

# DATA CAPTURE FOR THE EUROPEAN EXECUTIVE/ COLLECTIVE INVESTMENT BOND FOR INDIVIDUAL AND JOINT INVESTORS

## USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

### Tick the product the client is applying for:

European Executive Investment Bond  
(Cypriot Law)

European Executive Investment Bond  
(Belgian Law)

European Collective Investment Bond  
(Cypriot Law)

European Collective Investment Bond  
(Belgian Law)

This form is to gather the necessary information to input new business online for the product selected above on Wealth Interactive.

**This form is not an application form and will not be accepted as such if sent to us.** You must submit this information online.

Some of the information required is compulsory and is denoted by \*. If any of this information is missing, you will not be able to complete the online process.

Items preceded by # denote information that is dependent on the options that have been selected and will not be required in all cases.

There are two stages on Wealth Interactive:

- › Creating a new client
- › Creating a new investment (opening an account).

INFORMATION REQUIRED TO 'CREATE A NEW CLIENT

A DETAILS OF APPLICANT

**Applicant 1**

*Nationality	<input type="text"/>										
*Country of residence	<input type="text"/>										
*Utmost International company providing the product (select from the drop-down list)	<input type="text" value="Utmost PanEurope dac for European Executive/Collective Investment Bond."/>										
*Assigned adviser	<input type="text"/>										
*Title	Mr	Mrs	Miss Other <input type="text"/>								
*First name	<input type="text"/>										
Middle name(s)	<input type="text"/>										
*Surname	<input type="text"/>										
Previous name/Maiden name/Alias (if any)	<input type="text"/>										
*Gender	Male	Female	*Date of birth <table border="1"><tr><td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td></tr></table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				

IDENTIFICATION DETAILS

*ID Type	<input type="text"/>	*ID Number	<table border="1"><tr><td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td></tr></table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
	(can be Passport)										
*ID Country of issue	<input type="text"/>	*ID Expiry date	<table border="1"><tr><td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td></tr></table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
*Place of birth	<input type="text"/>	*Country of birth	<input type="text"/>								

**Applicant 2 (if any)**

*Nationality	<input type="text"/>		
*Country of residence	<input type="text"/>		
*Utmost International company providing the product (select from the drop-down list)	<input type="text" value="Utmost PanEurope dac for European Executive/Collective Investment Bond."/>		
*Assigned adviser	<input type="text"/>		
*Title	Mr	Mrs	Miss Other <input type="text"/>
*First name	<input type="text"/>		

DATA CAPTURE FOR THE EUROPEAN EXECUTIVE/COLLECTIVE INVESTMENT BOND  
FOR INDIVIDUAL AND JOINT INVESTORS

Middle name(s)											
*Surname											
Previous name/Maiden name/Alias (if any)											
*Gender	Male	Female	*Date of birth								
			<table><tr><td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td></tr></table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				

IDENTIFICATION DETAILS

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d	d	m	m	y	y	y	y				
	(can be Passport)										
*ID Country of issue	<input type="text"/>	*ID Expiry date	<table><tr><td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td></tr></table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
*Place of birth	<input type="text"/>	*Country of birth	<input type="text"/>								

**B** ADDRESS AND CONTACT DETAILS

**Applicant 1**

*Residential address	<input type="text"/>	
	<input type="text"/>	
	<input type="text"/>	
	<input type="text"/>	Postcode

CORRESPONDENCE ADDRESS

CORRESPONDENCE ADDRESS

*Same as residential address	Yes	No	<input type="text"/>
			<input type="text"/>
*New correspondence address	Yes	No	<input type="text"/>
			<input type="text"/>
(#Full address plus postcode and country required)			Postcode

CLIENT'S CONTACT DETAILS (PLEASE ENSURE YOU PROVIDE THE COUNTRY DIALLING CODE)

*Telephone number	<input type="text"/>
Mobile number	<input type="text"/>
Fax number	<input type="text"/>
*Email address	<input type="text"/>

## EMPLOYMENT DETAILS

\*Please indicate the client's employment status:

