

DELEGATION

INVESTOR DECLARATION AND NON-MAINSTREAM POOLED INVESTMENTS (NMPIS) OPT IN FORM

IMPORTANT NOTES

This Declaration must be signed if you are happy for your nominated External Manager and/or Custodian ("EMC") to invest into Unregulated Collective Investment Schemes ("UCIS") and certain close substitutes, together known as Non-Mainstream Pooled Investments ("NMPIS"). Prior to completing this form you are required to seek independent advice to ensure that you understand the increased risks associated with investing into NMPIS. NMPIS are generally restricted investments not regulated in the UK and as such they cannot be promoted in the UK to retail clients. This declaration should only be completed if you are a sophisticated investor and after appropriate independent advice has been taken.

HOW TO COMPLETE THIS FORM

Complete this form using **blue or black ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. **Do not use correction fluid.**

This form should be completed by the **applicant** or **policyholder**.

Ensure that all sections are fully completed. In this form words in the singular shall include the plural and vice versa.

SIGNATURE This symbol highlights the signature sections within this form which need to be signed by the relevant party.

WHAT TO DO NEXT

Once completed, send the form along with any other relevant documentation to **External Management & Custody Services Team, Utmost PanEurope dac, C/O Royalty House, Walpole Avenue, Douglas, Isle of Man, IM1 2SL.**

PRIVACY NOTICE

Our Privacy Notice explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at www.utmostinternational.com or you can request a copy from our Customer Service Team.

A DECLARATION

For your own benefit and protection you should read this declaration carefully before signing it. If you do not understand any point of this declaration, you should ask your financial adviser to explain it to you.

The assets which have been grouped into the NMPIS category include:

- 1) UCIS
- 2) Qualified investor schemes (QIS) or similar structures requiring a Point of Investor Declaration
- 3) Traded life policy investments
- 4) Special purpose vehicles pooling investment in assets other than listed or unlisted shares or bonds
- 5) Structured products
- 6) Any other investments as Utmost PanEurope dac may determine at its discretion from time to time.

A WEALTH *of* DIFFERENCE

Utmost Wealth Solutions is a trading name used by a number of Utmost companies. Utmost Trustee Solutions is the trading name used by Utmost Trustee Solutions Limited. This item has been issued by Utmost PanEurope dac.

The following companies are registered in the Isle of Man: Utmost Limited (No 056473C), Utmost Administration Limited (No 109218C) and Utmost Trustee Solutions Limited (No 106739C) which are regulated or licensed by the Isle of Man Financial Services Authority. Utmost Services Limited (No 059248C) is an appointed representative of Utmost Limited. Each has its registered office at: Royalty House, Walpole Avenue, Douglas, Isle of Man, IM1 2SL, British Isles. Utmost Limited is authorised in the UK by the Financial Conduct Authority (160418).

Utmost PanEurope dac (No 311420), trading as Utmost Wealth Solutions, is regulated by the Central Bank of Ireland. Its registered office is Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland.

Where required, all promotional material has been approved by Utmost Limited which is authorised in the UK by the Financial Conduct Authority.

