

TRANSFERRING ASSETS TO UTMOST INTERNATIONAL UNDER YOUR WEALTH MANAGEMENT PLAN

轉移資產至先機資本理財計劃

Wealth Management Plan number 先機資本理財計劃編號	<input type="text"/>		
Financial adviser details 理財顧問資料			
Utmost International account number 奧摩斯國際編號	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Adviser ID 理財顧問識別號碼	<input type="text"/>		
Name of financial adviser 理財顧問姓名	<input type="text"/>		
Company name 公司名稱	<input type="text"/>		
Address 地址	<input type="text"/>		
Telephone number 電話	<input type="text"/>	Fax number 傳真	<input type="text"/>
E-mail address 電郵地址	<input type="text"/>		
Utmost International only accepts business introduced by companies which have Terms of Business with us. 奧摩斯國際僅接受與本公司已訂立業務條款的公司所轉介的業務。			

This form should be used when transferring external assets to Utmost International under your Wealth Management Plan. Before completing this form please make sure you receive and read the relevant principal brochure and Product Key Facts of the Wealth Management Plan.

PLEASE NOTE THE POWER OF ATTORNEY PART OF THIS FORM CANNOT BE USED BY TRUSTEES.

All references to Utmost International in this form mean Utmost International Isle of Man Limited.

To complete this form:

- › use CAPITAL LETTERS only
- › use blue or black ink
- › specify choices as appropriate
- › complete all relevant sections
- › do not use correction fluid; any amendments should be crossed out, dated and initialled by all applicant(s)/plan owner(s).

We will contact you regarding any missing information which will need to be provided in writing by you and this may delay your asset transfer.

此表格適用於轉移外方資產至奧摩斯國際計劃。在填寫表格前，請確定您已收妥並閱讀先機資本理財計劃相關的主要推銷刊物。

請注意，本表格的授權書部分並不適用於信託人。

文中提及的「奧摩斯國際」、「我們」均指「Utmost International Isle of Man Limited」。

填寫本表格時：

- › 僅以英文大楷填寫
- › 使用藍色或黑色原子筆
- › 於適當處確定選擇
- › 填寫所有相關部分
- › 不可使用塗改液。如有任何修改，應將原字劃掉，並由所有申請者／計劃持有人簽署。

如有發現任何資料遺漏，我們會聯絡您並要求以書面提供遺漏資料，程序可能會延誤您的資產轉移。

A DETAILS OF THE APPLICANT(S)/PLAN OWNER(S) 申請者／計劃持有人資料

	Applicant/Plan owner 1 申請者／計劃持有人 1	Applicant/Plan owner 2 (if any) 申請者／計劃持有人 2 (如適用)
Title (✓) 稱銜 (✓)	Mr 先生 Mrs 太太 Miss 小姐	Mr 先生 Mrs 太太 Miss 小姐
	Other (please specify) 其他 (請註明) <input type="text"/>	Other (please specify) 其他 (請註明) <input type="text"/>
Full forename/s 名字	<input type="text"/>	<input type="text"/>
Surname 姓氏	<input type="text"/>	<input type="text"/>

B DETAILS OF THE PLAN 計劃資料

Plan number
計劃號碼

C SCHEDULE OF ASSETS TO BE TRANSFERRED 資產轉移一覽表

This service is available for initial investment as well as additional investments which met the respective minimum premium amounts. List the assets which you wish to transfer to Utmost International under your plan in the below table. Each asset must have a minimum value of HK\$60,000/£5,000 (or other currency equivalent). Statements/supporting documents have to be within six months old. Failure to include the required documentation could delay and/or result in a transfer being cancelled.

Please note we can only accept the transfer of your existing asset(s) if they are the underlying asset(s) listed in the Utmost International investment choices brochure. Should you leave Hong Kong to reside in another jurisdiction after your Wealth Management Plan has been commenced, we may accept the transfer of a wider range of asset(s) subject to your application and our criteria at the time.

此項服務適用於首次投資及額外投資（兩者須符合有關最低投資金額要求）。請於下表列出將被轉移至奧摩斯國際計劃內的資產詳情。每項資產價值須為最少港幣 60,000／英鎊 5,000（或其他貨幣的等值）。相關證明文件必須為最近六個月內發出。假若未能提供要求的文件，資產轉移可能會受阻延及或／被取消。

請注意，我們只接受轉移的資產必須列載於奧摩斯國際投資選擇刊物中的相關基金。如您於計劃開立後離開香港到另一個司法權的地區居住，我們會根據你的申請及當時的準則而可能接受更廣泛的資產轉移。

Please see section H for further information on the procedures when transferring assets to Utmost International under your plan.

