

DATA CAPTURE FOR THE SPANISH COLLECTIVE INVESTMENT BOND

FOR INDIVIDUAL, JOINT AND CORPORATE INVESTORS



This form is to gather the necessary information to input new business online for the product selected above on Wealth Interactive.

This form is not an application form and will not be accepted as such if sent to us. You must submit this information online.

Some of the information required is compulsory and is denoted by*. If any of this information is missing, you will not be able to complete the online process.

Items preceded by # denote information that is dependent on the options that have been selected and will not be required in all cases.

There are two stages on Wealth Interactive:

- › Creating a new client
- › Creating a new investment (opening an account).

INFORMATION REQUIRED TO 'CREATE A NEW CLIENT'

A DETAILS OF APPLICANT

Applicant 1

*Nationality	<input type="text"/>
*Country of residence	<input type="text"/>
*Utmost International company providing the product (select from the drop-down list)	<input type="text" value="Utmost PanEurope dac for Spanish Collective Investment Bond"/>
*Assigned adviser	<input type="text"/>
*Title	<div>Mr Mrs Miss Other</div> <div><input type="text"/></div>
*First name	<input type="text"/>
Middle name(s)	<input type="text"/>
*Surname	<input type="text"/>
Previous name/Maiden name/ Alias (if any)	<input type="text"/>
*Gender	<div>Male Female</div> <div>*Date of birth</div>
	<div><div>d</div><div>d</div><div>m</div><div>m</div><div>y</div><div>y</div><div>y</div><div>y</div></div>

DATA CAPTURE FOR THE SPANISH COLLECTIVE INVESTMENT BOND
FOR INDIVIDUAL, JOINT AND TRUSTEE INVESTORS

IDENTIFICATION DETAILS

*ID Type	<input type="text"/>	*ID Number	<input type="text"/>
	(can be Passport)		
*ID Country of issue	<input type="text"/>	*ID Expiry date	<input type="text"/>
*Place of birth	<input type="text"/>	*Country of birth	<input type="text"/>

Applicant 2 (if any)

*Nationality	<input type="text"/>
*Country of residence	<input type="text"/>
*Utmost International company providing the product (select from the drop-down list)	Utmost PanEurope dac for Spanish Collective Investment Bond
*Assigned adviser	<input type="text"/>
*Title	<div>Mr Mrs Miss Other</div> <input type="text"/>
*First name	<input type="text"/>
Middle name(s)	<input type="text"/>
*Surname	<input type="text"/>
Previous name/Maiden name/ Alias (if any)	<input type="text"/>
*Gender	<div>Male Female</div>
*Date of birth	<input type="text"/>

IDENTIFICATION DETAILS

*ID Type	<input type="text"/>	*ID Number	<input type="text"/>
	(can be Passport)		
*ID Country of issue	<input type="text"/>	*ID Expiry date	<input type="text"/>
*Place of birth	<input type="text"/>	*Country of birth	<input type="text"/>

B ADDRESS AND CONTACT DETAILS

Applicant 1

*Residential address	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	Postcode

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CORRESPONDENCE ADDRESS			NEW CORRESPONDENCE ADDRESS
*Same as residential address	Yes	No	
*New correspondence address (#Full address plus postcode and country required)	Yes	No	
			Postcode

CLIENT'S CONTACT DETAILS (PLEASE ENSURE YOU PROVIDE THE COUNTRY
DIALLING CODE)

*Telephone number	
Mobile number	
Fax number	
*Email address	

EMPLOYMENT DETAILS

*Please indicate the client's employment status:

Employment status Employed Self employed Retired Not employed

Where your client is employed, self employed or retired (please give details of previous employer), please note:

*Occupation (including Role eg. Director/ Senior Manager etc. and Sector eg. Accountancy/ Pharmaceuticals/ Engineering etc)			
*Employer's name/ Own company			
*Employer's address (full address)			
		Postcode	
*Country			

SALARY INFORMATION

*Annual salary or last known salary:	Currency		Amount	
#Bonus (if any)	Currency		Amount	

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TAXATION DETAILS

*Country of residence for taxation	<input type="text"/>
*Tax Identification Number (TIN)	<input type="text"/>

Applicant 2 (if any)

*Residential address	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>

CORRESPONDENCE ADDRESS

NEW CORRESPONDENCE ADDRESS

*Same as residential address	Yes	No	<input type="text"/>
*New correspondence address (#Full address plus postcode and country required)	Yes	No	<input type="text"/>
			<input type="text"/>
			<input type="text"/>
			<input type="text"/>

CLIENT'S CONTACT DETAILS (PLEASE ENSURE YOU PROVIDE THE COUNTRY DIALLING CODE)

*Telephone number	<input type="text"/>
Mobile number	<input type="text"/>
Fax number	<input type="text"/>
*Email address	<input type="text"/>

