

# ONLINE ACCESS AUTHORISATION FORM FOR INVESTMENT ADVISERS

Post this form to: Adviser Maintenance & Payments Team, Utmost PanEurope dac, C/O Utmost Administration Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

From:	Firm name	<input type="text"/>	(the 'adviser')
Re:	Individual investment adviser name	<input type="text"/> <input type="text"/>	(the 'authorised member of staff')

## UTMOST PANEUROPE DAC ONLINE ACCESS AUTHORISATION - DEALING

We, the undersigned authorised signatories of the adviser, authorise Utmost PanEurope dac ('Utmost PanEurope') to act upon instructions sent or purportedly sent using the Utmost PanEurope online trading facility by the authorised staff member set out above. This includes instructions to buy, sell or switch investments to which the policies of the policyholders of Utmost PanEurope, who have nominated us as their adviser, are linked.

**We confirm** that the authorised staff member has received the appropriate permission from the company to place deals through this facility.

**We acknowledge** that if the status of the above named individual should change we shall advise Utmost PanEurope immediately.

In accordance with the terms of the completed **Nomination of Investment Adviser** form, **we agree** on behalf of the adviser that Utmost PanEurope shall not be responsible to the adviser or any of the advisers' clients for any loss on the value of the policies or any other liability in respect of any investment transactions made in accordance with such instructions and notwithstanding that it shall be shown that such instructions were not sent by the authorised staff member.

**We confirm** that we have read, understood and agree on behalf of the adviser to the Terms of Use which apply to the use of the Utmost PanEurope website which sets out the risks of giving instructions over the Internet.

**We further confirm** that the adviser has given any necessary advice to, and obtained any appropriate agreement from, the policyholders of the bonds. In particular, the adviser has explained:

- (a) that the authorised staff member may send investment instructions over the Internet to Utmost PanEurope,
- (b) the risks involved and the provisions set out by the Utmost PanEurope dac service website.

## ADVISORY FIRMS

**We acknowledge and agree** that where the authorised staff member places deals online through the Utmost PanEurope online trading facility on an advisory basis, we will have obtained in advance the policyholder's express written consent to place any deals online. We agree to indemnify Utmost PanEurope in respect of all losses, claims, damages however arising or incurred as a result of the adviser using this facility.



The authorised staff member will be given access to trade on any contract on which the adviser is appointed as investment adviser.

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[www.utmostinternational.com](http://www.utmostinternational.com)

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland (No 311420). Its registered office is Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland. Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

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We, the authorised signatories, **acknowledge and agree** the statements set out above on behalf of the company.

PRIVACY STATEMENT

Our Privacy Statement explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at [www.utmmostinternational.com/privacy-statements](http://www.utmmostinternational.com/privacy-statements) or you can request a copy from our Customer Service Team.

	Authorised Staff Member	Authorised Signatory	
SIGNATURE	<div></div>	<div></div>	SIGNATURE
Name	<div></div> <div></div>	<div></div> <div></div>	
Position in company	<div></div>	<div></div>	
Date	<div>d</div> <div>d</div> <div>m</div> <div>m</div> <div>y</div> <div>y</div> <div>y</div> <div>y</div>	<div>d</div> <div>d</div> <div>m</div> <div>m</div> <div>y</div> <div>y</div> <div>y</div> <div>y</div>	