

TAX DECLARATION AND SELF- CERTIFICATION 稅務聲明及自我證明

utmost™
WEALTH SOLUTIONS

FOR ENTITY INVESTORS (FOR
CORPORATE AND CORPORATE TRUSTEE
INVESTORS) HONG KONG ONLY
實體投資者（公司及信託公司）僅適用於香港

All references to **Utmost International** refer to Utmost International Isle of Man Limited, Utmost PanEurope dac or Utmost International Singapore Branch as the context requires.
根據內文，「奧摩斯國際」是指Utmost International Isle of Man Limited、Utmost PanEurope dac或Utmost International Singapore Branch。

IMPORTANT INFORMATION 重要事項

HOW TO COMPLETE THIS FORM? 如何填寫此表格?

Electronic completion 電子填寫

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

為確保您的資料能正確儲存，我們建議您先將表格下載儲存到電腦，才開始填寫。

Paper completion 紙本填寫

If completing a hard copy of this form, please use **black or blue ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct details and sign your initials next to the correction. **Do not use correction fluid.**

填寫本表格時，請使用黑色或藍色原子筆，並以英文正楷填寫。如有任何修改，應將原字劃掉，填寫正確資料，並於附近加簽。不可使用塗改液。

FOR ENTITY INVESTORS (FOR CORPORATE AND CORPORATE TRUSTEE INVESTORS) 實體投資者（公司及信託公司）

INTRODUCTION 簡介

Under Automatic Exchange of Information (AEOI) regulations we are required to obtain various details of our investors, including where they are established, countries of tax residence¹, related Tax Identification Numbers (TIN)² and their 'self-certification' that the information they provide is true and complete. We may have to provide this information to our domestic tax authority who may share it with overseas tax authorities. We may also have to collect and record information about parties linked to an Entity, which are referred to as 'Controlling Person(s)'. We may have to provide this information to the tax authority where Utmost International is based, who may share the information with overseas tax authorities.

An Entity is a legal person or legal arrangement as opposed to an individual. Examples of entities would be companies, trusts or foundations.

根據自動交換資料(AEOI)規例,本公司須取得投資者的各類資料,包括成立地點、稅務居民管轄區¹、稅務識別編號²以及其自我證明所提供資料屬真實完整。本公司可能須提供本資料予境內稅務機關,而該機關可能會與境外稅務機關共享本資料。本公司亦可能需要蒐集和記錄與貴機構有關連的各方(稱為「控權人」)的資料。本資料可能須提供予奧摩斯國際總部所在地的稅務機關,而該機關可能會與境外稅務機關共享本資料。

實體有別於一般個人人士,是指法人或法律安排。公司、信託或基金會均為實體的例子。

CHANGE OF CIRCUMSTANCES 情況出現變動

The information in this form and your self-certification will remain valid unless there is a change of circumstance which affects that information. If we receive information which suggests your country of tax residence has changed, we may ask you to complete another self-certification. You must notify us if there is a change in circumstance that makes this form incorrect or incomplete.

除非出現了變動情況而影響或變更本表格及自我證明所載的資料,否則本表格及自我證明所載的資料將一直有效。如本公司收到有關對您的稅務居民管轄區有所變更的資料,本公司可能要求您再次填寫自我證明。如出現任何變動情況導致本表格資料不準確或不完整,務請通知本公司。

WHAT HAPPENS IF I DO NOT COMPLETE THIS FORM? 如果不填寫本表格,有何後果?

Completion of this form is a regulatory requirement and is therefore mandatory. Failure to provide information when requested could lead to your details being reported incorrectly, or without the necessary information. This may ultimately lead to investigations by your local tax jurisdiction. We will continue to remind anyone who fails to provide a completed self-certification at least annually.

You have been asked to complete this form so the relevant **Company** can accurately report your policy under the relevant tax information exchange agreements, including FATCA and the CRS. This may be due to a change of circumstances on your policy, which potentially changes the status under these agreements, such as a full assignment, or because we do not currently hold sufficient information on your policy to enable us to report your account correctly.

