

EXECUTIVE BOND

APPLICATION FORM - LOAN TRUST



For use with the Executive Investment Bond and the Executive Redemption Bond

THIS DOCUMENT WAS LAST UPDATED IN MARCH 2022

Please confirm with your financial adviser that this is the most up-to-date document for your product or servicing needs
USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

SECTION	PAGE	COMPLETED
A Type of application	3	<input type="checkbox"/>
B Details of trust and settlor(s)	3	<input type="checkbox"/>
C Corporate trustee applicant	6	<input type="checkbox"/>
D Details of lives assured	6	<input type="checkbox"/>
E Charges and policy denomination	9	<input type="checkbox"/>
F Investment details	9	<input type="checkbox"/>
G Source of Funds	10	<input type="checkbox"/>
H Asset choice	16	<input type="checkbox"/>
I Optional regular loan repayments to the settlor(s)	18	<input type="checkbox"/>
J Declaration by the settlor(s)	19	<input type="checkbox"/>
K Financial adviser/suitable certifier declaration	23	<input type="checkbox"/>

Important note: The product(s) named in this document and to which this document relates is accurate as at December 2021 and is subject to change.
To ensure applicability with respect to a product and, if applicable, a related policy, before taking any action, please liaise with your adviser and/or contact us directly.

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Utmost International Isle of Man Limited is registered in the Isle of Man under number 24916C.

Registered Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

Tel: +44 (0)1624 655 555 Fax: +44 (0)1624 611 715. Licensed by the Isle of Man Financial Services Authority.

Utmost International Trustee Solutions Limited is registered in the Isle of Man under number 095926C.

Registered Office: PO Box 142, King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 3DJ, British Isles.

Tel: +44 (0)1624 655 456 Fax: +44 (0)1624 655 930. Licensed by the Isle of Man Financial Services Authority.

Utmost Wealth Solutions is registered in the Isle of Man as a business name of Utmost International Isle of Man Limited.

Utmost Trustee Solutions is registered in the Isle of Man as a business name of Utmost International Trustee Solutions Limited.

UTSQ PR 05284 | 10/22

CUSTOMER ID NUMBER(S)

If known please enter the Customer ID number(s)

Applicant 1

Applicant 2

FINANCIAL ADVISER DETAILS

Utmost International
account number

Name of financial adviser

Company name

Address

Telephone number

Fax number

E-mail address

Utmost International only accepts business introduced by companies which have Terms of Business with us.

We only sell our products through financial advisers as we believe it is important you receive independent financial advice. As it is you who chooses your financial adviser, you need to bear in mind that they are acting on your behalf and not on behalf of Utmost International or Utmost International Trustee Solutions Limited. You are responsible for their actions or omissions.

All references to Utmost International in this application form mean Utmost International Isle of Man Limited.

TAXATION INFORMATION

Under Automatic Exchange of Information (AEOI) regulations Utmost International is required to obtain information about an applicant's tax status. To enable us to comply with these regulations, when submitting this application form you must also submit the **'Taxation information and self-certification - for entity investors'** or **'Tax declaration and self-certification for Trusts (where the trustees are all individuals)'** form. Completion and submission of a self-certification is mandatory and failure to provide one could result in your Portfolio being reported under AEOI by default. If any of the information contained in the self-certification changes please advise Utmost International promptly so we can determine if a new self-certification is required.

IMPORTANT INFORMATION

This application form is completed by you, the Settlor(s), for consideration by Utmost International Trustee Solutions Limited as the trustee for the Loan Trust you have created. Utmost International Trustee Solutions Limited has complete discretion over where the trust monies are invested. This form will only be a valid application to Utmost International for an Utmost International - Executive Redemption Bond, or an Utmost International - Executive Investment Bond, if Utmost International Trustee Solutions Limited signs and seals section J. The investment will not be made into the Bond until such time as Utmost International Trustee Solutions Limited submits this application form to Utmost International.

Before completing this application please make sure you have read the product literature:

- › the brochure entitled the discerning investor,
- › the policy terms: Details of your Executive Redemption Bond (ref ERB4) or Details of your Executive Investment Bond (ref EIB4) and
- › the Executive Redemption Bond at a glance or Details of your Executive Investment Bond at a glance.

Your financial adviser will be able to provide you with the relevant product literature.

COMPLETING THE FORM

To complete this form:

- › use CAPITAL LETTERS only
- › use blue or black ink
- › tick boxes as appropriate
- › complete all relevant sections; see checklist on page 24 for further details
- › do not use correction fluid; any amendments should be crossed out and initialled by all applicants.

