

# REQUEST FOR UTMOST PANEUROPE DAC TO APPOINT A DISCRETIONARY ASSET MANAGER

FOR USE IN EU ONLY  
EXCLUDING UK

## USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

## THIS DOCUMENT WAS LAST UPDATED IN MAY 2020.

Please confirm with your financial adviser that this is the most up-to-date document for your product or servicing needs.

All references to Utmost PanEurope refer to Utmost PanEurope dac.

When completing this form, please use BLOCK CAPITALS and blue/black ink only and complete all relevant sections.

Please do not use correction fluid; any amendments should be crossed out and initialled by all applicants/policyholders. Any incomplete information will need to be confirmed in writing by you once Utmost PanEurope has received the form.

**This form is for use with the Utmost PanEurope Spanish Collective Investment Bond or European Collective Investment Bond only.**

Policy number  
(for example ECIB12345678)

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Type of investor (✓)

Individual

Trustee

Corporate

## DETAILS OF TRUSTEE APPLICANT/POLICYHOLDER

The trust name is  
(for example 'the John Brown Will  
Trust')

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## DETAILS OF CORPORATE APPLICANT/POLICYHOLDER

Corporate name

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#### DETAILS OF INDIVIDUAL APPLICANT(S)/POLICYHOLDER(S)

If there are any further applicants/policyholders, please photocopy this page, attach the details with this form and tick here. (✓)

	Applicant/Policyholder 1			Applicant/Policyholder 2 (if any)		
Title (✓)	Mr	Mrs	Miss	Mr	Mrs	Miss
	Other	<input type="text"/>		Other	<input type="text"/>	
Full forename(s)						
Surname						

#### APPLICANT(S)/POLICYHOLDER(S) ADDRESS (TRUSTEE, CORPORATE AND INDIVIDUAL)

Residential address (Where you are currently living. We are unable to accept PO Boxes and 'care of' addresses)	<input type="text"/> <input type="text"/> <input type="text"/> Postcode	<input type="text"/> <input type="text"/> <input type="text"/> Postcode
Registered office address (This information must be provided in full. We are unable to accept PO Boxes and 'care of' addresses)	<input type="text"/> <input type="text"/> <input type="text"/> Postcode	<input type="text"/> <input type="text"/> <input type="text"/> Postcode
Daytime telephone number including dialling code	<input type="text"/>	<input type="text"/>
E-mail address	<input type="text"/>	<input type="text"/>
Fax number	<input type="text"/>	<input type="text"/>

#### DETAILS OF THE DISCRETIONARY ASSET MANAGER

Name of discretionary asset manager	<input type="text"/>
Discretionary asset manager registered address	<input type="text"/>
Discretionary asset manager Regulatory Authority name & licence number	<input type="text"/>

If the discretionary asset manager is being appointed to manage the assets held with an authorised custodian please enter the name of the authorised custodian below.

### Declaration investment mandate

This declaration and investment mandate is made by each applicant/policyholder for Individual applicants/policyholders, the trustees jointly for a trustee applicant/policyholder, or authorised signatory on behalf of a corporate applicant/policyholder.

1. I/We hereby request that the discretionary asset manager be appointed by Utmost PanEurope as discretionary asset manager to manage the assets of the portfolio fund held by the authorised custodian shown above in respect of my/our Policy.
2. I/We understand that the management of the assets shall be at the discretion of the discretionary asset manager.
3. I/We acknowledge that I/we shall be responsible for any costs arising associated with the appointment of the discretionary asset manager and understand that the discretionary asset manager charges will be a third party charge to my/our Policy as described in the Policy Terms.

The charges for this service are as follows:

% % a year of the value of the assets held by the authorised custodian shown above to be taken quarterly;

OR

monetary amount £  each year to be taken quarterly. The maximum percentage fee is 1.5%. This also applies to the monetary amount option. Please review the amount of the charge regularly to ensure it remains below the maximum allowed.

The selected charge will be deducted in the Policy Currency and paid quarterly in arrears. Please refer to your Policy Terms for further information on discretionary asset manager charges.

Currently there is no VAT applicable to this charge, however Utmost PanEurope reserves the right to deduct from the Policy any VAT or other tax that may become applicable to Utmost PanEurope in respect of the discretionary asset manager charge in the future.

4. I/We have agreed with the discretionary asset manager the investment objectives in 4.1, 4.2 and 4.3 for the assets held with the authorised custodian shown above for which I/we will be wholly responsible. Utmost PanEurope will not be responsible for any investment strategy or objectives pursued by the discretionary asset manager or myself/ourselves but I/we understand that Utmost PanEurope does place restrictions on the types of assets that may be selected in accordance with the Policy Terms. **If the investment objectives for the assets held by the authorised custodian shown above have already been provided on separate documentation then instead of completing 4.1, 4.2 and 4.3 below you can choose to enclose these documents with this request form. If you wish to do this, then please confirm the name of this documentation below.**

Name of documentation enclosed with this request form

#### 4.1 Investment strategy

#### 4.2 Risk criteria

#### 4.3 Investment restrictions

5. I/We understand that I/we will be responsible for monitoring the assets held to ensure they align with my/our investment strategy, risk criteria and investment restrictions and I/we will inform Utmost PanEurope if these change. I/we understand that Utmost PanEurope can accept no responsibility for the effects of any delay or failure to inform them of any such change.
6. I/we confirm that I/we have received a copy of the Policy Terms and had the opportunity of reading them before completing this request form.

Utmost PanEurope does not offer legal, tax or financial advice and we can accept no responsibility for any action taken or refrained from on the basis of information provided by us. Any information provided is based on our understanding of the current law and practice and is subject to change in the future.

SIGNED

Signatory 1

Full name

Capacity (✓)

IndividualTrusteeThird party/pledge interest

Director/Company SecretaryOther

Date

d

d

m

m

y

y

y

y

SIGNED

Signatory 2

Full name

Capacity (✓)

IndividualTrusteeThird party/pledge interest

Director/Company SecretaryOther

Date

d

d

m

m

y

y

y

y

SIGNED

Signatory 3

Full name

Capacity (✓)

IndividualTrusteeThird party/pledge interest

Director/Company SecretaryOther

Date

d

d

m

m

y

y

y

y

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SIGNED

Signatory 4

Full name

Capacity (✓)

Individual

Trustee

Third party/pledge interest

Director/Company Secretary

Other

Date

d	d	m	m	y	y	y	y
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Important note: The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change.  
To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

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[www.utmmostinternational.com](http://www.utmmostinternational.com)

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland. Registered No 311420.

Administration Centre for correspondence: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

Tel: +353(0)1 479 3900 Fax: +353(0)1 475 1020.

Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

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