基於監管當局的規定,您需要填寫本表格,此屬強制性質。如果未能按照規定提供資料,您的詳細資料可能被誤報或無法提供所需資料,最終或會導致您的當地稅收管轄區展開調查。未能提供最少每年一次的完整自我證明的人士,我們將繼續發出提示訊息。

您需填寫本表格,以便相關公司能夠根據相關稅務資料交換協議(包括《海外帳戶稅收合規法案》(FATCA)及共同匯報標準(CRS))準確地匯報您的保單。這可能由於您的保單情況有變(例如保單全數轉讓),而或會改變這些協議之下的情況,或是由於我們目前未能就您的保單掌握足夠資料,而無法正確地匯報您的戶口情況。

¹ Country of tax residence

Each jurisdiction has its own rules for defining tax residence. Companies will generally be tax resident where they are located. Other factors will include where they are incorporated, where the management and control is and where they are subject to financial supervision.

稅務居民管轄區

每個司法管轄區均有其界定稅務居民的規定。機構通常是根據其所在地作為稅務居民。其他因素包括註冊地方、由哪裡管理和控制,以及受哪裡財務監管。

² Tax Identification Number (TIN)

A TIN will be the unique code or an equivalent number assigned by a jurisdiction to identify an Entity for the purpose of administering tax laws. This is normally the number used when corresponding with a tax authority. This could be a specific tax identification number, or an equivalent unique reference.

稅務識別編號 (TIN)

稅務識別編號為司法管轄區就執行稅務法律而分配給實體的獨特號碼或具同等意義的數字,以作識別用途。該識別編號一般為與稅務機關通訊所用的號碼,可能是特定的稅務識別編號或具同等意義的獨特參考號碼。

WHO SHOULD COMPLETE THIS FORM? 哪些人士需要填寫本表格?

This form should be signed by the appropriate person as detailed below:

- › For a company - A person who has authority to sign, for example an officer or director of a company.
- › For a trust with a corporate trustee - the authorised signatories of the corporate trustee.
- › For a pension scheme - The persons authorised to sign, for example the trustees for a UK registered pension scheme.

If you are an individual policyholder, you will need to complete a **Tax Declaration and Self-Certification for individual investors** form. To access the forms, please visit www.utmostinternational.com

本表格應由以下適合的人士簽署:

- › 公司: 授權簽署人, 例如公司高級行政人員或董事。
- › 受託於信託公司的信託: 信託公司的授權簽署人。
- › 退休計劃: 授權簽署人, 例如英國註冊退休計劃的信託公司。

如果您是個別保單持有人, 您需要填寫 **個人投資者信託稅務聲明及自我證明** 表格。如要取得表格, 請瀏覽網站: www.utmostinternational.com。

WHERE CAN YOU ACCESS FURTHER INFORMATION? 如何獲取額外資料?

For help to work out where you are tax resident, or if you are unsure about your TIN, please consult your financial adviser or refer to the information on the Organisation for Economic Cooperation and Development's (OECD) website.

The OECD has developed the rules to be used by all governments participating in the CRS and these can be found on the OECD's 'Automatic Exchange of Information' (AEOI) website: www.oecd.org/tax/automatic-exchange/.

If you are unsure what information to include, please consult your financial adviser who can also confirm that this is the most up-to-date version of this form.

如須協助以確定您的稅務居民身份, 或您未能肯定稅務識別編號(TIN), 請向您的理財顧問查詢或參閱經濟合作及發展組織(OECD)網站的資料。

OECD 已為所有參與共同匯報標準的政府制訂規則, 這些規則可於 OECD 的「自動交換資料」(AEOI) 網站查閱: www.oecd.org/tax/automatic-exchange/。

如果您未能肯定提供哪些資料, 請向您的理財顧問查詢, 他們亦可以確認本表格是否最新版本。

HOW WE USE YOUR INFORMATION 我們將如何使用您的資料?

Our Privacy Notice explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at www.utmostinternational.com/privacy-statements or you can request a copy from the relevant Customer Service team.

本公司的私隱聲明說明我們何時和為何收集客戶個人資料、如何使用這些資料、在那些情況下我們可能與其他人士分享這些資料, 以及我們確保這些資料安全的方法。此外, 該聲明亦解釋我們保留客戶資料的時限、客戶如何取得我們所保存資料的詳情, 以及客戶對於我們如何使用這些資料的選項。您可於網站 www.utmostinternational.com/privacy-statements 獲取該聲明的副本, 亦可以向相關的客戶服務部索取。

WHERE SHOULD I SEND THIS FORM WHEN COMPLETED? 填妥的表格應交回何處?

This form should be returned to the relevant Utmost International team which requested the completion of the form. However, if you are unsure who to return this form to, please speak to the relevant customer services support team using the contact details below who will be able to assist you.