Please ensure that you complete all relevant sections. We will contact you regarding any missing information which will need to be provided to us in writing, and this may delay your application.

A TYPE OF APPLICATION

TYPE OF BOND

Please indicate which type of Bond you are applying for: (✓)

The type of bond cannot be changed after it has been set up.

Life assurance

This is called the Executive Investment Bond.

Capital redemption

This is called the Executive Redemption Bond

Reason for investment
(e.g. saving for retirement)

B DETAILS OF TRUST AND SETTLOR(S)

In this section, please give the following details: the name of the trust (for example, 'the Mary Jones Policy Trust dated 1 April 1990', 'the John Brown Will Trust') and the date the trust was created on.

The trust name is

The trust was created on

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Trust details

► Please explain the reason for the establishment of the trust, what type of trust it is and detail the source of the trust assets.

DETAILS OF THE SETTLOR(S)

In this section, please give details of the Settlor(s).

The Settlor(s) must be at least 18 years old and the maximum age is 89. The maximum age for a life assured is also 89.

If there are any further Settlers, please photocopy this page, attach the details with this application form and tick here (✓)

► If additional pages are added, each separate page must be initialled and dated by all Settlers..

	Settlor 1			Settlor 2		
Title (✓)	Mr	Mrs	Miss	Mr	Mrs	Miss
	Other	<input type="text"/>		Other	<input type="text"/>	
Full forename(s)	<input type="text"/>			<input type="text"/>		
Surname	<input type="text"/>			<input type="text"/>		
Maiden name, previous name or alias	<input type="text"/>			<input type="text"/>		
Sex (✓)	Male	Female		Male	Female	
Date of birth	<input type="text"/> d <input type="text"/> d <input type="text"/> m <input type="text"/> m <input type="text"/> y <input type="text"/> y <input type="text"/> y <input type="text"/> y			<input type="text"/> d <input type="text"/> d <input type="text"/> m <input type="text"/> m <input type="text"/> y <input type="text"/> y <input type="text"/> y <input type="text"/> y		
Occupation (including role, e.g. Director and sector, e.g. Accountancy. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited occupations as confirmed on our Source of Funds and Source of Wealth Guidelines)	<input type="text"/>			<input type="text"/>		
Nationality	<input type="text"/>			<input type="text"/>		
Dual nationality (if applicable)	<input type="text"/>			<input type="text"/>		
Passport number/national identity card number	<input type="text"/>			<input type="text"/>		
Residential address (Please note we do not accept c/o and PO addresses)	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
Country of residence	<input type="text"/>			<input type="text"/>		
Correspondence address (If left bank we will use your residential address for correspondence)	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
Utmost International accepts no responsibility for the consequences of sending documentation to this correspondence address, or to an address notified subsequently.						
Utmost International reserves the right to send correspondence to the residential address where regulations prevent it being sent to any other alternative address.						
Telephone number including area code (daytime)	<input type="text"/>			<input type="text"/>		
Telephone number including area code (evening)	<input type="text"/>			<input type="text"/>		
E-mail address	<input type="text"/>			<input type="text"/>		
Is the Settlor(s) also going to be a life assured? (✓)	Yes	No		Yes	No	

EMPLOYER DETAILS

This section must be completed in all instances.

Please give details of your employer or your own company, if self-employed.

If you have retired or are not currently employed please include details of your previous employer or your own company. If you have never been employed, please state N/A. Please also enter your final year's salary/income and bonus if any.

	Settlor 1			Settlor 2 (if any)		
Employment status (✓)	Employed	Self Employed		Employed	Self Employed	
	Retired	Not employed		Retired	Not employed	
Date of retirement/ unemployment	<input type="text"/>			<input type="text"/>		
Name of employer or your own company	<input type="text"/>			<input type="text"/>		
Address of employer or your own company	<input type="text"/>			<input type="text"/>		
	<input type="text"/>			<input type="text"/>		
	<input type="text" value="Postcode"/>			<input type="text" value="Postcode"/>		
Country	<input type="text"/>			<input type="text"/>		
Website address of employer or your own company (if any)	<input type="text"/>			<input type="text"/>		
Occupation (including role, e.g. Director and sector, e.g. Accountancy. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited occupations as confirmed on our Source of Funds and Source of Wealth Guidelines)	<input type="text"/>			<input type="text"/>		
Last year's gross salary/ income amount	<input type="text"/>			<input type="text"/>		
Currency (✓)	£	US\$	€	£	US\$	€
	Other (state currency) <input type="text"/>			Other (state currency) <input type="text"/>		
Last year's gross salary/ income amount	<input type="text"/>			<input type="text"/>		
Currency (✓)	£	US\$	€	£	US\$	€
	Other (state currency) <input type="text"/>			Other (state currency) <input type="text"/>		
If you receive income from another source, please provide full details here (e.g. dividend, investment, rental income including their nature and source)	<input type="text"/>			<input type="text"/>		