**Employment status**                      Employed                      Self employed                      Retired                      Not employed

Where your client is employed, self employed or retired (please give details of previous employer), please note:

*Occupation (including Role eg. Director/Senior Manager etc. and Sector eg. Accountancy/ Pharmaceuticals/ Engineering etc)			
*Employer's name/ Own company			
*Employer's address (full address)			
		Postcode	
*Country			

## SALARY INFORMATION

Not required for trustee applicants

*Annual salary or last known salary:	Currency		Amount	
#Bonus (if any)	Currency		Amount	

## TAXATION DETAILS

*Country of residence for taxation			
*Tax Identification Number (TIN)			
<b>Applicant 2</b> (if any)			
*Residential address (Please note we donot accept c/o and PO Box addresses)			
		Postcode	

## CORRESPONDENCE ADDRESS

## NEW CORRESPONDENCE ADDRESS

*Same as residential address	Yes	No	
*New correspondence address	Yes	No	
(#Full address plus postcode and country required)			Postcode

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CLIENT'S CONTACT DETAILS (PLEASE ENSURE YOU PROVIDE THE COUNTRY DIALLING CODE)

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*Telephone number	<input type="text"/>
Mobile number	<input type="text"/>
Fax number	<input type="text"/>
*Email address	<input type="text"/>

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EMPLOYMENT DETAILS

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\*Please indicate the client's employment status:

<b>Employment status</b>	Employed	Self employed	Retired	Not employed
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Where your client is employed, self employed or retired (please give details of previous employer), please note:

*Occupation (including Role eg. Director/Senior Manager etc. and Sector eg. Accountancy/ Pharmaceuticals/ Engineering etc)	<input type="text"/>		
*Employer's name/ Own company	<input type="text"/>		
*Employer's address (full address)	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Postcode <input type="text"/>	
*Country	<input type="text"/>		

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SALARY INFORMATION

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*Annual salary or last known salary:	Currency	<input type="text"/>	Amount	<input type="text"/>
#Bonus (if any)	Currency	<input type="text"/>	Amount	<input type="text"/>

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TAXATION DETAILS

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*Country of residence for taxation	<input type="text"/>
*Tax Identification Number (TIN)	<input type="text"/>

**C** CREATE NEW INVESTMENT (OPENING AN ACCOUNT)

\*Ownership type  
(choose from)

Single applicant

Joint applicant

**Note** - Joint applicants can only be applied for by Belgian residents with a Mutual Stipulation Form. Speak to your Sales Support to obtain this.

If joint selected, who is going to be the Lead Policyholder?

POLICY PREFERENCES

\*Assigned adviser

\*Product

European Executive Investment Bond (Cypriot Law)

European Executive Investment Bond (Belgian Law)

European Collective Investment Bond (Cypriot Law)

European Collective Investment Bond (Belgian Law)

Policy currency

GBP

Euro

US Dollar

Other

CUSTODIAN THAT WILL HOLD THE ASSETS

Default custodian

Authorised custodian

Name of custodian

When appointing one or more authorised custodians you will need to complete the **Request for Utmost International or Utmost PanEurope to appoint authorised custodian(s) form**.

For an authorised custodian a Fund Adviser must be appointed. You will need to complete an **Appointment of a Fund Adviser form**. **Belgian Law requires a Fund Adviser to be appointed in all instances**.

You will be asked to upload the completed forms during the new investment application process. Please contact us if you are unsure which form(s) to complete.

PREMIUM

\*Lump sum amount

\*Lump sum currency

GBP

Euro

US Dollar

Other

CHARGE PACKAGE

\*Charge Package Code

Initial Commission

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Ongoing Service Charge  
(if applicable)

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## INVESTMENT OPTION

## APPLICATION DETAILS

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No

Non-Discretionary

7 | 13





## LIVES ASSURED

	Applicant 1		Applicant 2 (if any)	
*Is the policyholder to be a life assured?	Yes	No	Yes	No

You do not need to complete this section if the applicant(s) is going to be the only life assured.

