

# APEX (PORTUGAL) OPEN ARCHITECTURE DEALING INSTRUCTION

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost PanEurope dac.

This form should be used for policies held on the Open Architecture basis only without a Discretionary Fund Manager or Platform arrangement.

To ensure that the dealing instruction is processed in accordance with your wishes, all sections of this form must be fully completed. For further information, read the 'Important Notes' overleaf. If you make a mistake, cross it out, put in the correct word(s) and sign your initials next to the correction. **Do not use correction fluid.** If you have any queries contact your Insurance Intermediary, or our Administration Support team on **00 353 1 636 9383**.

## A POLICY DETAILS

1 Policy number	<input type="text"/>	4 Contact name	<input type="text"/>
2 Policyholder name	<input type="text"/>	5 Contact telephone number (Including national dialling code)	<input type="text"/>
3 Insurance Intermediary company name	<input type="text"/>	6 Contact email address	<input type="text"/>

## B DEALING INSTRUCTION

### INVESTMENT INSTRUCTIONS: EXTERNAL FUNDS etc

Action (Buy/Sell)	Full name of security	Fund Currency	SEDOL/ISIN of security	Settlement Currency eg GBP/USD/EUR	(complete <b>ONE</b> only)		
					Cash	No of Units	%

Any special instructions:

## IMPORTANT NOTES

If you want to deal into an asset which is in a different currency than your bond, or move between assets in different currencies, the value may be affected by currency exchange rates.

We will buy and sell assets based solely on their SEDOL/ISIN rather than their name, so please include confirmation of SEDOL or ISIN codes, share class (e.g. 'A', 'B', or 'C') and unit class (e.g. 'Accumulation' or 'Income'). If you select an 'Income' unit fund, we will request that the fund manager pay all income from the fund as cash, which will be credited to your Dealing Account. If specific instruction is not provided, where relevant we will purchase the 'accumulation' version of the fund.

**Any instruction that is incomplete or requires clarification may be delayed whilst we refer back to you. We are unable to backdate transactions resulting from any such delay.**

Details about how we use your information, your rights over this information and how you can exercise your rights can be found in the applicable Privacy Notice. We publish our Privacy Notice on our website at [www.utmostinternational.com](http://www.utmostinternational.com) or you can contact us on **00 353 1 636 9383** and request a copy. All persons whose details are collected in this form should read the Privacy Notice to understand how the data provided about them will be used.

## C SIGNATURES

The instruction will be dealt with in accordance with the **Policy Provisions and Fund Rules** relevant to your contract. We will place any valid and clear instruction by close of business on the day after receipt of this fully completed Dealing Instruction Form. If the bond has a Notice of Interest (i.e. bank assignment) then all parties must sign this form. Return the completed form to: **Utmost PanEurope dac, Navan Business Park, Athlumney, Navan, Co. Meath, Ireland CW15 CCW8** or email directly to our Dealing team at **etrading@umost.ie**.

### SIGNATURE Policyholder/Authorised Signatory 1

SIGNATURE

Print full name

  

Date

d	d	m	m	y	y	y	y
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### SIGNATURE Policyholder/Authorised Signatory 2

SIGNATURE

  

d	d	m	m	y	y	y	y
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### SIGNATURE Policyholder/Authorised Signatory 3

SIGNATURE

Print full name

  

Date

d	d	m	m	y	y	y	y
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### or Insurance Intermediary

SIGNATURE

  

d	d	m	m	y	y	y	y
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Company name

Utmost Wealth Solutions is a brand name used by a number of Utmost companies. This item has been issued by Utmost PanEurope dac. Utmost PanEurope dac is regulated by the Central Bank of Ireland.

Utmost PanEurope dac is a designated activity company registered in Ireland (number 311420), with a registered office at Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost PanEurope dac is duly registered for the pursuit of the life insurance business in Portugal on a freedom to provide services basis, and is duly registered for such purposes with the Portuguese Insurance Supervisory Authority (Autoridade de Supervisão de Seguros e Fundos de Pensões or 'ASF') under the number 4693.

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