POLITICALLY EXPOSED PERSON

If the applicant(s), or any other party connected to this application either now or in the past/future, could be classed as a politically exposed person (PEP), or connected with a PEP, please provide details.

A politically exposed person is someone holding an important public position, or a person clearly related to them. Examples of these are:

- › Heads of State or Government
- › Judicial or military officials
- › Senior politicians
- › Senior Executives of publicly owned corporations
- › Senior Government officials
- › Important political officials.

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If a client is a PEP, or is linked to a PEP, Source of Funds evidence must be provided with the application and funding must come from the applicant's bank account. Due to the increased risk of accepting business from PEPs and the specific regulatory requirements relating to them, Utmost International will require Source of Wealth information which can be provided using the Source of Wealth Questionnaire, and may also require Source of Wealth evidence.

C CORPORATE TRUSTEE APPLICANT

DETAILS OF CORPORATE TRUSTEE APPLICANT

Name of corporate trustee
Address
(address of the registered
office)

Utmost International Trustee Solutions Limited

PO Box 142

King Edward Bay House, King Edward Road

Onchan, Isle of Man

Postcode **IM99 3DJ**

Country **Isle of Man**

Telephone number

01624 655456

D DETAILS OF LIVES ASSURED

You only need to complete this section if you have selected life assurance, ie the Executive Investment Bond, in section A. The maximum age for a life assured is 89.

FIRST OR SOLE LIFE ASSURED

Title (✓)

Mr

Mrs

Miss

Other

Full forename(s)

Surname

Full residential address
(Please note we do not
accept c/o or PO Box
addresses.)

Postcode	Country

Date of birth

d	d	m	m	y	y	y	y	Sex (✓)	Male	Female
---	---	---	---	---	---	---	---	---------	------	--------

Nationality
(Please include any dual
nationality)

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Occupation (including role,
e.g. Director and sector,
e.g. Accountancy. If you have
retired please include your
occupation before retirement.
Please check that the
occupation is not on our list
of prohibited occupations as
confirmed on our Source of
Funds and Source
of Wealth Guidelines)

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JOINT LIFE ASSURED (IF ANY)

Title (✓)

Mr	Mrs	Miss	Other	
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Full forename(s)

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Surname

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Full residential address
(Please note we do not
accept c/o or PO Box
addresses.)

Postcode	Country

Date of birth

d	d	m	m	y	y	y	y	Sex (✓)	Male	Female
---	---	---	---	---	---	---	---	---------	------	--------

Nationality
(Please include any dual
nationality)

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Occupation (including role,
e.g. Director and sector,
e.g. Accountancy. If you have
retired please include your
occupation before retirement.
Please check that the
occupation is not on our list
of prohibited occupations as
confirmed on our Source of
Funds and Source
of Wealth Guidelines)

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FURTHER LIFE ASSURED (IF ANY)

Title (✓)

Mrs

Other

Full forename(s)

Surname

Full residential address
(Please note we do not
accept c/o or PO Box
addresses.)

Postcode	Country
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Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Sex (✓) Male Female

Nationality
(Please include any dual
nationality)

Occupation (including role, e.g. Director and sector, e.g. Accountancy. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited occupations as confirmed on our Source of Funds and Source of Wealth Guidelines)

[illegible]

FURTHER LIFE ASSURED (IF ANY)

Title (✓)

Mrs

Other

Full forename(s)

Surname

Full residential address
(Please note we do not
accept c/o or PO Box
addresses.)

