

USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

This form is not an application form and will not be accepted as such if sent to us. You must submit this information online.

This form is for financial advisers to gather the necessary information from a client to input new business online for the Collective Investment Bond (CIB), Collective Investment Plan (CIP), Collective Redemption Bond (CRB), Executive Investment Account (EIA), Executive Investment Bond (EIB), Executive Investment Plan (EIP), Executive Redemption Bond (ERB), Flexible Investment Account (FIA), Investment Account (IA) and Wealth Management Plan (WMP) on Wealth Interactive.

NOTE

Please check with the regional office for the products available in the relevant jurisdiction.

Mandatory information is denoted by *. If any of this information is missing, you will not be able to complete the online process.

Items preceded by # denote information that is dependent on the options that have been selected and will not be required in all cases.

There are two stages on Wealth Interactive:

- › Section A - Creating a new client
- › Section B - Creating a new investment (opening an account)

A INFORMATION REQUIRED TO 'CREATE A NEW CLIENT'

If there are any further policyholders please photocopy section A.

1 DETAILS OF APPLICANT(S)

*Title (✓)	Mr	Mrs	Miss	Other	<input type="text"/>
*First name	<input type="text"/>		Middle name(s)	<input type="text"/>	
*Surname	<input type="text"/>		*Previous name or alias (if any, e.g. maiden or unmarried name)	<input type="text"/>	
*Gender (✓)	Male	Female	*Date of birth (dd/mm/yyyy)	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	
*Nationality	<input type="text"/>				
Dual Nationality (if any)	<input type="text"/>				
*Country of residence	<input type="text"/>				
*Assigned adviser	<input type="text"/>				
*Product Company	Utmost International Isle of Man Limited/Utmost International Isle of Man Limited Singapore Branch				
* National Registration Identity Card (NRIC) (Applicable for Singapore only)	<input type="text"/>				

POLICY PREFERENCES

#Lead Policyholder (for a joint policy, please indicate the lead policyholder's name)

*Assigned adviser

*Product

*Policy currency

CUSTODIANS (NOT APPLICABLE TO WMP)

Please select either one from the option below:

Assets held by the default custodian for Utmost International

One authorised custodian to hold assets (Please complete Utmost International to appoint an authorised custodian form)

1 PREMIUM DETAILS

*Premium type **And/Or Asset transfer amount**

Lump sum amount

For Portfolio Bond
 *Charge package code

For WMP

WMP Standard amount

*Please select the Establishment Charge Period

For FIA

*Please select one of the charging structures

Spread cost

Initial Commission of (0-7%, the percentage must be a multiple of 0.25%) Establishment Charge Period (1 - 8 years)

Initial charge

Initial Commission of (0-7%, the percentage must be a multiple of 0.25%)

Waive Commission % (Applicable to all products)

#If you have been granted permissions to amend initial commission, please enter the % that you wish to waive, otherwise the charging structure default will be used.

* Ongoing service charge % (0-1.5%, not applicable for Hong Kong)

2 SELECT ASSETS AND BUY AMOUNTS

Skip this step? Yes No

You can skip this step and the money will be placed in the Transaction Account for investing at a later date.

You will be able to select from published portfolio (available for certain products only)

Portfolio name

Or

List of assets (for WMP - must be SFC approved Tier 1 assets)

ASSET NAME	ISIN	% OF CONTRIBUTION/ UNIT/AMOUNT

3 APPLICATION DETAILS

*Country of advice

*Is this a replacement Policy (Applicable to Singapore only) Yes No

*Number of policies

(It will be issued based on the default number of policies of the product if you have not entered a number)

*Correspondence Address (if different from policyholder(s) address.)

Applicant 1 Applicant 2

New correspondence address

Country	Postcode

(# Full address plus postcode and country required)

REPLACEMENT OF LIFE POLICIES (APPLICABLE TO HONG KONG ONLY)

*Has your client replaced in the last 12 months, any or substantial part of their existing life insurance policy(ies) with this proposal? Yes No

*Does your client intend to replace in the next 12 months any substantial part of their existing policy(ies) with the proposal? Yes No

*If 'Yes' has been selected, a Customer Protection Declaration form is required.