	Life assured 1	Life assured 2
Title	<input type="text"/>	<input type="text"/>
First name	<input type="text"/>	<input type="text"/>
Middle name(s)	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>
Previous name, maiden name or alias	<input type="text"/>	<input type="text"/>
Gender	<input type="text"/>	<input type="text"/>
Residential address, including postcode and country (Please note we do not accept c/o and PO Box addresses)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text" value="Postcode"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text" value="Postcode"/>
Nationality	<input type="text"/>	<input type="text"/>
Date of birth	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>

## REASON FOR INVESTMENT

	Diversification Succession Planning	Inheritance Planning Wealth Protection/Accumulation	Retirement/Other
If "Other", please provide details	<input type="text"/> <input type="text"/>		

**D PAYMENT DETAILS/ENTER PAYMENT DETAILS OF SOURCE OF PREMIUM**

When applying online, please use the bank details below:

**BANKING DETAILS**

**STERLING PAYMENTS**

From UK banks (CHAPS\* payments)

Swift code: NWBKGB2LXXX

Bank: National Westminster Bank, Southampton

Beneficiary: Utmost PanEurope dac

Sort Code: 56-00-68

IBAN code: GB59NWBK56006837519611

**OTHER CURRENCY PAYMENTS (SWIFT PAYMENTS)**

Payments should be made to Utmost PanEurope dac's accounts held with National Westminster Bank, London.

SWIFT code\*\*: NWBKGB2LXXX

Bank: National Westminster Bank, London

Beneficiary: Utmost PanEurope dac

IBAN\*\*\*: (select as applicable, see below)

1. US dollar	IBAN - GB36NWBK60730140501418	7. New Zealand dollar	IBAN - GB26NWBK60730167576141
2. Euro	IBAN - GB26NWBK60720240501469	8. Norwegian krone	IBAN - GB18NWBK60730166004012
3. Australian dollar	IBAN - GB24NWBK60730166004195	9. Singapore dollar	IBAN - GB53NWBK60730167598838
4. Canadian dollar	IBAN - GB80NWBK60730167521916	10. Swedish krona	IBAN - GB48NWBK60730140501493
5. Danish krone	IBAN - GB43NWBK60730166004047	11. Swiss franc	IBAN - GB74NWBK60730166004071
6. Japanese yen	IBAN - GB87NWBK60730166004128	12. Hong Kong dollar	IBAN - GB21NWBK60730166004152

\* CHAPS is an electronic bank-to-bank same day value payment made in the UK in pound sterling (£).

\*\* SWIFT is an acronym for Society for Worldwide Interbank Financial Telecommunications.

\*\*\* IBAN stands for international bank account number and is always used in conjunction with a bank identifier code (BIC).

**IMPORTANT:**

- › Please make sure that the applicant's name and/or bond number (if reserved) is/are quoted in the payment field, referred to by the bank as SWIFT field 70, on the electronic bank transfer form to ensure that correct details are sent to Utmost PanEurope.
- › Please note that when sending payments electronically spaces should be excluded from the IBAN numbers.
- › All bank charges, such as telegraphic transfer charges, are the responsibility of the applicant.

## PAYMENT METHOD

### Bank details

Bank account holder	<input type="text"/>		
Bank name and address	<input type="text"/>		
	<input type="text"/>		
Payment currency	<input type="text"/>		
Sort Code	<input type="text"/>	- <input type="text"/>	- <input type="text"/>
	Account number		<input type="text"/>
IBAN	<input type="text"/>		
SWIFT or BIC Code	<input type="text"/>		
Time bank account held	<input type="text"/>		

## ORIGIN OF WEALTH

Please refer to our **Origin of Wealth Guidelines** for full details on our requirements for your chosen Origin. Using the below, please detail in the free text box the relevant information needed:

**Accumulated Earned Income:** Name & address of employer - occupation-details of annual salary, bonus & fees - details of account where funds have accumulated.