填妥的表格請交回相關的奧摩斯部門。然而, 若有疑問, 請聯絡以下相關的客戶服務支援部尋求協助。

Utmost International Isle of Man

customersupport@utmostwealth.com

+44 (0) 1624 643 345

Or 或

Utmost PanEurope dac

ccsfrontoffice@utmost.ie

+353 46 909 9700

TAX DECLARATION AND SELF-CERTIFICATION 稅務聲明及自我證明
FOR ENTITY INVESTORS (FOR CORPORATE AND CORPORATE TRUSTEE INVESTORS)
實體投資者 (公司及信託公司)

A ACCOUNT DETAILS 戶口資料

Product provider 產品公司

Utmost International Isle of Man Limited

Utmost PanEurope dac

Policy/plan/bond/account number (if known) 保單/計劃/戶口編號 (如知悉)

B DETAILS OF THE ENTITY 實體資料

Legal Name of Entity 實體法定名稱

Jurisdiction of Incorporation/
Organisation/Establishment
註冊/組織/成立的司法管轄區

Registered address 註冊地址

Postcode 郵政編碼	Country 國家
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Correspondence address
通訊地址 (如與上述不同)

Postcode 郵政編碼	Country 國家
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Contact number 聯絡號碼

E-mail address 電郵地址

C ENTITIES THAT ARE US PERSON(S) 美國人士的實體

Is the Entity a US Person?
實體是否為美國人士?

No - go to section D
否, 請前往D部分

Yes - tick (a) or (b) below and complete as appropriate
是, 請在下列(a)或(b)項加上別號, 並填寫適當內容

a. The Entity is a US Person and the Entity's US federal taxpayer identification number (US TIN) is as follows:
實體為美國人士, 而實體的美國聯邦納稅人識別編號(美國稅務識別編號TIN)是:

b. The Entity is a US Person but is exempt from FATCA reporting and the relevant exemption for this is as follows:
實體為美國人士, 但豁免FATCA申報, 相關豁免是:

D FATCA CLASSIFICATION FOR NON-US ENTITIES 非美國實體的FATCA分類

Please provide the Entity's FATCA classification by ticking the corresponding options below:
請提供實體的FATCA分類，於以下適當的選項加上剔號：

1 If the Entity is a Registered Financial Institution with the Internal Revenue Service (IRS), please tick one of the categories, and provide the Entity's Global Intermediary Identification Number (GIIN) below:
如實體為美國國家稅務局的註冊金融機構，請於其中一項類別加上剔號，並於以下提供實體的GIIN。

- a. Intergovernmental Agreement (IGA) Partner Jurisdiction Financial Institution 跨政府協議(IGA)之夥伴司法管轄區金融機構
- b. Registered Deemed Compliant Foreign Financial Institution 已註冊視作合規海外金融機構
- c. Participating Foreign Financial Institution 參與海外金融機構

Entity GIIN 實體GIIN

If the Entity is unable to provide a GIIN, please proceed to question 2.
如實體無法提供GIIN，請前往問題2。

2 If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the reasons below:
如實體為金融機構，但無法提供GIIN，請在以下其中一項原因加上剔號：

- a. The Entity is a Sponsored Financial Institution (including a Sponsored Investment Entity and Sponsored Closely Held Investment Vehicle) and has not yet obtained a GIIN but is sponsored by another Entity that has registered as a Sponsoring Entity.
實體為一家受保薦金融機構(包括受保薦投資實體及受保薦封閉式持有的投資工具)，且尚未取得GIIN，但由已獲註冊為保薦實體的另一實體保薦。

Please provide the Sponsoring Entity's name and GIIN below:
請在下方提供保薦實體的名稱和GIIN：

Sponsoring Entity name
保薦實體的名稱

Sponsoring Entity GIIN
保薦實體的GIIN

- b. The Entity is a Trustee Documented Trust. 實體為受託人記載的信託。

Please provide the Trustee's name and GIIN below:
請在下方提供受託人的姓名和GIIN。

Trustee's name
受託人的姓名

Trustee's GIIN
受託人的GIIN

- c. The Entity is a Certified Deemed Compliant, or other non-reporting Foreign Financial Institution (including a Foreign Financial Institution deemed compliant under Annex II of an IGA, except for a Trustee Documented Trust or Sponsored Financial Institution).
實體為認證視為合規，或其他非申報的海外金融機構(包括根據IGA的附件二視為合規的海外金融機構(受託人申報的信託或受保薦金融機構除外))。

Please indicate the relevant exemption
請註明相關豁免

- d. The Entity is an Owner Documented Financial Institution. 實體為擁有人申報的金融機構。
- e. The Entity is a Non-Participating Foreign Financial Institution. 實體為非參與海外金融機構。