FUND/INVESTMENT ADVISER APPOINTMENT

The fund/investment adviser has to hold relevant authorisation in offering the discretionary service or non-discretionary advice.

*Is the assigned adviser also the fund/investment adviser? Yes No
 If 'Yes' is selected, an appointment of fund adviser form is required to be signed and uploaded.

What is the basis of the fund/investment advice? Non-Discretionary Discretionary Investment Manager (DIM) Discretionary

You will be able to enter a fund adviser payment fixed amount or % per annum, based on Plan value at end of previous quarter

REGULAR WITHDRAWALS

*Regular Withdrawal Yes No

NOTE: for the WMP and FIA, regular withdrawals should be set up only if no regular premium arrangement is in place.

#Withdrawal type

Withdrawal currency

Percentage % per annum of premium invested **OR** Fixed annual amount

Description Income Trustee Fees

Frequency: Annually Monthly Half-yearly Quarterly

Please state the start date for monthly withdrawal

Withdrawn from (*) Transaction Account Yes No

OR

Specify the nominated asset (only available if section 2 has been completed)

ASSET NAME	% OF EACH ASSET FOR REGULAR WITHDRAWAL

Enter banking details for regular withdrawal payments:

#Bank Details

Swift Code/ BIC Code/UK Sort Code IBAN

Currency

Account number

Account held for years months

Remittance details

LIVES ASSURED (FOR ERB AND CRB, THIS SECTION IS NOT REQUIRED)

*Is the policyholder(s) the life assured? Yes No

If 'Yes', please indicate who is the life assured Policyholder 1 Policyholder 2

If 'No', please detail name and address of the additional life(s) assured. If there is more than one additional life assured, we will need the same information for each person. Please photocopy this section.

Title [] # Full forename(s) []

Surname [] # Previous name or alias []

Relationship to first applicant Spouse Child Financial dependent Other []

Date of birth (dd/mm/yyyy) [d d m m y y y y]

Gender (✓) Male Female

Residential address, including postcode and country: [] [] [] [Country Postcode]

Nationality []

#National Registration Identity Card (NRIC) (Applicable for Singapore only) []

REASON FOR INVESTMENT

*Reason for investment

Diversification

Inheritance Planning

Retirement

Succession planning

Wealth protection/accumulation

.Other []

4 SOURCE OF FUNDS

BANK DETAILS OF WHERE FUNDS ARE BEING REMITTED FROM

*Currency	<input type="text"/>	*Amount	<input type="text"/>
*Account Name	<input type="text"/>		
# UK Sort code	<input type="text"/>	IBAN	<input type="text"/>
# SWIFT or BIC Code	<input type="text"/>		
*Account number	<input type="text"/>		
Bank State Branch (BSB)	<input type="text"/>		
*Account held for	<input type="text"/> <input type="text"/>	years	<input type="text"/> <input type="text"/>
Remittance details	<input type="text"/>		

ACTIVITY WHICH GENERATED AMOUNT TO BE INVESTED

Please refer to our **Source of funds and source of wealth guidelines** for full details of our requirements for evidencing the applicable origin of wealth. Using the below, please detail in the free text box the relevant information needed:

