

# SWITCH OF INVESTMENT OBJECTIVE AND/OR RISK PROFILE

For use with Delegation only.

## IMPORTANT NOTES

Use this form if you wish to change your investment objective and/or risk profile for any of the External Managed Accounts linked to your bond. The investment objective must be robust and suitably broad enough to allow independent management of the investment by your chosen External Manager and Custodian (EMC).

Before completing this form, ensure you have discussed this with your financial adviser and have read the following notes carefully.

### HOW TO COMPLETE THIS FORM

Please complete this form using **black ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. **Do not use correction fluid.**

**SIGNATURE** This symbol highlights the signature section within this form which must be signed by the policyholder, authorised signatory or trustee as applicable.

Ensure that all sections are fully completed. Words in the singular shall include the plural and vice versa.

### NUMBER OF SWITCHES

- › You can provide investment objectives and/or risk profiles for your bond up to three times in any 12 month rolling period, beginning from when the bond is issued.
- › If your bond is linked to multiple External Managed Accounts, the changes will apply to the External Managed Account you have specified on page 2. If you would like to change the investment objectives and/or risk profiles for multiple External Managed Accounts, please complete a separate form for each External Managed Account. Each change is counted towards the total of three available per rolling 12 month period for your bond.

### NON-MAINSTREAM POOLED INVESTMENTS

If you understand and are comfortable with the risks associated, you may wish to link your External Management and Custody account within your bond to Unregulated Collective Investment Schemes (UCIS) and certain close substitutes, together known as Non-Mainstream Pooled Investments (NMPs), which are investments that are generally restricted to sophisticated investors and high net worth individuals.

If you wish to invest in these funds, you will need to complete our separate **Investor Declaration and Non-Mainstream Pooled Investments Opt In form**, available from your financial adviser on request.

### WHAT TO DO NEXT

Together with this form, you must complete an Investment Mandate which is specific to your chosen EMC. A copy of the mandate that you must complete can be obtained directly from your chosen EMC or your financial adviser.

**Note:** Both documents must be sent to us and not sent directly to your EMC.

Once completed, please arrange for your financial adviser to return this form and the EMC's Investment Mandate to **External Management and Custody Operations Team, Utmost PanEurope dac, C/O Utmost Administration Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.**

## A WEALTH *of* DIFFERENCE

[www.utmostinternational.com](http://www.utmostinternational.com)

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland (No 311420). Its registered office is Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland. Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

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**A POLICYHOLDER DETAILS**

<b>1</b>	Policy number	<input type="text"/>
<b>2</b>	Full names of the policyholder(s)	<input type="text"/>
<b>3</b>	Full residential address	<input type="text"/>
	Postcode	<input type="text"/>
<b>4</b>	Telephone number (Including international dialling codes)	<input type="text"/>

**B EXTERNAL MANAGER AND/OR CUSTODIAN DETAILS**

Complete this section with the details of the External Managed Account to which you would like to apply the change in investment objective and/or risk profile.

**EXTERNAL MANAGER AND/OR CUSTODIAN/INVESTMENT PLATFORM**

<b>1</b>	Name of Firm	<input type="text"/>
<b>2</b>	Branch address	<input type="text"/>
	Postcode	<input type="text"/>
<b>3</b>	Custodian/Platform (if different from the External Manager)	<input type="text"/>
<b>4</b>	External Managed Account reference number	<input type="text"/>

**C SIGNATURES**

Throughout this declaration, 'I', 'me' and 'my' refers to the applicant, policyholder or trustee.

By signing this form:

- › I agree to the change of investment objective and/or risk profile being applied to the External Managed Account specified in section B of this form.
- › I understand that the number of switches available in any rolling 12 month period is three for the bond as a whole and not individual External Managed Accounts.
- › I confirm that the information I supply in the Investment Mandate should be suitably broad to allow independent management of the investment by the External Manager. If the information I supply in the Investment Mandate is deemed too specific, I am aware that the External Manager can reject the request and delay the change in objective and/ or risk profile until a suitable mandate is submitted.

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