請參閱H部分有關資產轉移至奧摩斯國際計劃的程序。

NUMBER OF SHARES/ UNITS/ NOMINAL ASSET VALUE 股份／單位數目面值	SECURITY IDENTIFIER SEDOL/ISIN 證券號碼 SEDOL/ISIN 編號	FULL NAME AND TYPE OF ASSET 資產全名及類別	PLEASE INDICATE: CERTIFICATED, NON-CERTIFICATED OR HELD BY AGENT 請闡明：獲發／非獲發 證書證明或代理人持有	ACCOUNT NUMBER OF NON-CERTIFICATED SECURITIES 非獲發證書證明計劃號碼	APPROXIMATE VALUE 大約價值

All assets to be transferred must be pre-approved by Utmost International and listed in the "Investment Choices" brochure. Please contact your fund adviser or Utmost International for the most updated list. Further information will be supplied including details of valuation procedures which will be adopted in respect of such holdings.

You must meet any liability that you will have to personal taxation as a result of this transfer. This liability will not be met by Utmost International from the proceeds of the transfer.

所有將予轉移的資產，必須事先獲得奧摩斯國際批准，並已列於「投資選擇說明書」中。請向你的理財顧問或奧摩斯國際索取最新版本。我們會提供包括就有關持有資產所採取的評估程序的詳情。

您須就因轉移資產而引致的個人稅項履行稅務責任，奧摩斯國際不會自資產轉移的收益中扣付稅項。

Contact details for where assets held*:

資產持有者／機構聯絡詳情*：

Name of Institution(s) 機構名稱	<input type="text"/>		
Name of contact 聯絡人姓名	<input type="text"/>	Telephone 電話	<input type="text"/>
E-mail 電郵	<input type="text"/>	Fax 傳真	<input type="text"/>

* If your assets are located in different institutions/locations, please photocopy this page and fill in this part, attach together with relevant documents for submission. Each separate page must be initialled by all applicants.

* 假若您的資產分佈在不同機構／地方，請複印本頁並填上相關資料，連同相關文件一併呈交。每一附頁均須經由所有申請者簽署。

D ASSET TRANSFER AUTHORITY
資產轉移授權

To: Utmost International Isle of Man Limited

致: King Edward Bay House

King Edward Road

Onchan

Isle of Man IM99 1NU

Tel: +44 (0)1624 655 555

Fax: +44 (0)1624 611 715

1. As owner(s) of the assets listed in the schedule on the previous page ('the Assets'), I/we, in connection with an application for an Utmost International ('plan') by

1. 本人／人等是隨附一覽表所列資產(「該等資產」)的持有人，現僅就以下奧摩斯國際計劃的申請：

Name of applicant(s)/plan
owner(s)
申請者／計劃持有人姓名

hereby irrevocably authorise Utmost International Isle of Man Limited ('Utmost International') to do any or all of the following as Utmost International in its absolute discretion shall decide:

全權授予 Utmost International Isle of Man Limited (「奧摩斯國際」)，按照其全權酌情規定，處理下列任何或全部事宜：

- i. Transfer to Utmost International any or all of the Assets at the market value obtainable by Utmost International for the Assets at the time of receipt.
以奧摩斯國際接獲該等資產時可獲得之市價轉移該等資產的部份或全部給奧摩斯國際；
- ii. Return to me/us any of the Assets that are not transferred as aforesaid.
將任何尚未如上文所載而轉移的資產交還給本人／人等。
2. I/We further authorise Utmost International to immediately apply any amount credited to me/us under 1 above to the plan as premium and insert the appropriate amount in the plan application form(s).
本人／人等進一步授權奧摩斯國際即時將上文第 1 項應交予本人／人等的任何款額，用作支付計劃供款，並在計劃申請表格上填上有關款額。
3. I/We understand that the transfers in 1(i) above will normally take place as soon as possible following the receipt of the correctly completed bond application form(s) and asset transfer authority, the relevant share certificates and/or other proof of title and, where appropriate, a completed power of attorney by Utmost International at the above address.
本人／人等明白上文第 1 (i)項所載的資產轉移，在一般情況下將由奧摩斯國際於上述地址接獲正確填妥的計劃申請表格、轉移資產授權表格、有關資產及／或其他擁有權文件(在適當情況下，另加已填妥的授權書)後盡快進行。
4. I/We further understand that if for any reason the applicant(s) do not proceed with the application for the plan all charges incurred by Utmost International will be deducted from the proceeds paid over to me/us.
本人／人等進一步明白，如果申請人因任何理由不繼續提出計劃的申請，奧摩斯國際支付的一切費用，將由向本人／人等收取的收益中扣除。
5. I/WE HEREBY DECLARE THAT:
 - a. I/We have the necessary power and authority to dispose of the Assets listed.
 - b. None of the Assets listed are subject to any lien, charge, encumbrance or third-party right.
 - c. I am/We are not a director/directors (or closely related to a director) of, or employed by, a company which has issued any of the Assets, nor of a subsidiary (as defined in Section 736 of the United Kingdom Companies Act 1985) of such a company.本人／人等謹此聲明：
 - a. 本人／人等有足夠權力和授權，轉移所列的資產。
 - b. 所列的資產概無附有任何留置權、抵押、質押或第三者權利。
 - c. 本人／人等並非發行任何該等資產的公司的其中一名／多名董事(或與其中一名董事有密切聯繫)，或受僱於上述公司，或為上述公司的附屬公司(按照一九八五年英國公司法第736條所界定)。