- › **Accumulated Earned Income:** Name and address of employer, occupation, details of annual salary, bonuses and fees, details of account where earned income accumulated, date employment commenced, nature of company business, date of last salary payment (if retired).
- › **Sale of Interest in company:** Name and address of the company, nature of business, connection to the company, currency and amount received for sale, date of sale, net amount received from sale.
- › **Sale of Shares or Investment:** Name and address of company that held the shares, name of the person who held the shares/ investments, how shares were sold (if applicable), description of shares or investments, length of time shares held, currency and amount received for sale, date of sale.
- › **Sale of Property:** Address of property sold, total sale amount and currency, date of sale, amount received from the sale.
- › **Inheritance:** Donor's name, relationship of client to the donor and date of donor's death, details of the assets forming the inheritance, currency and amount received, date received.
- › **Gift:** Name of the person who gave the gift, relationship to the donor, reason for the gift, description of the gift, total amount received with currency, date received.
- › **Competition or Gambling Win:** The name of the organisation providing the winnings, how was the money won, amount of winnings and currency, date of winnings received.
- › **Maturing Policy/claim or replacement:** Reason for policy claim or replacement policy, name of company or provider issuing the policy, name of person(s) who held the policy, total amount and currency received, surrender penalty (if any), date received, length of time policy held.
- › **Compensation:** Who paid the compensation, reason for compensation, total amount and currency received, date received.
- › **Loan:** Name and address of loan provide, reason for loan, total amount and currency borrowed, date of the loan.
- › **Other:** Nature of activities generating the wealth, role in relation to the above activities, period over which the activities occurred, total amount and currency of proceeds generated over the period from the activities, date proceeds received.

5 DECLARE AND UPLOAD

SUITABILITY DECLARATION (BASED ON CLIENT'S ANSWER IN THE IMPORTANT FACTS STATEMENT APPLICABLE TO HONG KONG ONLY)

A B

DOCUMENT UPLOAD CHECKLIST

You will need to upload a scanned image (OR copy) of the certified true copy of the original (applicable to HK) and the following forms.

For Hong Kong	For Singapore	For others
Proof of identification	Proof of identification	Proof of identification
Proof of residential address	Proof of residential address	Proof of residential address
Important Facts Statement and Replacement Declaration (Original copy to be sent to QINT)	Appointment of fund adviser form (if applicable)	Appointment of fund adviser form (if applicable)
Customer Protection Declaration (if replacing a life policy)		Charging Structure (if applicable)
Signed personalised illustration		Taxation document (if applicable)
PI Declaration (applicable to CIP and EIP only)		
Financial Needs Analysis and Risk Profile Questionnaire (the agreed template/pack as cleared by us)		
Affordability Declaration (if any applicant is aged 60 or above)		
Appointment of fund adviser form (if applicable)		
Charging Structure (applicable to EIP and CIP only)		
Taxation document (if applicable)		

POLICYHOLDERS (APPLICABLE TO SINGAPORE ONLY)

	Policyholder 1	Policyholder 2 (if any)
Name		
	Ordinarily resident	Ordinarily resident
	Not ordinarily resident - Evidence	Not ordinarily resident - Evidence
	Not ordinarily resident - No evidence	Not ordinarily resident - No evidence
	Not ordinarily resident - Not Singapore citizen	Not ordinarily resident - Not Singapore citizen
	Policyholder 3 (if any)	Policyholder 4 (if any)
Name		
	Ordinarily resident	Ordinarily resident
	Not ordinarily resident - Evidence	Not ordinarily resident - Evidence
	Not ordinarily resident - No evidence	Not ordinarily resident - No evidence
	Not ordinarily resident - Not Singapore citizen	Not ordinarily resident - Not Singapore citizen

APPLICANT ACCREDITED INVESTOR DECLARATION (APPLICABLE TO SINGAPORE ONLY)

Is the applicant an Accredited Investor? Yes No

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost Wealth Solutions is the registered business name of Utmost International Isle of Man Limited Singapore Branch.

Utmost International Isle of Man Limited Singapore Branch, 6 Battery Road #16-02, Singapore 049909.

Tel: +65 6216 7990 Fax: +65 6216 7999.

Registered in Singapore Number T08FC7158E. Authorised by the Monetary Authority of Singapore to conduct life assurance business in Singapore.

Member of the Life Insurance Association of Singapore. Member of the Singapore Finance Dispute Resolution Scheme.

Utmost International Hong Kong Office: Unit 2402C, Great Eagle Centre, 23 Harbour Road, Wanchai, Hong Kong.

Tel: +852 3552 5888 Fax: +852 3552 5889. Authorised by the Insurance Authority of Hong Kong to carry on long-term business.

Utmost International Isle of Man Limited is registered in the Isle of Man under number 024916C.

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Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

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