Full name of financial adviser representative/suitable certifier

Capacity of suitable certifier

**A WEALTH *of* DIFFERENCE**

[www.utmostinternational.com](http://www.utmostinternational.com)

Utmost International Isle of Man Limited is registered in Hong Kong as a non-Hong Kong company (BRN 14185977). Registered Office address: Unit 2402C, Great Eagle Centre, 23 Harbour Road, Wanchai, Hong Kong.

Authorised by the Insurance Authority of Hong Kong to carry on long-term business.

Utmost International Isle of Man Limited, Singapore Branch is registered in Singapore (UEN T08FC7158E). Registered Office address: 6 Battery Road, #16- 02, Singapore 049909.

Authorised by the Monetary Authority of Singapore to conduct life assurance business in Singapore. Member of the Life Insurance Association of Singapore. Member of the Singapore Finance Dispute Resolution Scheme.

Utmost International Isle of Man Limited is registered in the Isle of Man, registered number 024916C. Registered Office address: King Edward Bay House, King Edward Road, Onchan, IM99 1NU, Isle of Man.

Utmost International Isle of Man Limited is licensed by the Isle of Man Financial Services Authority as an Authorised Insurer.

Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

Utmost PanEurope dac is registered in Ireland, registered number 311420. Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost PanEurope dac is regulated by the Central Bank of Ireland as a Life Insurance Undertaking.

Utmost PanEurope dac is authorised to conduct life insurance activities in Finland on a freedom to provide services basis and is duly registered with Finanssivalvonta ("FIN FSA") for this purpose.

Utmost PanEurope dac is authorised to conduct life insurance business in France on a freedom to provide services basis and is duly registered for this purpose with the Autorité de Contrôle Prudentiel et de Résolution ("ACPR") under number 228159.

Utmost PanEurope dac is authorised to conduct life insurance business in Italy on a freedom to provide services basis and is duly registered for this purpose with Istituto per la Vigilanza sulle Assicurazioni ("IVASS") under number 00509 on the Albo delle Imprese, Elenco II.

Utmost PanEurope dac is authorised to conduct life insurance business in Portugal on a freedom to provide services basis and is duly registered for this purpose with Autoridade de Supervisão de Seguros e Fundos de Pensões ("ASF") under number 4693.

Utmost PanEurope dac is authorised to conduct life insurance business in Spain on a freedom to provide services basis and is duly registered for this purpose with the Dirección General de Seguros y Fondos de Pensiones ("DGSFP") under number L0466.

Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

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