FOR ENTITY INVESTORS (FOR CORPORATE AND CORPORATE TRUSTEE INVESTORS) 實體投資者 (公司及信託公司)

3 If the Entity is not a Financial Institution, please tick one of the reasons below and confirm the Entity's FATCA status below:
如果實體並非金融機構，請在以下其中一項原因加上剔號，並在下方確認實體的FATCA 狀況：

a. The Entity is an Exempt Beneficial Owner. 實體為豁免實益擁有人。

Please indicate status

請註明狀況

b. The Entity is an Active Non-Financial Foreign Entity (Active NFFE). This includes an Excepted NFFE.
實體為主動非財務海外實體 (主動NFFE)，包括一個獲豁免之NFFE。

c. The Entity is a Passive Non-Financial Foreign Entity (Passive NFFE). If you have selected this status, please provide details of the Controlling Person(s) in section H.
實體為被動非財務海外實體 (被動NFFE)，如果您選擇此項，請於H部分提供控權人的資料。

4 If the Entity is a Direct Reporting NFFE, please provide the Entity's GIIN below:
如果實體為直接申報NFFE，請於下方提供實體的GIIN：

5 If the Entity is a Sponsored Direct Reporting NFFE, please provide the Sponsoring Entity's name and GIIN below:
如果實體為受保薦直接申報NFFE，請於下方提供保薦實體的名稱和GIIN：

Sponsoring Entity's name
保薦實體的名稱

Sponsoring Entity's GIIN
保薦實體的GIIN

E DECLARATION OF TAX RESIDENCE 稅務居民聲明

Please indicate the Entity's country of tax residence. If resident in more than one jurisdiction, please detail all jurisdictions and associated Tax Identification Numbers (TIN) for each jurisdiction.

請註明實體的稅務居民管轄區。如屬多於一個司法管轄區的稅務居民，請詳述所有司法管轄區及每個司法管轄區相關的稅務識別編號 (TIN)。

JURISDICTION OF TAX RESIDENCE 稅務居民的司法管轄區	TAX IDENTIFICATION NUMBER (TIN ³) 稅務識別編號 ³

If a TIN is not available, please provide a functional equivalent (such as a business or company registration number or other similar form of identification). If no TIN or functional equivalent is available for any of the jurisdictions listed, insert 'not applicable' in the relevant TIN space above and advise the reason below (such as the jurisdiction does not issue such numbers):
如未能提供稅務識別編號 (TIN)，請提供具同等功能的資料 (如商業或公司登記號碼或其他類似的識別方式)。如果所列的任何司法管轄區無法提供稅務識別編號 (TIN) 或具同等功能的資料，請於上述相關稅務識別編號 (TIN) 空白處填上「不適用」，並於下方列明原因 (例如該司法管轄區不編配此類編號)：

Further information on the issuance rules for TINs and their format is on the OECDs website:

有關稅務識別編號 (TIN) 編配規定的詳細資料及其格式，請瀏覽經濟合作與發展組織 (OECD) 網站：

<https://web.archive.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/index.htm>

³ For UK companies and trusts the Unique Taxpayer Reference (UTR) numbers can be used as a TIN but not the Unique Reference Numbers (URN) given under the trust registration service, i.e. where the trust has been registered as an express trust only.

英國公司及信託的獨特納稅人參考 (Unique Taxpayer Reference) 號碼可作為稅務識別編號 (TIN)。信託註冊服務發出的獨特參考編號 (Unique Reference Numbers) 僅作信託註冊而非稅務作用。

F COMMON REPORTING STANDARDS (CRS) CLASSIFICATION 共同匯報標準 (CRS) 分類

Please provide your CRS classification by ticking the corresponding options below. Please note, an Entity's CRS classification may not necessarily be the same as its classification for US FATCA purposes.

請於以下選項加上剔號，提供您的共同匯報標準分類。請注意，實體的共同匯報標準分類未必與其美國《海外帳戶稅收合規法案》的分類相同。

1 If the Entity is a Financial Institution, please tick here and specify the type of Financial Institution below:
如實體為金融機構，請加上剔號並於下方指明金融機構類型：

- a. Depository Institution 存款機構
- b. Custodial Institution 託管機構
- c. Investment Entity 投資實體
- d. 'Managed' Investment Entity 「管理」投資實體
- e. Specified Insurance Company 指明保險公司

If you have ticked option d. and the Entity is resident in a Non-Participating Jurisdiction, the Entity will be treated as a Passive Non-Financial Entity (Passive NFE) Institution therefore please provide details of the Controlling Person(s) by completing section H of this form.

