

# INVESTOR DECLARATION

## UK PRODUCTS ONLY

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost International Isle of Man Limited.

### INTRODUCTION

**You must read and sign this Declaration if you wish to link your policy to Unregulated Collective Investment Schemes ('UCIS') and certain close substitutes, together known as Non-Mainstream Pooled Investments ('NMPs'), which are investments that are generally restricted to sophisticated investors and high net worth individuals.**

**NMPs are generally not regulated in the UK and as such they cannot be promoted to UK retail clients.**

The assets which have been grouped into the NMPs category include:

- › UCIS (Unregulated Collective Investment Schemes);
- › Qualified Investor Schemes ('QIS') or similar structures which require this Declaration to be completed;
- › Special purpose vehicles pooling investment in assets other than listed or unlisted shares or bonds; and
- › Any other investments Utmost International Isle of Man Limited may determine at its discretion from time to time.

### BEFORE YOU BEGIN

**Prior to completing this form you are required to seek independent advice to ensure that you understand the increased risks associated with investing into NMPs.** This declaration should only be completed after appropriate independent advice has been taken.

### HOW TO COMPLETE THIS FORM

Electronic completion: To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

Paper completion: If completing a hard copy of this form, please use black or blue ink and BLOCK CAPITALS. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. Do not use correction fluid.

### INTERPRETATION

In this form any reference to words in the singular shall include words in the plural and vice versa.

References to "I", "me", "my", "you" or "your" mean you, an applicant, policyholder, trustee or an authorised signatory. All references to "we", "us", "our", in this form refer to Utmost International Isle of Man Limited ("Utmost International").

## IMPORTANT INFORMATION

### DATA PROTECTION

Before providing us with your personal information, please read our **Privacy Notice** available on our website at [www.utmostinternational.com/privacy-statements/](http://www.utmostinternational.com/privacy-statements/) for information about your data protection rights and how we use your personal data. **You should only provide personal information about another individual in this form where you have received their consent to do so.** Utmost International reserves the right to change the **Privacy Notice** from time to time. We encourage you to periodically review the **Privacy Notice** to keep informed about how we use your personal data and how we keep it protected.

### INCOMPLETE INFORMATION

Please ensure that you complete all required sections and provide all necessary supporting documentation. **Failure to do so may result in a delay in your request being processed.**

Please remember to:

- › attach any additional information or documentation you are sending securely to the back of the form.
- › ensure that the form has been signed by all applicants, policyholders, trustees or the authorised signatories, as applicable.

For any queries on completing this form please email [IOMFMassetreview@utmostgroup.com](mailto:IOMFMassetreview@utmostgroup.com)

### WHERE TO SEND COMPLETED FORMS

You can email digitally signed and/or scanned forms and supporting evidence, to us at:  
[IOMFMassetreview@utmostgroup.com](mailto:IOMFMassetreview@utmostgroup.com)

Alternatively, post paper forms and supporting evidence to: **Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, IM99 1NU, Isle of Man**

## NEXT STEPS

In order to link benefits payable under the policy to a specific NMPI (the Asset) Utmost International Isle of Man Limited may be required to make certain warranties to the manager, regulator, or exchange of the Asset, and to meet certain regulatory requirements. These warranties are to the effect that the criteria required to satisfy the eligibility requirements have been met for the investment.

These criteria may include levels of investment knowledge, experience and sophistication, minimum net wealth/ liquid assets, or other criteria as may be required from time to time.

## A POLICY DETAILS

1	Policy number	<input type="text"/>
2	Policyholder name(s)	<input type="text"/> <input type="text"/> <input type="text"/>
3	Name of investment (the "Asset")	<input type="text"/>
4	ISIN	<input type="text"/>

- › I confirm that for my own benefit and protection I have obtained independent advice before agreeing to this investment and that this advice specifically covered investing into NMPIs, and the associated risks of such an investment.
- › I confirm I am an investor with the relevant knowledge, experience, and level of sophistication as required to invest into the Asset and further confirm that I satisfy all eligibility requirements to invest into the Asset, and that I fully understand and accept the terms and risks of this investment.
- › I further confirm that I understand that I do not have any direct interest in the Asset.
- › I confirm that I am not an employee of or connected person to the Asset, or that where I am an employee or connected person to the Asset that I have taken all necessary steps to lawfully allow this investment (by way of example such actions could include providing notifications to the Asset and any related parties required to satisfy any applicable legal or regulatory requirements necessary to allow this investment to occur).
- › I also acknowledge and understand that Utmost International Isle of Man Limited will rely upon these declarations in making an investment into the Asset.
- › I confirm that I will be fully responsible for, and will indemnify and hold harmless Utmost International Isle of Man Limited from and against, all direct or indirect costs, losses and/or expenses suffered or incurred by Utmost International Isle of Man Limited as a result of, or arising from, the investment in the Asset including, without limitation, any costs, losses and/or expenses which arise from related legal claims, complaints or proceedings brought by any third party against Utmost International Isle of Man Limited.

**B** POLICYHOLDER DECLARATIONS

If there are more than four applicants/ policyholders/ trustees/ authorised signatories, please copy this section, complete, sign, attach to this form and tick here.

	Applicant/Policyholder/ Trustee/ Authorised Signatory 1	Applicant/Policyholder/Trustee/ Authorised Signatory 2
<b>SIGNATURE</b>	<input type="text"/>	<input type="text"/>
Full name in BLOCKS LETTERS	<input type="text"/>	<input type="text"/>
Capacity in BLOCKS LETTERS	<input type="text"/>	<input type="text"/>
Date	<input type="text" value="d d m m y y y y"/>	<input type="text" value="d d m m y y y y"/>

	Applicant/Policyholder/Trustee/ Authorised Signatory 3	Applicant/Policyholder/ Trustee/ Authorised Signatory 4
<b>SIGNATURE</b>	<input type="text"/>	<input type="text"/>
Full name in BLOCKS LETTERS	<input type="text"/>	<input type="text"/>
Capacity in BLOCKS LETTERS	<input type="text"/>	<input type="text"/>
Date	<input type="text" value="d d m m y y y y"/>	<input type="text" value="d d m m y y y y"/>

RESET

A WEALTH *of* DIFFERENCE

[www.utmostinternational.com](http://www.utmostinternational.com)

Utmost International Isle of Man Limited is registered in the Isle of Man, registered number 024916C. Registered Office address: King Edward Bay House, King Edward Road, Onchan, IM99 1NU, Isle of Man.

Utmost International Isle of Man Limited is licensed by the Isle of Man Financial Services Authority as an Authorised Insurer.

Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

IOM PR 0011 | 09/25