

# ADDING TO YOUR EXECUTIVE WEALTHBUILDER ACCOUNT

To be used by financial advisers, their staff or families offered the EWA special terms

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost International Isle of Man Limited.

THIS DOCUMENT WAS LAST UPDATED IN MARCH 2022.

Please confirm with your usual sales consultant that this is the most up-to-date document for your product or servicing needs.

When completing this form, please use BLOCK CAPITALS and blue or black ink only and complete all relevant sections. Please do not use correction fluid; any amendments should be crossed out and initialled. Any incomplete information will need to be confirmed in writing by the Accountholder(s) once Utmost International has received the form.

## KEY INFORMATION DOCUMENT (KID)

A KID is a short document that describes the product's key features and target market, as well as the cost of owning the product and the risks associated with investment. It allows you to make comparisons with similar products from Isle of Man Financial Services Authority authorised insurers.

Under the Isle of Man Financial Services Authority's Insurance (Conduct of Business) (Long Term Business) Code 2021, Utmost International is required to provide you with a KID when you are adding to your account. You should make sure you read your KID before completing this application. It can be obtained from your financial adviser.

Since you are not starting a new account, you do not need to sign and return the KID.

## YOUR RIGHT TO CANCEL

You have the right to cancel your additional investment and obtain a refund of any contribution(s) paid, less any applicable charges\* and any fall in the value of the assets linked to your Executive Wealthbuilder Account. You have 30 days from the date that you receive the letter accepting the additional investment to let us know you want to cancel. Further information on how to cancel can be found in the Executive Wealthbuilder Account Terms & Conditions.

\*Where relevant, applicable charges include non-refundable fund charges, fees we have paid to your financial adviser on your behalf and bank charges.

## A PRODUCT DETAILS

Please give the account number for the Executive Wealthbuilder Account you would like to add an additional lump sum contribution to:

Utmost International  
Account number

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## B DETAILS OF THE ACCOUNTHOLDER(S)

	First Accountholder	Second Accountholder
Title (✓)	Mr      Mrs      Miss	Mr      Mrs      Miss
	Other <input type="text"/>	Other <input type="text"/>
Full forename(s)	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>

## C CONTRIBUTION PAYMENT

Please select your contribution  
currency (✓)

£

USS

Additional lump sum contribution

[illegible]

(minimum amount £1,000/US\$1,500/€1,500)

Please tick one of the following options:

The increase to my/our additional lump sum amount is to be allocated to my/our account in accordance with my/our existing fund selection, or

I/We attach a completed switch form detailing the relevant changes.

Failure to complete this section and/or provide the relevant switch form will mean the contributions will be allocated to selected funds in line with the Terms.

Lump sum payment method (✓)

Credit card

Electronic bank transfer

Please tick one of the following options:

I/We confirm that the payment details in my/our original application remain accurate and unchanged

I/We confirm that the details in my/our original application have since changed and I/we attach a completed 'Payment instructions' form

I/we confirm that the original application was over two years ago and I/we attach a completed 'Payment instructions' form

## D SOURCE OF FUNDS

Please tick one of the following options:

I/We confirm that the details in my/our original application remain accurate and unchanged

I/we confirm that the details in my/our original application have since changed and I/we attach a completed 'Source of Funds' section from the full EWA application form

I/we confirm that the original application was over two years ago and I/we attach a completed 'Source of Funds' section from the full EWA application form

Utmost International reserves the right to request further documentation if it is felt appropriate.

## E DATA PRIVACY STATEMENT

I understand that Utmost Services Limited, Utmost International Business Services Limited, Utmost Services Ireland Limited, Utmost Administration Limited, Utmost International Isle of Man Limited, Utmost International Trustee Solutions Limited and / or Utmost PanEurope dac (Utmost International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

- › my contact details
- › information to verify my identity
- › information about my family, lifestyle, health and finances
- › my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside the Utmost group of companies for the general purpose of establishing, maintaining and servicing an insurance policy. The sharing of my personal data may be used for any or all of the following purposes, to:

- › check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;

- › allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;
- › enable an appointed financial adviser or fund adviser to assist in the provision of services to the policyholder;
- › compile statistical analysis or market research, where information is not specific to the individual;
- › comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standards;
- › enable an appointed discretionary asset manager or custodian to meet their legal or regulatory requirements, where that discretionary asset manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a discretionary asset manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Utmost International would apply.

I may ask Utmost International to:

- › provide a copy of personal information held about me and an explanation of how this data is processed;
- › update or correct my personal information;
- › delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);
- › restrict processing of my personal information where appropriate. I may also object to Utmost International processing my data but understand that this may have consequences in Utmost International being able to continue servicing my policy.

I have been made aware that a full explanation of how Utmost International collects, uses and shares my personal information can be found at [www.utmostinternational.com/privacy-statements/](http://www.utmostinternational.com/privacy-statements/)

If I have any questions about data privacy I can address these to:

**For Utmost PanEurope dac:** The Data Protection Officer, Utmost PanEurope dac, Navan Business Park, Athlumney, Co Meath, C15 CCW8, Ireland.

Or email: [dataprotection@utmost.ie](mailto:dataprotection@utmost.ie)

**For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited:** The Data Protection Officer, Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

Or email: [IOM.DPO@Utmostinternational.com](mailto:IOM.DPO@Utmostinternational.com)

If I have a complaint about the processing of my personal information and Utmost International is unable to provide a satisfactory response I may contact the appropriate regulator:

**For Utmost PanEurope dac:** The Ireland Data Protection Commissioner, Canal House, Station Road, Portllington, R32 AP23 Co. Laois, Ireland.

**For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited:** The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, British Isles, IM1 1ET.

As the Isle of Man is not part of the United Kingdom, our Appointed Representative in the United Kingdom is an establishment of Utmost Services Limited based at Saddlers House, 5th Floor, 44 Gutter Lane, London, EC2V 6BR.

I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose personal information has been provided by me to Utmost International either in this application or within accompanying documentation.

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## DECLARATION - BY EACH APPLICANT

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1. I/we confirm that the contribution accompanying this Application has been provided by my/our personal financial resources.
2. I/we declare that to the best of my/our knowledge and belief the statements made in this Application and any related documents are true and complete and that I/we have not concealed any material fact.
3. I/we have read and understood the content of this document, which comprises three pages.

4. I/We confirm that I/we am/are not resident in Hong Kong, Singapore, UK or the United States of America or any of its territories. If I/we become resident in the United States of America or any of its territories, I/we understand that Utmost International will not accept any further contributions until after I/we cease to be a resident of the United States of America or any of its territories.
5. I/we confirm that I/we am/are aware of the fees (if applicable) payable for the chosen investment(s) held in my/our Account. I/we understand that the fees exist partly to meet the promotion and distribution of expenses of the product, including commission paid to a financial adviser and/or fund adviser. I/We understand that Utmost International may receive payments in the form of fund manager rebates, from an investment provider in respect of the investments held, and which Utmost International may share with my/our financial adviser.
6. I/We confirm that this form was completed by myself/ourselves and not by a third party.

**SIGNATURE**

**First Accountholder**

**Second Accountholder**



Please enter the country in which this application form was completed.

## A WEALTH *of* DIFFERENCE

[www.utmostinternational.com](http://www.utmostinternational.com)

Utmost International Isle of Man Limited is registered in the Isle of Man under number 024916C.  
Registered Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.  
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Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

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