6. I/We agree to:

- i. Account to Utmost International for all dividends, interest or other rights or benefits received or receivable by me/us after the transfer of the Assets listed to which I am/we are not entitled.
- ii. Pay all calls or other payments due in respect of the Assets before the transfer (except in so far as they were taken into account in the market value) and to forward to Utmost International all notices and communications received by me/us relating to the Assets and having effect after the date of transfer.

本人／人等同意：

- i. 本人／人等於轉移所列資產後，將已接獲或可接獲但並不享有的一切股息、利息或其他權益或利益，交予奧摩斯國際。
- ii. 於轉移資產前支付有關資產的一切催繳費用或其他欠款（除非已計入市值之中），並將本人／人等於轉移資產當日後接獲有關資產的一切通告及通函送交奧摩斯國際。

7. I/We understand that should the certificates or other documentation of title not accurately reflect my/our holding in the respective companies, I/we will reimburse Utmost International to the extent of any loss suffered by Utmost International.

本人／人等明白如果任何證書或其他擁有權文件未能準確反映本人／人等在有關公司的所持資產，本人／人等將償付奧摩斯國際所承擔的任何損失。

8. I/We understand that the title to my/our existing assets will be transferred to and become the assets of Utmost International upon completion of transfer of assets. I/We will cease to have any legal ownership or beneficial interest in the transferred assets. I/We will then be allocated (notional) units in the investment choices under my/our Wealth Management Plan corresponding to the transferred assets.

在轉移完成後，本人／人等明白本人／人等現時持有資產的所有權將會轉移至奧摩斯國際並成為其所擁有資產。本人／人等對所轉移之相關資產之法定所有權及實質權益將會終止。本人／人等將會在本人／人等的先機資本理財計劃之下獲分配對應已轉移資產之（概念性）單位。

applicant/plan owner 1
申請者／計劃持有人1簽署

SIGNATURE
簽署

Date 日期

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Full name of applicant/
plan owner 1
申請者／計劃持有人1全名

applicant/plan owner 2 (if any)
申請者／計劃持有人2簽署（如適用）

SIGNATURE
簽署

Date 日期

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Full name of applicant/
plan owner 2 (if any)
申請者／計劃持有人2
全名（如適用）

E POWER OF ATTORNEY
授權書

THE POWER OF ATTORNEY PART OF THIS FORM IS NOT SUITABLE FOR USE BY TRUSTEES

本表格的授權書並不適用於信託人

To: Utmost International Isle of Man Limited
致: King Edward Bay House
King Edward Road
Onchan
Isle of Man IM99 1NU
Tel: +44 (0)1624 655 555
Fax: +44 (0)1624 611 715

THIS POWER OF ATTORNEY IS MADE THIS:

本授權書於:

DAY OF 日 (MONTH) 月 (YEAR) 年

by (give full names as they
appear on the certificates)
由(填寫股票或證書上相同的全
名)

I/WE HEREBY APPOINT Utmost International Isle of Man Limited (hereinafter called 'the Attorney') to be my/our Attorney to act in my/our name(s) and on my/our behalf by deed or otherwise to do any or all of the things necessary for the purpose of carrying into effect the provisions of the asset transfer authority dated:

本人／人等謹此委任 Utmost International Isle of Man Limited 下文簡稱(「代理人」)為本人／人等的代理人，代表本人／人等以本人／人等的名字透過契據或其他方式，處理任何或全部所需事項，以便履行在下列日期訂立的轉移資產授權的規定：

DAY OF 日 (MONTH) 月 (YEAR) 年

attached hereto and to:

