

REQUEST FOR PROFESSIONAL CLIENT STATUS

SWEDEN

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost Luxembourg S.A.

Words in the singular include the plural and vice versa. A reference to one gender includes a reference to the other gender.

Policy Number

REQUEST FOR PROFESSIONAL CLIENT STATUS

This request, if accepted by the Insurer, is supplementary to, amends and must be read in conjunction with the Policy, and related documentation, effected by the Insurer.

AT THE REQUEST OF

Surname(s)

First name(s)

residing at

Street/N°

City

Postcode

Country

hereafter referred to as the "Policyholder"

ADDRESSED TO

Utmost Luxembourg S.A., a public limited company incorporated under Luxembourg law, having its registered office at L-1748 Luxembourg, rue Lou Hemmer 4 (Grand-Duché de Luxembourg), registered in the Luxembourg Trade Register under the number B37604,

hereinafter referred to as the "Insurer"

PREAMBLE

The Policyholder has subscribed to a life insurance policy with the Insurer (hereinafter the "Policy").

REQUEST

1. For this request, the Policyholder expressly states his willingness to be treated as a professional client by the Insurer:

at any moment;

for an investment service or a specific transaction:

for a type of transactions or investment products:

2. The Policyholder confirms that he is able to meet at least two of the following three criteria (Please tick the applicable boxes below):

the value of the Policyholder's portfolio of financial instruments, which is defined as including bank deposits and financial instruments, exceeds 500,000 EUR; and/or

the Policyholder holds or has held a professional position in the financial sector requiring expertise, experience and knowledge of the proposed transactions or services for at least one year;
 Relating more specifically to the and/or
 following position:

Over the preceding four quarters, the Policyholder has carried out a minimum average of ten sizeable transactions per quarter on the financial markets;

Details of the transactions:

	NUMBER OF SIZEABLE TRANSACTIONS PERFORMED OVER THE LAST FOUR QUARTERS			
	Quarter 1	Quarter 2	Quarter 3	Quarter 4
Quoted equities				
Quoted bonds				
UCITs				
Other investment funds				
Foreign exchange markets				
Derivatives				
Unquoted assets				
Other (e.g.: structured products...)				
Total number of transactions				

With regard to the investment questionnaire aimed at establishing the Policyholder's investment profile sent by the Policyholder to the Insurer in the context of the Policy and taking into account the Policyholder's statements concerning the criteria mentioned above, the Insurer has adequately assessed the expertise, experience and knowledge of the Policyholder in view of the envisaged Policy. This assessment provided the Insurer with adequate assurance that the Policyholder is able to make his own investment decisions and understands the risks associated with the proposed transactions and services.

3. The Policyholder shall inform the Insurer of any changes which may affect his classification as a professional client within the shortest possible time.
4. The Insurer informs the Policyholder that the categorisation as a professional client results in the waiver of the protections provided by the Securities Markets Act (lag 2007:528 om värdepappersmarknaden), transposing the Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU.
5. The Policyholder declares that he is aware of the consequences of his renunciation to the protections to which he was entitled as a non-professional investor as mentioned in the Securities Markets Act, as amended.

Legal Representative 1

SIGNATURE

Date

d	d	m	m	y	y	y	y
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Place

Legal Representative 2

SIGNATURE

Date

d	d	m	m	y	y	y	y
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Place

Legal Representative 3

SIGNATURE

Date

d	d	m	m	y	y	y	y
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Place

Legal Representative 4

SIGNATURE

Date

d	d	m	m	y	y	y	y
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Place

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Utmost Luxembourg S.A. is registered with R.C.S. under number B37604 and regulated by the Commissariat aux Assurances (CAA)
Registered office address: 4, rue Lou Hemmer, L-1748 Luxembourg, Grand-Duché de Luxembourg
Utmost Wealth Solutions is registered in Luxembourg as a business name of Utmost Luxembourg S.A.