如您已於d項加上剔號，且實體為非參與司法管轄區的居民，則實體將被視為被動非財務實體(被動NFE)機構，因此請填寫本表格H部分，提供控權人的資料。

2 If the Entity is a Non-Reporting CRS Financial Institution, please tick here and specify the type of Financial Institution below:
如實體為免申報共同匯報標準財務機構，請在此加上剔號，並於下方指明類型：

- a. Governmental Entity 政府實體
- b. International Organisation 國際組織
- c. Central Bank 中央銀行
- d. Broad Participation Retirement Fund 廣泛參與退休基金
- e. Narrow Participation Retirement Fund 有限參與退休基金
- f. Pension Fund of a Government Entity, International Organisation or Central Bank
政府實體、國際組織或中央銀行的退休基金
- g. Exempt Collective Investment Vehicle 豁免集體投資工具
- h. Trustee Documented Trust 受託人申報的信託
- i. Qualified Credit Card Issuer 合資格信用卡發行機構
- j. Other Entity defined under domestic law as low risk of being used to evade tax (please specify the type below).
根據當地法律定義為用作避稅風險為低的其他實體(請於下方註明類型)。

3 If the Entity is an Active Non-Financial Entity (Active NFE), please tick here.
如實體為主動非財務實體(主動NFE)，請在此加上剔號。

4 If the Entity is an Passive Non-Financial Entity (Passive NFE), please tick here.
如實體為被動非財務實體(被動NFE)，請在此加上剔號。

If you have ticked option 4, please provide details of the Controlling Person(s) in section H.
如您已剔第4項，請在H部分提供控權人的資料。

G ENTITY DECLARATION AND UNDERTAKINGS 實體聲明及承諾

As the authorised signatory or responsible officer of the Entity, I declare that the information provided in this form is accurate and complete to the best of my knowledge and belief.

I undertake to advise and provide Utmost International with an updated Self-Certification form within 30 days where any change in circumstance occurs which causes any of the information contained in this form to be obsolete, inaccurate or incomplete.

I understand that Utmost International will update the details held on file should they differ from details provided on this form.

I certify that where I have provided information regarding any other person (such as a Controlling Person or other Reportable Person) that I will, within 30 days of signing this form, notify those persons that I have provided such information to Utmost International and that such information may be provided by Utmost International to relevant tax authorities under automatic exchange of tax information regulations.

作為該實體的授權簽署人或負責人，據本人所盡知和所盡信，本表格中提供的資料為準確完整。

本人承諾，如情況出現任何變化使本表格中包含的任何資料過時、不準確或不完整，本人將在30日內知會奧摩斯國際並提供經更新的自我證明表格。

本人明白，如果存檔的資料與本表格中所提供的資料不同，奧摩斯國際將作更新。

本人證明，本人所提供有關任何其他人士（例如控權人或須申報人士）的資料，本人將於此表格簽署後的30日內，通知有關人士本人已將相關資料提交予奧摩斯國際，而該資料與奧摩斯國際可能會根據自動交換稅務資料規例向相關稅務機關提供。

	Authorised Signatory 1 授權簽署人1	Authorised Signatory 2 (if applicable) 授權簽署人2 (如有)																	
SIGNATURE 簽署			If there are more than two authorised signatories, please photocopy this page, complete, sign and attach securely to this form. 如果有兩名以上授權簽署人，請影印此頁，填寫、簽署，並附在本表格上。																
Print Name 正楷姓名																			
Position/Title 職位／職銜																			
Date 日期	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d		d	m	m	y	y	y	y	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m		m	y	y	y	y											
d	d	m	m	y	y	y	y												

H IDENTIFICATION OF CONTROLLING PERSON(S) 控權人的身份

Please complete section I in full for each Controlling Person. 每位控權人請完整地填寫I部分。
 If there is not enough space on this form for all of the Controlling Person(s), please print out pages 9 and 10 and attach with the form.
 如本表格沒有足夠空間填寫所有控制人資料，請列印第9頁和第10頁，並附在表格上。

TAX RESIDENCY FOR INDIVIDUAL CONTROLLING PERSON(S) OF A PASSIVE NON-FINANCIAL FOREIGN ENTITY 被動非財務海外實體的個別控權人的稅務居民身份

Your tax residence is generally the country in which you live for more than half a year. Special circumstances (such as studying abroad, working overseas, or extended travel) may cause you to be tax resident elsewhere or tax resident in more than one country at the same time (dual tax residency). The country/countries in which you pay income tax are likely to be your country/countries of tax residence. If you are a US citizen or hold a US passport or green card, you will also be considered tax resident in the US even if you live outside the US.