在此附上：

1. execute or sign any deed transfer or other document as may be required to effect the transfer or disposal of the securities and to give good receipts and discharges for all or any monies received in the same manner as fully and effectively in all respects as I/we could do if personally present; and

訂立或簽署所需的任何契據轉移或其他文件以轉移或讓與證券，並接收及清還全部或任何款項，在各方面猶如本人／人等親身處理一樣；及

2. exercise all other rights and privileges and perform all duties which may now or in the future belong to me/us as holder(s) of the securities; and

行使本人／人等作為證券持有人於現時或日後可能享有的一切其他權利及特權，以及履行一切有關的責任；及

3. generally act and deal in relation to the securities in all respects and whether through brokers, agents or otherwise as I myself/we ourselves could act or deal.

在一般情況下進行及處理有關證券的一切事宜，而不論是否透過經紀、代理人或其他人士進行及處理，猶如本人／人等親身進行或處理一樣。

I/We hereby undertake if so required to ratify and confirm all that my/our Attorney may do under this power and hereby declare that I/we have all necessary power of authority to dispose of the securities and that none of them are subject to any lien, charge or encumbrance or any rights of any third party.

本人／人等謹此承諾在有需要的情況下，會批准及確認本人／人等的代理人可能根據本授權書處理的一切事項，並謹此聲明本人／人等擁有足夠授權讓與有關證券，而證券並無附有任何留置權、抵押或質押或任何第三者權利。

IN WITNESS WHEREOF this document has been executed as a deed on the day, month and year first before written in the presence of the person(s) shown below.

在下列見證人在場之下，雙方僅於上述首訂日期訂立本契約。

1. Signed and delivered as a deed

簽署及交付作為契約

SIGNATURE

簽署

--

Date 日期

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

By the said insert full
name

--

上述人士全名

In the presence of
Full name of witness

--

見證人全名

Signature of witness
見證人簽署

--

Address of witness
見證人地址

	Country 國家

Occupation of witness
見證人職業

--

2. Signed and delivered as a deed (if any)

簽署及交付作為契約(如適用)

SIGNATURE

簽署

Date 日期

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

By the said insert full name

上述人士全名

In the presence of
Full name of witness

見證人全名

Signature of witness
見證人簽署

Address of witness
見證人地址

<input type="text"/>	
<input type="text"/>	
<input type="text"/>	Country 國家

Occupation of witness
見證人職業

F CHECKLIST FOR THE TRANSFER OF ASSETS

資產轉移清單

As a transfer of assets is to be effected, I/We have:

為進行資產轉移，本人／人等已經：

(✓)

enclosed a completed application form for Wealth Management Plan.

附上已填妥的先機資本理財計劃申請表格。

given full details of the assets to be transferred in section C **schedule of assets to be transferred**.

在C部分資產轉移一覽表填上被轉移資產的詳細資料。

enclosed certificates for all of the assets shown on the **schedule of assets to be transferred** in section C.

附上C部分資產轉移一覽表中所示的資產證明文件。

completed section D **asset transfer authority**.

填妥D部分資產轉移授權。

completed section E **power of attorney**.

填妥E部分授權書。

ensured that the signature of the witness has been included on the **power of attorney** in section E.

確認見證人已在E部分授權書上簽署。

ensured that the signature(s) of all the owner(s) of the securities shown on the schedule of assets are included in this form **transferring assets to Utmost International under your Wealth Management Plan**.

確認資產一覽表中所示的資產持有人已在本轉移資產至先機資本理財計劃表格上簽署。

enclosed a current valuation of assets to be transferred.

附上被轉移資產的現有估值。

enclosed contact details of my/our current custodian (if applicable).

附上本人／人等現有託管人聯絡資料。(如適用)

G PROCEDURES FOR TRANSFERRING ASSETS 資產轉移程序

This service is available for initial investment as well as additional investments which met the respective minimum premium amounts.

