REQUEST TO CHANGE TIER
要求更改資產級別

WEALTH MANAGEMENT PLAN
先機資本理財計劃

USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

填寫 PDF 表格

為確保您的資料能正確儲存，我們建議您先將表格下載儲存到電腦，才開始填寫。

THIS DOCUMENT WAS LAST UPDATED IN JANUARY 2021.

Please confirm with your financial adviser that this is the most up-to-date document for your product or servicing need.

本表格於 2021年1月作出最新更新。

請向理財顧問查詢本表格是最新版本以及切合您的需要。

Please complete this form if you want to request access to Tier 2 Assets under your Wealth Management Plan.

如要求投資於先機資本理財計劃的第2級資產，請填妥本表格。

All references to Utmost International in this form mean Utmost International Isle of Man Limited.

本表格提及奧摩斯國際時均指 Utmost International Isle of Man Limited。

When completing this form, please use BLOCK CAPITALS and blue or black ink only and complete all relevant sections. Please do not use correction fluid; any amendments should be crossed out and initialled. This form must be signed by each Policyholder.

填寫本表格時，請使用正楷及藍色或黑色原子筆填寫，並填妥所有相關部分。請勿使用塗改液。如有修改，應刪去原有字詞並且加簽。本表格必須由每位保單持有人簽署。

IMPORTANT INFORMATION FOR YOU 重要資料

Before you make the request, please ensure you have read the following.

作出要求前，請確保您已閱讀以下內容。

Assets available 可供投資的資產

CATEGORY 類別	ASSETS 資產	AVAILABLE TO 可供以下人士投資
Tier 1 Assets 第1級資產	SFC authorised mutual funds & ETFs available within Wealth Management Plan at the time 獲證監會認可及先機資本理財計劃當時提供的互惠基金及交易所買賣基金	All applicants applying for Wealth Management Plan 先機資本理財計劃的所有申請者
Tier 2 Assets 第2級資產	Broader asset choices based on certain asset acceptability criteria which also include Tier 1 Assets 更多資產選擇(視乎若干資產接納準則而定)，同時亦涵蓋第1級資產	Policyholders left Hong Kong and residing in another jurisdiction 已離開香港及居於另一個司法管轄區的保單持有人

Eligibility 申請資格

Access to the Tier 2 Assets will be subject to:

› our reasonable requirements including the provision of proof of your new address; and

› our acceptance of your request.

投資於第2級資產須符合以下條件:

› 本公司的合理要求，包括要求您提供新地址的證明；及

› 要求獲本公司接納。

Should you subsequently return to reside in Hong Kong again, you will be restricted to access to Tier 1 Assets (i.e. a restricted range of investment choices made available to you at that time). You will not have to sell any Tier 2 assets you have acquired whilst you had access to them before. If you are paying regular premiums, you will be asked to provide us with the new dealing instruction where your regular premiums are being allocated to Tier 2 Assets.

如果您隨後返回香港居住，您只可以投資第1級資產(亦即屆時所提供而有規限的投資選擇)。您無須賣出早前已買入的第2級資產。若您支付定期供款，您須提供新的交易指示以更改分配至第2級資產的定期供款。

If you have an Authorised Custodian, then any delegation to the Authorised Custodian and Dealing Desk will terminate immediately on your return. The Authorised Custodian Amendment Charge will not apply if you return to Hong Kong and as a result you have to transfer assets to the Default Custodian.

如果您已授權託管人，對授權託管人及交易部門的委託(如有)將於您返港時即時終止。您毋須就返港須轉移資產予我們預設的託管人而支付授權託管人修訂費。

Minimum plan value 最低計劃價值

A minimum of HK$1,200,000/£100,000/US$150,000/€150,000 (or currency equivalent) of plan value is required upon your request and our acceptance to such a request.

當您提出更改要求及我們接納該要求時，最低計劃價值須達到1,200,000港元/100,000英鎊/150,000美元/150,000歐元(或等值貨幣)。

Minimum required on asset choices 資產選擇的最低金額要求

There is no limit to the number of investment choices you can hold. The minimum investment amount per asset is HK$4,800/£400/US$600/€600 (or currency equivalent) or those imposed by the underlying asset managers/Authorised Custodian.

您可持有的投資選擇數目不設限制。每項資產的最低投資金額為4,800港元/400英鎊/600美元/600歐元(或等值貨幣)或者相關資產經理/授權託管人所設的最低投資金額。

Other applicable charges 其他適用收費

Apart from the charges listed in the Charges Table in the Wealth Management Plan product brochure, the charges below also apply.