**Sale of Interest in company:** Name & address of company - Nature of business - Connection to - amount and currency received - date of sale - net amount of sale

**Sale of Shares or Investment:** Name of Company - Address - how funds accumulated - how they were sold - description of - time held - currency/amount and date received.

**Sale of Property:** Name of Company - Address - total sale amount - date of sale - amount received from the sale.

**Inheritance:** Donor's name - relationship to donor - date of donor's death - details of assets forming inheritance - total amount received and currency - date received.

**Gift:** Name of person giving the gift - relationship - reason - description of gift - total amount received - date received.

**Competition or Gambling Win:** Name of organisation - how money was won - amount of winnings - date received.

**Maturing Policy/claim or replacement:** Reason for - name of company - name of persons holding - total amount received - surrender penalty (if any) - date received - time held.

**Compensation:** Name of organisation - reason for - total amount received - date received

**Loan:** Name of provider - address of loan provider - reason for - total amount and currency borrowed - date of loan.

**Other:** Nature of - role in relation - total amount received and time generated - date of proceeds.

## E DATA PRIVACY STATEMENT

**This privacy notice should be made available to all data subjects.**

I understand that Utmost Services Limited, Utmost International Business Services Limited, Utmost Services Ireland Limited, Utmost Administration Limited, Utmost International Isle of Man Limited, Utmost International Trustee Solutions Limited and / or Utmost PanEurope dac (Utmost International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

- › my contact details
- › information to verify my identity
- › information about my family, lifestyle, health and finances
- › my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside the Utmost group of companies for the general purpose of establishing, maintaining and servicing an insurance policy. The sharing of my personal data may be used for any or all of the following purposes, to:

- › check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;
- › allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;
- › enable an appointed financial adviser or fund adviser to assist in the provision of services to the policyholder;
- › compile statistical analysis or market research, where information is not specific to the individual;
- › comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standards;
- › enable an appointed discretionary asset manager or custodian to meet their legal or regulatory requirements, where that discretionary asset manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a discretionary asset manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Utmost International would apply.

I may ask Utmost International to:

- › provide a copy of personal information held about me and an explanation of how this data is processed;
- › update or correct my personal information;
- › delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);
- › restrict processing of my personal information where appropriate. I may also object to Utmost International processing my data but understand that this may have consequences in Utmost International being able to continue servicing my policy.

I have been made aware that a full explanation of how Utmost International collects, uses and shares my personal information can be found at [www.utmostinternational.com/privacy-statements/](http://www.utmostinternational.com/privacy-statements/)

If I have any questions about data privacy I can address these to:

**For Utmost PanEurope dac:** The Data Protection Officer, Utmost PanEurope dac, Navan Business Park, Athlumney, Co Meath, C15 CCW8, Ireland.

Or email: [dataprotection@utmost.ie](mailto:dataprotection@utmost.ie)

**For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited:** The Data Protection Officer, Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

Or email: [IOM.DPO@Utmostinternational.com](mailto:IOM.DPO@Utmostinternational.com)

If I have a complaint about the processing of my personal information and Utmost International is unable to provide a satisfactory response I may contact the appropriate regulator:

**For Utmost PanEurope dac:** The Ireland Data Protection Commissioner, Canal House, Station Road, Portarlington, R32 AP23 Co. Laois, Ireland.

**For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited:** The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, British Isles, IM1 1ET.

As the Isle of Man is not part of the United Kingdom, our Appointed Representative in the United Kingdom is an establishment of Utmost Services Limited based at Saddlers House, 5th Floor, 44 Gutter Lane, London, EC2V 6BR.

I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose personal information has been provided by me to Utmost International either in this application or within accompanying documentation.

**Important note:** The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change.  
To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

## A WEALTH *of* DIFFERENCE

[www.utmostinternational.com](http://www.utmostinternational.com)

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost PanEurope dac is regulated by the Central Bank of Ireland. Registered No 311420.

Administration Centre for correspondence: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.  
Tel: +353(0)1 479 3900 Fax: +353(0)1 475 1020.

Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

UPEQ PR 20132 | 10/22