您的稅務居所通常是指您居住超過半年的國家。特殊情況（例如留學、海外工作或長期外遊）可能令您成為其他國家的稅務居民或同時成為多個國家的稅務居民（雙重稅務居民身份）。您繳納所得稅的一個／多個國家可能是您的稅務居所。如果您是美國公民或持有美國護照或綠卡，即使您在美國境外居住，仍會被視作美國稅務居民。

WHERE CAN I FIND MORE INFORMATION? 如何獲得額外資料?

Information on FATCA and CRS can be sourced on the links below:

FATCA - www.hmrc.gov.uk/fatca and the IRS website www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA

CRS - www.oecd.org/tax/automatic-exchange

請於以下連結取得有關 FATCA 及 CRS 的資料:

FATCA - www.hmrc.gov.uk/fatca 及美國國稅局網站 - www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA。

CRS - www.oecd.org/tax/automatic-exchange。

Please complete section I in full for each Controlling Person. 每位控權人請完整地填寫I部分。

If a TIN is not available, please provide the appropriate reason a, b or c:

- a. The jurisdiction where the Controlling Person is resident does not issue TINs.
- b. We are unable to obtain a TIN or equivalent number. Please explain why you are unable to obtain a TIN if you have selected this reason.
- c. No TIN is required. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction.

如未能提供稅務識別編號(TIN), 請指出適當原因 a, b或c:

- a. 控權人居住的司法管轄區並無編配稅務識別編號(TIN)。
- b. 我們無法取得稅務識別編號(TIN)或同等編號。如選擇本原因, 請說明為何無法取得稅務識別編號(TIN)。
- c. 無須稅務識別編號(TIN)。只有當相關司法管轄區的當地法例無須收集該司法管轄區編配的稅務識別編號(TIN)時, 方可選擇本原因。

I CONTROLLING PERSON DETAILS 1 控權人資料1

Full name 全名	<input type="text"/>
Residential Address 住址	<input type="text"/>
	<input type="text"/>
	<input type="text" value="Postcode 郵政編號"/>
Contact number 聯絡電話	<input type="text"/>
E-mail address 電郵地址	<input type="text"/>
Correspondence Address (if different) 通訊地址 (如與上述地址不同)	<input type="text"/>
	<input type="text"/>
	<input type="text" value="Postcode 郵政編號"/>
Date of birth 出生日期	<input type="text" value="d d m m y y y y"/>
Town/City of birth 出生市/鎮	<input type="text"/>
Country of birth 出生國家	<input type="text"/>
Nationality 國籍	<input type="text"/>

TAX DECLARATION AND SELF-CERTIFICATION 稅務聲明及自我證明
FOR ENTITY INVESTORS (FOR CORPORATE AND CORPORATE TRUSTEE INVESTORS)
實體投資者 (公司及信託公司)

Please list each country in which the Controlling Person is resident for tax purposes, including the tax reference number (if relevant).
 請列出控權人基於稅務目的而居住的各個國家，包括稅務參考號碼(如果有關)。

COUNTRY(IES) OF RESIDENCE FOR TAXATION 基於稅務而居住的國家	TAX IDENTIFICATION NUMBER(S) 稅務識別編號 (TIN)	REASON IF NO TIN IS AVAILABLE (state a, b or c) 未能提供稅務識別編號 (TIN) 的原因 (請列明 a、b 或 c)

If you stated "b", please explain why you are unable to obtain a TIN. 如選擇「b」，請說明為何無法取得稅務識別編號(TIN)。

COMPLETE IF THE CONROLLING PERSON WAS BORN IN THE US OR IS A US CITIZEN AND/OR IS RESIDENT IN THE US FOR TAX PURPOSES
 填寫此部分，如果控權人於美國出生或是美國公民及/或基於稅務目的在美國居住

Please tick either 1 or 2 below if you were either born in the US or you are a US citizen and/or resident in the US for tax purposes.
 如果您於美國出生或是美國公民及/或基於稅務目的在美國居住，請於以下1或2加上剔號。