除先機資本理財計劃產品說明書收費表所列的收費，以下收費亦適用。

	DESCRIPTION AND AMOUNT OF CHARGE 收費說明及金額	HOW, WHERE AND WHEN THE CHARGE IS DEDUCTED 扣減收費的方式、來源及時間
Plan charges 計劃收費		
Asset dealing charge 資產交易費	A charge of HK$60 (or currency equivalent) is applied to each transaction to buy or sell a Tier 2 Asset made online via the Online Service Account on Wealth Interactive. 透過盈富互動網上服務戶口買入或賣出每個第2級資產的每筆交易須支付收費60港元(或等值貨幣)。 If any request is made offline (e.g. via fax or hard copies), an Offline Asset Dealing charge of HK$180 (or currency equivalent) is applied to each transaction to buy or sell a Tier 1 or Tier 2 asset. 如非於盈富互動網上(例如透過傳真或書面文件)提出要求，每次買入或賣出每個第1級或第2級資產的非網上資產交易費為180港元(或等值貨幣)。 No asset dealing charge will apply to the transaction notified to us by your Authorised Custodian via an electronic means or electronic data feed acceptable to or made available by us. If your Authorised Custodian does not use the electronic means or electronic data feed, then the HK$180 (or currency equivalent) charge outlined above will apply. 您的授權託管人透過我們接納或提供的網上途徑或數據形式通知我們的交易，均毋須支付資產交易費。但如果您的授權託管人並非使用上述的方式，以上提及的180港元(或等值貨幣)的資產交易費將適用。	The total applicable amounts are accrued quarterly and the amount of the charge is calculated on each Quarterly Date. The amount is deducted in the plan currency on the last working day of the second month following each Quarterly Date or the Final Valuation Date, if applicable, from the Transaction Account. 總額按照每一季度累計，而收費金額在每一季度日計算。金額在每一季度日後第二個月的最後一個工作日或最後估值日期(如適用)從交易戶口中以計劃貨幣扣除。

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Administration charge 行政費	A charge of HK$1,800 (or currency equivalent) per quarter is applied. 每季為1,800港元(或等值貨幣)。	The charge will apply from the next Quarterly Date after your request has been accepted. It is deducted in full, not on a proportionate basis, on the last working day of the second month following each Quarterly Date, from the Transaction Account in the plan currency. 收費在您的要求獲接納後的下一季度日起適用。收費在每一季度日後第二個月的最後一個工作日從交易戶口中以計劃貨幣全數(而非按比例)扣除。
Authorised custodian amendment charge (if applicable) 授權託管人修訂費(如適用)	A charge of HK$3,000 (or currency equivalent) is applied if you want to change the Authorised Custodian. 如欲轉換授權託管人,須支付3,000港元(或等值貨幣)。	It is deducted from the Transaction Account in the plan currency at the beginning of the transfer process. 在轉移過程開始時,將從交易賬戶中以計劃貨幣扣除。

A POLICY DETAILS 保單詳情

Policy number 保單編號

If you have already updated your residential address and correspondence address via your Online Service Account on Wealth Interactive, please tick here (✓) and go to Section B.

如您已透過盈富互動網上服務戶口更新住址及通訊地址,請在此劃上剔號(✓)並填妥B部分。 ☐

▶ Please ensure valid residential address proof within the last six months has been submitted and duly certified by a notary public, commissioner for oaths, lawyer or advocate, etc. Your financial adviser will be able to advise other acceptable suitable certifiers.

請確保您已提交最近六月內發出的有效住址證明,並由例如公證人、監誓員或律師等作出合適核證。您的理財顧問能建議其他可接受的合適核證人。

If you have not updated your residential address and correspondence address via your Online Service Account on Wealth Interactive, please complete the below.

如並非透過盈富互動網上服務戶口更新住址及通訊地址,請填寫以下內容。

▶ Please ensure valid residential address proof within the last six months has been submitted and duly certified by a notary public, commissioner for oaths, lawyer or advocate, etc. Your financial adviser will be able to advise other acceptable suitable certifiers.

請確保您已提交最近六月內發出的有效住址證明,並由例如公證人、監誓員或律師等作出合適核證。您的理財顧問能建議其他可接受的合適核證人。

	Policyholder 1 保單持有人1	**Policyholder 2 保單持有人2**
Full name 全名		
Residential address 住址	 Postcode 郵政編碼 Country 國家	 Postcode 郵政編碼 Country 國家
Correspondence address (We are unable to accept PO Box or C/O addresses) 通訊地址 (郵箱號碼或轉交地址不獲接受) 住址	 Postcode 郵政編碼 Country 國家	 Postcode 郵政編碼 Country 國家
Telephone number including area code 電話號碼 連區號		
Email address 電郵地址		

B DECLARATION 聲明

Please read the following carefully.

請仔細閱讀以下內容。

1. I confirm that I have the expertise, experience and knowledge to adequately understand the features and risks associated with investing in Tier 2 Assets under the Wealth Management Plan. I understand that Utmost International will rely solely on my confirmation of my expertise, experience and knowledge, as part of their criteria of acceptance of request to access to Tier 2 Assets.

