

DELEGATION

INVESTOR DECLARATION AND NON-MAINSTREAM POOLED INVESTMENTS (NMPIS) OPT IN FORM

IMPORTANT NOTES

This Declaration must be signed if you are happy for your nominated External Manager and/or Custodian ("EMC") to invest into Unregulated Collective Investment Schemes ("UCIS") and certain close substitutes, together known as Non-Mainstream Pooled Investments ("NMPIS"). Prior to completing this form you are required to seek independent advice to ensure that you understand the increased risks associated with investing into NMPIS. NMPIS are generally restricted investments not regulated in the UK and as such they cannot be promoted in the UK to retail clients. This declaration should only be completed if you are a sophisticated investor and after appropriate independent advice has been taken.

HOW TO COMPLETE THIS FORM

Complete this form using **blue or black ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. **Do not use correction fluid.**

This form should be completed by the **applicant** or **policyholder**.

Ensure that all sections are fully completed. In this form words in the singular shall include the plural and vice versa.

SIGNATURE This symbol highlights the signature sections within this form which need to be signed by the relevant party.

WHAT TO DO NEXT

Once completed, send the form along with any other relevant documentation to **External Management & Custody Operations Team, Utmost PanEurope dac, C/O Utmost Administration Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.**

PRIVACY STATEMENT

Our Privacy Statement explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at www.utmostinternational.com/privacy-statements or you can request a copy from our Customer Service Team.

A DECLARATION

For your own benefit and protection you should read this declaration carefully before signing it. If you do not understand any point of this declaration, you should ask your financial adviser to explain it to you.

The assets which have been grouped into the NMPIS category include:

- 1) UCIS
- 2) Qualified investor schemes (QIS) or similar structures requiring a Point of Investor Declaration
- 3) Traded life policy investments
- 4) Special purpose vehicles pooling investment in assets other than listed or unlisted shares or bonds
- 5) Structured products
- 6) Any other investments as Utmost PanEurope dac may determine at its discretion from time to time.

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland (No 311420). Its registered office is Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland. Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

ALE PR 0020 | 10/22

DELEGATION

INVESTOR DECLARATION AND NON-MAINSTREAM POOLED INVESTMENTS (NMPIS) OPT IN FORM

In order to link benefits payable under the bond to NMPIS, Utmost PanEurope dac may be required to make certain warranties to the manager, regulator, or exchange of the investments, and to meet certain regulatory requirements. These warranties and requirements are to the effect that the criteria to satisfy the eligibility requirements have been met for the investments that the EMC will be placing in the EMC account. Such investments are based upon the detailed investment strategy and risk profile that you have provided to Utmost PanEurope dac, against which the EMC account is to be managed by the EMC on a discretionary basis.

These criteria may include levels of investment knowledge, experience and sophistication, minimum net wealth/liquid assets, or other criteria as may be required from time to time.

Policy number (if known)	<div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> </div>	<p>Insert your Utmost PanEurope dac policy number (if known) and the name that the policy is registered under, together with details of the EMC account to which this declaration applies.</p>
Full name of applicants/ policyholders		
External Management & Custody (EMC) account details		
External Management & Custody (EMC) account details (if an additional EMC is nominated)		

By signing the below:

- › **I confirm that for my own benefit and protection, I have sought independent advice before agreeing to the investment strategy and to allowing investments into NMPIS and that the advice that I have received has specifically explained to me the risks of investing into NMPIS, and I understand and accept the risks associated with such investments**
- › **I confirm** that I am an investor with the relevant knowledge, experience, and level of sophistication as required to invest into NMPIS, and further confirm that I satisfy the eligibility requirement to invest into the assets that the EMC will be investing into based upon the detailed investment strategy and risk profile that I have provided against which my EMC account is to be managed
- › **I further confirm** that I understand that these undertakings do not imply that I have a direct interest in the investments
- › **I confirm** that I am not an employee or connected person to any of the investments that are permissible under the investment strategy and risk profile that I have set for the EMC account, and I further confirm that future investment strategies will continue to be set in accordance with the declarations that I make here and that should this cease to be the case I will inform Utmost PanEurope dac to rescind this authority and to no longer allow the EMC to place investments into NMPIS
- › **I also acknowledge and understand** that Utmost PanEurope dac will instruct the EMC to rely upon the accuracy of this declaration in making an investment into NMPIS
- › **I promise** that I will be responsible for, and on receiving formal written request, reimburse Utmost PanEurope dac for any direct or indirect costs, losses and/or expenses incurred by Utmost PanEurope dac as a result of formal or informal legal claims, complaints or proceedings brought by any party in respect of any loss arising from or in respect of any investment into NMPIS.

	Applicant/Policyholder 1	Applicant/Policyholder 2	
SIGNATURE			SIGNATURE
Print full name			<p>If there are more than two applicants/policyholders photocopy this page and after signing the additional copies attach it securely to the form.</p>
Date	<div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> </div>	<div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">d</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">m</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> <div style="border: 1px solid black; width: 20px; height: 20px; text-align: center;">y</div> </div>	