EMPLOYMENT DETAILS

*Please indicate the client's employment status:

Employment status Employed Self employed Retired Not employed

Where your client is employed, self employed or retired (please give details of previous employer), please note:

*Occupation (including Role eg. Director/ Senior Manager etc. and Sector eg. Accountancy/ Pharmaceuticals/ Engineering etc)	<input type="text"/>
*Employer's name/ Own company	<input type="text"/>

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*Employer's address (full address)	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
*Country	<input type="text"/>

SALARY INFORMATION

*Annual salary or last known salary:	Currency	<input type="text"/>	Amount	<input type="text"/>
#Bonus (if any)	Currency	<input type="text"/>	Amount	<input type="text"/>

TAXATION DETAILS

*Country of residence for taxation	<input type="text"/>
*Tax Identification Number (TIN)	<input type="text"/>

C CREATE NEW INVESTMENT (OPENING AN ACCOUNT)

*Ownership type (choose from)	Single applicant	Joint applicant
If joint selected, who is going to be the Lead Policyholder?	<input type="text"/>	

POLICY PREFERENCES

*Assigned adviser	<input type="text"/>			
*Product				
Spanish Collective Investment Bond (English Law)	GBP	Euro	US Dollar	Other <input type="text"/>
Policy currency				

CUSTODIAN THAT WILL HOLD THE ASSETS

Default custodian	
Authorised Custodian	
Name of custodian	<input type="text"/>

When appointing one or more Authorised Custodians you will need to complete the **Request for Utmost International or Utmost PanEurope to appoint Authorised Custodian(s) form**.

For an Authorised Custodian a Fund Adviser must be appointed. You will need to complete an **Appointment of a Fund Adviser form**.

You will be asked to upload the completed forms during the new investment application process. Please contact us if you are unsure which form(s) to complete.

PREMIUM

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	%
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	%
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[illegible]

APPLICATION DETAILS

*Country of advice

Number of policies (default will be 60)

Fund/Investment Adviser appointment
Is the assigned adviser also the Fund/Investment adviser? Yes No

What is the basis of the fund/investment advice? Discretionary Non-Discretionary

You will be able to enter a Fund Adviser payment fixed amount or the default percentage will be set at 0%.

REGULAR WITHDRAWALS

NOTE

Please note that monthly Regular Withdrawals are only available for premiums over €150,000 or currency equivalent.

Withdrawal type	Amount	Percentage		
Amount	<input type="text"/>	Percentage	<input type="text"/> %	
Frequency	Monthly	Bi-Monthly	Quarterly	Four Monthly
	Half-Yearly	Yearly		
#Withdraw from	Transaction account	OR Specify the asset(s)		

ASSET NAME	% OF EACH ASSET FOR REGULAR WITHDRAWAL
<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/> %
Total 100%	

Please select your preferred payment method:

Telegraphic Transfer

Please be advised that payments incur a bank transfer charge.

BACS direct credit

Only available for GBP payments paid to a UK, IOM or Channel Islands bank account.

This payment method takes three working days to reach the account, but doesn't incur a bank transfer charge.

If no payment method is selected we will default to Telegraphic Transfer, unless you have requested GBP regular withdrawals payments, in which case we will default to BACS where possible.

Would you like your withdrawals to be made to the same bank account detailed on page 9 'PAYMENT DETAILS'?

Yes No

If 'No', please complete the section below.

Name as stated on bank account

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Sort code (If applicable)	<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/>
Bank account number/ IBAN	<input type="text"/>
SWIFT or BIC code (If applicable)	<input type="text"/>
Bank name	<input type="text"/>
Bank's full address	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
Time bank account held	<input type="text"/>

LIVES ASSURED

	Applicant 1	Applicant 2 (if any)
*Is the policyholder to be a life assured?	Yes No	Yes No
You do not need to complete this section if the applicant(s) is going to be the only life assured.		
	Life assured 1	Life assured 2
	<input type="text"/>	<input type="text"/>
Title	<input type="text"/>	<input type="text"/>
First name	<input type="text"/>	<input type="text"/>
Middle name(s)	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>
Previous name, maiden name or alias	<input type="text"/>	<input type="text"/>
Gender	<input type="text"/>	<input type="text"/>
Residential address, including postcode and country (Please note we do not accept c/o and PO Box addresses)	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
	Postcode	Postcode
Nationality	<input type="text"/>	<input type="text"/>
Date of birth	<input type="text"/>	<input type="text"/>
Tax identification number (NIE/DNI) (Only relevant if the Life Assured is resident in Spain)	<input type="text"/>	<input type="text"/>

REASON FOR INVESTMENT

Diversification Succession Planning	Inheritance Planning Wealth Protection/Accumulation	Retirement/Other
If "Other", please provide details		

D PAYMENT DETAILS/ENTER PAYMENT DETAILS OF SOURCE OF PREMIUM

When applying online, please use the bank details below:

BANKING DETAILS

STERLING PAYMENTS

From UK banks (CHAPS* payments)

Swift code: NWBKGB2LXXX

Bank: National Westminster Bank, Southampton

Beneficiary: Utmost PanEurope dac

Sort Code: 56-00-68

IBAN code: GB59NWBK56006837519611

OTHER CURRENCY PAYMENTS (SWIFT PAYMENTS)

Payments should be made to Utmost PanEurope dac's accounts held with National Westminster Bank, London.