- I confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identifying number (US TIN) is shown in the table above.
 謹此確認我是美國公民及/或基於稅務目的在美國居住(綠卡持有人或通過實質居留測試的居民)及在上表有關我的美國聯邦稅務識別編號(TIN)。
- I confirm that I was born in the US (or a US territory) but I am no longer a US citizen. (Please note you must provide your US loss of nationality certificate if you have selected this option.)
 謹此確認我在美國(或美國領土)出生，但我已經並非美國公民。(請注意：如果選擇本項，您必須提供喪失美國國籍證明。)

We will presume that you are not a US citizen or resident in the US for tax purposes if this question is left blank unless there is indicia which contradicts this.
 如有留白，我們將假設您並非美國公民或基於稅務目的在美國居住，除非出現與此抵觸的資料。

J CONTROLLING PERSON DETAILS 2 控權人資料2

Full name 全名	<input style="width: 95%;" type="text"/>								
Residential Address 住址	<input style="width: 95%;" type="text"/>								
	<input style="width: 95%;" type="text"/>								
	<small>Postcode 郵政編號</small>								
Contact number 聯絡電話	<input style="width: 95%;" type="text"/>								
E-mail address 電郵地址	<input style="width: 95%;" type="text"/>								
Correspondence Address (if different) 通訊地址 (如與上述地址不同)	<input style="width: 95%;" type="text"/>								
	<input style="width: 95%;" type="text"/>								
	<small>Postcode 郵政編號</small>								
Date of birth 出生日期	<table border="1" style="border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">d</td> <td style="width: 20px;">d</td> <td style="width: 20px;">m</td> <td style="width: 20px;">m</td> <td style="width: 20px;">y</td> <td style="width: 20px;">y</td> <td style="width: 20px;">y</td> <td style="width: 20px;">y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		
Town/City of birth 出生市/鎮	<input style="width: 95%;" type="text"/>								

TAX DECLARATION AND SELF-CERTIFICATION 稅務聲明及自我證明
FOR ENTITY INVESTORS (FOR CORPORATE AND CORPORATE TRUSTEE INVESTORS)
實體投資者 (公司及信託公司)

Country of birth 出生國家

Nationality 國籍

Please list each country in which the Controlling Person is resident for tax purposes, including the tax reference number (if relevant).
 請列出控權人基於稅務目的而居住的各個國家，包括稅務參考號碼(如果有關)。

COUNTRY(IES) OF RESIDENCE FOR TAXATION 基於稅務而居住的國家	TAX IDENTIFICATION NUMBER(S) ⁴ 稅務識別編號 (TIN ⁴)	REASON IF NO TIN IS AVAILABLE (state a, b or c) 未能提供稅務識別編號 (TIN) 的原因 (請列明 a、b 或 c)

If you stated "b", please explain why you are unable to obtain a TIN.如選擇「b」，請說明為何無法取得稅務識別編號(TIN)。

COMPLETE IF THE CONROLLING PERSON WAS BORN IN THE US OR IS A US CITIZEN AND/OR IS RESIDENT IN THE US FOR TAX PURPOSES

填寫此部分，如果控權人於美國出生或是美國公民及/或基於稅務目的在美國居住

Please tick either 1 or 2 below if you were either born in the US or you are a US citizen and/or resident in the US for tax purposes.
 如果您於美國出生或是美國公民及/或基於稅務目的在美國居住，請於以下1或2加上剔號。

1. I confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identifying number (US TIN) is shown in the table above.
 謹此確認我是美國公民及/或基於稅務目的在美國居住(綠卡持有人或通過實質居留測試的居民)及在上表有關我的美國聯邦稅務識別編號(TIN)。
2. I confirm that I was born in the US (or a US territory) but I am no longer a US citizen. (Please note you must provide your US loss of nationality certificate if you have selected this option.)
 謹此確認我在美國(或美國領土)出生，但我已經並非美國公民。(請注意：如果選擇本項，您必須提供喪失美國國籍證明。)

We will presume that you are not a US citizen or resident in the US for tax purposes if this question is left blank unless there is indicia which contradicts this.

如有留白，我們將假設您並非美國公民或基於稅務目的在美國居住，除非出現與此抵觸的資料。

Please list each country in which the Controlling Person is resident for tax purposes, including the tax reference number (if relevant).
 請列出控權人基於稅務目的而居住的各個國家，包括稅務參考號碼(如果有關)。

K CONTROLLING PERSON DECLARATION AND UNDERTAKINGS 控權人聲明及承諾

I understand that the information supplied by me is collected and processed by Utmost International to comply with its legal and regulatory obligations. Utmost International will update accordingly the information provided on this form if it differs to information held on file.