   本人確認本人具有專門知識、經驗和理解，以充分瞭解先機資本理財計劃的第2級資產的特點及投資於其的相關風險。本人明白奧摩斯國際將只會依靠本人就本人的專門知識、經驗和理解的確認，作為接納本申請的準則之一。

2. If I consider myself to no longer have the expertise, experience and knowledge in the future, I understand that Utmost International will not restrict the choice of assets available and that it is mine and my financial adviser's responsibility to review the continued suitability of the chosen assets.

   若日後本人認為本人不再擁有專門知識、經驗和理解，本人明白奧摩斯國際將不會限制本人可選取的資產，而本人及本人之理財顧問有責任檢視所選資產的持續合適性。

3. I may wish to invest into professional/non-retail assets and, if so, I will make sure that I have had the opportunity to read the relevant offering documents of the assets of this nature. Where I decide to invest in professional/non-retail assets, I accept the level of risk associated with these, including the risk that the investment into such assets could:

   本人可能希望投資專業／非零售資產；在此情況下，本人會確保本人有機會細閱這類資產的相關銷售文件。若本人決定投資於專業／非零售資產，本人將接受與這類資產相關的風險水平，包括投資此類資產的風險可能會：

   a. provide a lower lesser degree of investor protection and regulatory safeguards;

      提供較低程度的投資者保護和監管保障；

   b. result in a loss of significant proportion of some or all of the sums invested; and

      導致部分或全部的投資金額的重大損失；及

   c. have a minimum duration, impose significant redemption penalties or are illiquid.

      有一段最少時期需面臨重大的贖回罰款或缺乏流動性。

4. I/We confirm that I/we no longer reside in Hong Kong and have submitted proof of current residential address for my/our request to access to Tier 2 Assets. I/We agree to provide Utmost International with any further information upon request.

   本人／吾等確認，本人／吾等不再居於香港，且已就要求投資第2級資產遞交現時住址的證明。本人／吾等同意應要求向奧摩斯國際提供任何其他資料。

5. I/We confirm that Utmost International has not provided any investment advice, and I/we or my/our fund adviser am/are/is responsible for the selection of assets to be linked to the Portfolio Fund. Utmost International does not have any responsibility for the management of the underlying assets and does not recommend any asset as a suitable investment.

   本人／吾等確認，奧摩斯國際並無提供任何投資建議，而本人／吾等或本人／吾等的基金顧問全權負責選擇與組合基金相關的資產。奧摩斯國際對相關資產的管理不承擔任何責任，亦不建議任何資產為合適投資。

6. I/We confirm that I/we have read and fully understood the information under the section 'Important information for you' on page 1. I/We am/are aware of the plan charges which will now apply to my/our policy on acceptance of this request. I/We understand that the required minimums mentioned in the section may change in the future.

   本人／吾等確認，本人／吾等已閱讀並完全明白第一頁「重要資料」一欄的資料。本人／吾等知悉計劃收費將於本要求接納後適用於本人／吾等的保單。本人／吾等明白該欄提及的所有最低金額要求日後可能更改。

7. I/We understand that if I/we subsequently return to reside in Hong Kong, my/our policy will be restricted to access to Tier 1 Assets only. I/We confirm that I/we have read and understood the Declarations above and that the information contained in this request is true and correct.

   如本人／吾等隨後返回香港居住，本人／吾等明白本人／吾等的保單將受限制並只可以投資第1級資產。本人／吾等確認已細閱並明白以上聲明，而本表格內提供的資料均正確無誤。

	First or sole Policyholder 第一或單一保單持有人	**Second or joint Policyholder (if applicable) 第二或聯名保單持有人（如適用）**
SIGNATURE 簽署		
Date 日期	d d m m y y y y	d d m m y y y y

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Important note: The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change.
To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

重要提示：截至2021年12月止，本文件所列的產品名稱及相關內容仍是準確，但有機會改變。為確保本文件對相關產品及保單(如適用)的適用性，在採取任何行動前，請與您的理財顧問和/或直接聯絡我們。

RESET

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www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost International Hong Kong Office: Unit 2402C, Great Eagle Centre, 23 Harbour Road, Wanchai, Hong Kong.
Tel: +852 3552 5888 Fax: +852 3552 5889. Authorised by the Insurance Authority of Hong Kong to carry on long- term business.

Utmost International Isle of Man Limited is registered in the Isle of Man under number 24916C.
Registered Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.
Tel: +44 (0)1624 655 555 Fax: +44 (0)1624 611 715. Licensed by the Isle of Man Financial Services Authority.

Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

為提供培訓用途及避免誤會，您與我們的對話內容可能會被監察或錄音。

香港辦事處：香港灣仔港灣道23號鷹君中心2402C室

電話：+852 3552 5888 傳真：+852 3552 5889

獲香港保險業監管局授權經營長期業務。

Utmost International Isle of Man Limited於馬恩島註冊，註冊編號為24916C。

註冊辦事處：King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles

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獲馬恩島金融服務管理局（Isle of Man Financial Services Authority）發出牌照。

奧摩斯財富 （Utmost Wealth Solutions）為Utmost International Isle of Man Limited在馬恩島註冊的業務名稱。

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