SWIFT code**:	NWBKGB2LXXX		
Bank:	National Westminster Bank, London		
Beneficiary:	Utmost PanEurope dac		
IBAN***:	(select as applicable, see below)		
1. US dollar	IBAN - GB36NWBK60730140501418	7. New Zealand dollar	IBAN - GB26NWBK60730167576141
2. Euro	IBAN - GB26NWBK60720240501469	8. Norwegian krone	IBAN - GB18NWBK60730166004012
3. Australian dollar	IBAN - GB24NWBK60730166004195	9. Singapore dollar	IBAN - GB53NWBK60730167598838
4. Canadian dollar	IBAN - GB80NWBK60730167521916	10. Swedish krona	IBAN - GB48NWBK60730140501493
5. Danish krone	IBAN - GB43NWBK60730166004047	11. Swiss franc	IBAN - GB74NWBK60730166004071
6. Japanese yen	IBAN - GB87NWBK60730166004128	12. Hong Kong dollar	IBAN - GB21NWBK60730166004152

* CHAPS is an electronic bank-to-bank same day value payment made in the UK in pound sterling (£).

** SWIFT is an acronym for Society for Worldwide Interbank Financial Telecommunications.

*** IBAN stands for international bank account number and is always used in conjunction with a bank identifier code (BIC).

IMPORTANT:

- › Please make sure that the applicant's name and/or bond number (if reserved) is/are quoted in the payment field, referred to by the bank as SWIFT field 70, on the electronic bank transfer form to ensure that correct details are sent to Utmost PanEurope.
- › Please note that when sending payments electronically spaces should be excluded from the IBAN numbers.
- › All bank charges, such as telegraphic transfer charges, are the responsibility of the applicant.

Time bank account held

[illegible]

Other: Nature of – role in relation – total amount received and time generated – date of proceeds.

E DATA PRIVACY STATEMENT

I understand that Utmost Services Limited, Utmost International Business Services Limited, Utmost Services Ireland Limited, Utmost Administration Limited, Utmost International Isle of Man Limited, Utmost International Trustee Solutions Limited and / or Utmost PanEurope dac (Utmost International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

- › my contact details
- › information to verify my identity
- › information about my family, lifestyle, health and finances
- › my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside the Utmost group of companies for the general purpose of establishing, maintaining and servicing an insurance policy. The sharing of my personal data may be used for any or all of the following purposes, to:

- › check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;
- › allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;
- › enable an appointed financial adviser or fund adviser to assist in the provision of services to the policyholder;
- › compile statistical analysis or market research, where information is not specific to the individual;
- › comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standards;
- › enable an appointed discretionary asset manager or custodian to meet their legal or regulatory requirements, where that discretionary asset manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a discretionary asset manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Utmost International would apply.

I may ask Utmost International to:

- › provide a copy of personal information held about me and an explanation of how this data is processed;
- › update or correct my personal information;
- › delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);
- › restrict processing of my personal information where appropriate. I may also object to Utmost International processing my data but understand that this may have consequences in Utmost International being able to continue servicing my policy.

I have been made aware that a full explanation of how Utmost International collects, uses and shares my personal information can be found at www.utmostinternational.com/privacy-statements/

If I have any questions about data privacy I can address these to:

For Utmost PanEurope dac: The Data Protection Officer, Utmost PanEurope dac, Navan Business Park, Athlumney, Co Meath, C15 CCW8, Ireland.

Or email: dataprotection@utmost.ie

For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited: The Data Protection Officer, Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

Or email: IOM.DPO@Utmostinternational.com

If I have a complaint about the processing of my personal information and Utmost International is unable to provide a satisfactory response I may contact the appropriate regulator:

For Utmost PanEurope dac: The Ireland Data Protection Commissioner, Canal House, Station Road, Portarlington, R32 AP23 Co. Laois, Ireland.

For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited: The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, British Isles, IM1 1ET.

As the Isle of Man is not part of the United Kingdom, our Appointed Representative in the United Kingdom is an establishment of Utmost Services Limited based at Saddlers House, 5th Floor, 44 Gutter Lane, London, EC2V 6BR.

I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose personal information has been provided by me to Utmost International either in this application or within accompanying documentation.

Important note: The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change. To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland.

Registered No 311420. Administration Centre for correspondence: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.
Tel: +353(0)1 479 3900 Fax: +353(0)1 475 1020.

Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

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