I acknowledge that the information provided in this form and regarding the Controlling Person(s) and any Reportable Account(s) may be reported to the tax authorities of the jurisdiction in which this policy/bond/account/plan is maintained and exchanged with tax authorities of another jurisdiction in which I, or the Controlling Person(s), may be tax resident pursuant to an International Agreement to exchange financial account information.

I certify that I am a Controlling Person, or am authorised to sign for the Controlling Person, in respect of every policy/bond/account/plan held by the Entity policy/bond/account/plan holder to which this form relates.

⁴ For UK tax resident individuals National Insurance (NI) numbers and Unique Taxpayer Reference (UTR) numbers can be used as a TIN.

英國稅務居民可以使用國民保險 (NI) 編號及唯一納稅人參考 (UTR) 編號作為稅務識別編號 (TIN)。

TAX DECLARATION AND SELF-CERTIFICATION 稅務聲明及自我證明
FOR ENTITY INVESTORS (FOR CORPORATE AND CORPORATE TRUSTEE INVESTORS)
實體投資者(公司及信託公司)

I declare that all of the statements made in this declaration, to the best of my knowledge and belief, are true, correct and complete.

I undertake to advise Utmost International of any change in circumstance which affects my tax residency status and that of any other individuals detailed in this form, or which causes the information to become obsolete or incorrect, and to provide the recipient with a suitably updated self-certification and declaration in the event of such change of circumstances.

本人明白本人提供的資料由奧摩斯國際蒐集和處理，以遵守其法律和規管義務。如果存檔的資料與本表格中初所提供的資料不同，奧摩斯國際將作更新。

本人確知，本表格以及關於控權人及任何須申報帳戶的資料可能申報予維持本保單／戶口／計劃的司法管轄區域的稅務機關，並根據交換金融帳戶資料的國際協定，與本人或控權人可能為稅務居民的另一個司法管轄區的稅務機關交換資訊。

本人證明，就與本表格相關的實體保單／戶口／計劃持有人持有的每個保單／戶口／計劃而言，本人屬於控權人或獲授權可為控權人簽署的人士。

本人聲明，就本人所盡知及所盡信，本聲明所作的陳述均為真實、正確和完整。

本人承諾知會奧摩斯國際任何影響本人稅務居民狀況，及本表格詳述之任何其他個人稅務居民狀況的任何變化，或導致資訊過時或不正確的情況變化；倘若發生此類情況變化，本人承諾向接收方提供適當更新的自我證明及聲明。

	Controlling Person 1 控權人	Controlling Person 2 控權人	
SIGNATURE 簽署	<input type="text"/>	<input type="text"/>	All Controlling Person(s) must sign here. 所有控權人必須在此簽署。
Print full name 正楷全名	<input type="text"/>	<input type="text"/>	If there are more than two Controlling Person(s), please photocopy this page, complete, sign and attach securely to this form. 如果有兩名以上授權人，請影印此頁，填寫、簽署，並附在本表格上。
Date 日期	<input type="text"/>	<input type="text"/>	
Capacity 身份／職位	<input type="text"/>	<input type="text"/>	

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost International Hong Kong Office: Unit 2402C, Great Eagle Centre, 23 Harbour Road, Wanchai, Hong Kong.

Tel: +852 3552 5888 Fax: +852 3552 5889.

Authorised by the Insurance Authority of Hong Kong to carry on long-term business.

Utmost International Isle of Man Limited is registered in the Isle of Man under number 024916C.

Registered Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

Tel: +44 (0)1624 655 555 Fax: +44 (0)1624 611 715.

Licensed by the Isle of Man Financial Services Authority.

Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

為提供培訓用途及避免誤會，您與我們的對話內容可能會被監察或錄音。

香港辦事處：香港灣仔港灣道23號鷹君中心2402C室。

電話：+852 3552 5888 傳真：+852 3552 5889。

獲香港保險業監管局授權經營長期業務。

Utmost International Isle of Man Limited 於馬恩島註冊，註冊編號為024916C。

註冊辦事處：King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles。

電話：+44 (0)1624 655 555 傳真：+44 (0)1624 611 715。

獲馬恩島金融服務管理局 (Isle of Man Financial Services Authority) 發出牌照。

奧摩斯財富 (Utmost Wealth Solutions) 為 Utmost International Isle of Man Limited 在馬恩島註冊的業務名稱。

ULQ PR 13276 | 02/25