此項服務適用於首次投資及額外投資(兩者須符合有關最低投資金額要求)。

1. List the assets which you wish to transfer into your plan. Each asset must have a minimum value of HK\$60,000/£5,000 (or other currency equivalent).
2. Complete section D **asset transfer authority** and section E **power of attorney**. If any assets are held in joint names then both parties must complete these sections. Please remember that you must also have a witness to your signature as indicated on the **power of attorney**.
3. The **power of attorney** may not be an acceptable method of dealing with an asset transfer in certain locations. Wherever a power of attorney proves unacceptable, stock transfer forms may be issued which should be completed for each holding and sent directly to Utmost International. Clients may use this method for all assets if they so wish but the power of attorney method is recommended. Where you transfer assets which are currently held on your behalf by an appointed agent or nominee, then you must supply full details, including account number(s) and contact name and address, together with a copy of a recent statement. You should also write directly to the agent authorising them to deal with Utmost International over the transfer of the assets and supply a copy of the letter to Utmost International.
4. All fund certificates (including contract notes where available) should be sent with the completed **power of attorney**, **asset transfer authority** and the relevant Utmost International plan application form to Utmost International. The contract will then start on the working day that Utmost International receives information to its satisfaction that all necessary steps have been carried out to transfer legal ownership to Utmost International. However, if the transfer to Utmost International of the legal ownership of all the units or shares does not take place at the same time, then provided the net value of the units or shares first transferred into Utmost International ownership is at least equal to the minimum premium, then Utmost International may accept that amount and start the contract. Utmost International will treat the net value of any later transfer of ownership as an additional premium.

If the value transferred is less than our minimum stipulated premium (or additional premium) then we will normally hold it to your credit until the values transferred do meet our minimum stipulated premium or additional premium.

5. A statement of the value of the assets will be forwarded to the introducing financial adviser for distribution to you.
6. Utmost International will then transfer the assets in the plan into its name.
7. Completion of the transfer of asset into the name of Utmost International, or into our account with our appointed custodian, can take several weeks to complete. **Please note that an asset cannot be sold while the transfer is in progress.**

Utmost International does not normally make a charge for the asset transfer service. Any custodian fees applicable when transferring the asset will be met by Utmost International. **However, Utmost International reserves the right to debit the plan with the costs incurred in the event that:**

- i. excessive 'out of pocket' expenses are incurred, for example stamp duty in territories such as Australia and Hong Kong.
 - ii. assets transferred are subsequently sold within three months of the transfer being completed.
1. 列出您需要轉移到計劃的資產。每項資產價值須為最少港幣 60,000／英鎊 5,000 (或其他貨幣的等值)。
 2. 填妥D部分資產轉移授權及E部分授權書。假若有任何資產以聯名形式持有，所有持有人均應填妥上述部分。請緊記，根據在授權書上的指引，在您簽署時必須要有見證人在場見證。

3. 若干地區可能不接受以授權書形式轉移資產。假若證實授權書不獲接納，可能需要發出資產轉移表格。所有持有人必須填妥該表格並直接送交奧摩斯國際。客戶可使用該形式轉移所有資產，惟本公司建議您使用授權書形式處理。假若您希望轉移的資產現時由一名指定代理人或指定人士持有，請提供詳細資料，包括計劃號碼、聯絡姓名及地址，及最新近的結算單副本。您亦應該直接致函上述代理人，授權該代理人與奧摩斯國際處理資產轉移，並向奧摩斯國際提供有關函件副本。
4. 所有基金持有證明書（包括所有適用成交單據）需要與已填妥的授權書、資產轉移授權及有關計劃申請表格送交奧摩斯國際。在收妥所有必須要的資料及已進行必須要的程序，確定將法定擁有權轉移到奧摩斯國際後，計劃便會啟動。然而，假若所有單位或股份的法定擁有權轉移程序未能同時生效，首先轉移到奧摩斯國際持有的單位或股份的淨值，最少要相等於最低供款額，然後由奧摩斯國際因應情況接受該款項並啟動計劃。任何隨後權轉移至奧摩斯國際的資產淨值，會被作為額外投資處理。

假若已轉移資產的價值少於我們規定的供款（或額外供款），我們會代為持有相關款額，直至已轉移資產的價值符合我們規定的供款或額外供款。
5. 資產估值的結算通知單將送交予理財顧問，並由其分發予您。
6. 奧摩斯國際會將資產轉移到其名下。
7. 完成資產轉移至奧摩斯國際名下或其指定託管人的計劃，需時多個星期。請注意，資產轉移程序進行期間，不得出售有關資產。在一般情況下，奧摩斯國際不會就資產轉移服務收取費用。任何適用於有關資產轉移的託管人費用，由奧摩斯國際支付。然而，奧摩斯國際保留權利，可在下列情況從計劃扣除有關費用：
 - i. 支付額外實銷費用，例如澳洲及香港等地區的印花稅。
 - ii. 所轉移的資產於轉移完成後三個月內出售。

Important note: The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change. To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

重要提示：截至 2021 年 12 月止，本文件所列的產品名稱及相關內容仍是準確，但有機會改變。為確保本文件對相關產品及保單（如適用）的適用性，在採取任何行動前，請與您的理財顧問和/或直接聯絡我們。

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為提供培訓用途及避免誤會，您與我們的對話內容可能會被監察或錄音。

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