Postcode	Country
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Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Sex (✓) Male Female

Nationality
(Please include any dual nationality)

Occupation (including role, e.g. Director and sector, e.g. Accountancy. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited occupations as confirmed on our Source of Funds and Source of Wealth Guidelines)

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If there are further lives assured, please provide the details as above on a separate sheet and tick here. (✓)

E CHARGES AND POLICY DENOMINATION

UTMOST INTERNATIONAL'S CHARGES

Please enter the code for the Utmost International charge package that your financial adviser has explained will apply to your Executive Bond

--	--	--	--	--	--	--	--

Please enter allocation percentage for this bond (if applicable)

								%
--	--	--	--	--	--	--	--	---

Please enter the Ongoing Service Charge (if applicable)

								%
--	--	--	--	--	--	--	--	---

Policy currency

I wish the Bond Policies to be denominated in (currency)

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Note: The Policy currency can not be changed after the Policy is set up. If no currency is indicated your Bond will be denominated in Sterling. For a list of currencies in which you can denominate your Bond, please see the client agreement, if you have not received a copy please ask your financial adviser.

Currency conversions

If you denominate the Bond in a currency other than that in which the premium is paid, you should be aware that a notional currency conversion will be required which could expose you to exchange rate fluctuations. Any conversion will be at the 'mid-market rate', as determined by Utmost International, on the Contract Date. The notionally converted amount will be the amount that is shown in the Policy Schedules. Where additional currency conversions are required as a result of transactions within the Bond, you should bear in mind that delays may occur. Please refer to the Policy Terms for any charges that may apply.

F INVESTMENT DETAILS

Loan amount to be invested

Minimum - £50,000
(or currency equivalent
of £50,000)
(Please state currency)

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Number of policies

(12 policies will be allocated if left blank. This cannot be changed after the Bond is established.)

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NOTE

Minimum investment per policy is £500 (or currency equivalent of £500), where more than 12 policies are required.

G SOURCE OF FUNDS

This section must be completed in all instances, please note this section continues on the following two pages.

SOURCE OF FUNDS - BANK DETAILS OF WHERE FUNDS ARE BEING REMITTED FROM

► The bank account must be in the name of the Settlor(s).

Loan amount	<input type="text"/>	Payment currency	<input type="text"/>
Bank account holder (name as stated on bank account)	<input type="text"/>		
Bank account number/IBAN*	<input type="text"/>		
Sort code** (if applicable)	<input type="text"/> - <input type="text"/> - <input type="text"/>		
SWIFT or BIC code (if applicable)	<input type="text"/>		
Bank name	<input type="text"/>		
Bank address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
Country	<input type="text"/>		
How long have you held this account?	<input type="text"/>	years	<input type="text"/>
	<input type="text"/>	months	<input type="text"/>
Additional information that may be required by the bank	<input type="text"/>		
	<input type="text"/>		

► Accounts within the UK, Jersey, Guernsey, Isle of Man or Gibraltar require a bank account number and sort code.

► Premium payments made from banks outside the UK require a SWIFT or Bank Identifier Code (BIC), and an International bank account number (IBAN).

ACTIVITY WHICH GENERATED AMOUNT TO BE INVESTED

Utmost International is required to record details of how the funds being invested have been accumulated.

Where your funds come from more than one source, you should complete all relevant sections to give us the full picture of its origin.

Documentary evidence requirements:

If **all** of the following apply:

- › you are resident in; **and**
- › you are funding from; **and**
- › your financial adviser is regulated in,

Isle of Man, Jersey, Guernsey, Hong Kong, United Kingdom, Singapore or Sweden, the threshold for requiring supporting documentary evidence is GBP 2,000,000.00 of total premiums paid to date to Utmost International.

Where the above doesn't apply, your financial adviser will tell you if additional documentary evidence is required by referring to our **Source of Funds and Source of Wealth Guidelines** (the Utmost International Isle of Man Limited version).

a. Accumulated Earned income (including salary, bonus and fees)

Total amount received	Currency	<input type="text"/>	Amount	<input type="text"/>
Number of years income accumulated	<input type="text"/> <input type="text"/>	years		
Institution holding the funds	<input type="text"/>			
Name of account where funds have been held	<input type="text"/>			
Account number	<input type="text"/>			
Length of time funds have been in this account	<input type="text"/> <input type="text"/>	years	<input type="text"/> <input type="text"/>	months
Nature of business	<input type="text"/>			
Main occupation during the accumulation period (e.g. Director. If you have retired please include your occupation before retirement. Please check that the occupation is not on our list of prohibited documents as confirmed on our Source of Wealth and Source of Funds Guidelines)	<input type="text"/>			
Main employer's name	<input type="text"/>			
Employer's address	<input type="text"/>			
	<input type="text"/>			
	<input type="text"/>			
	Postcode			
Average annual salary over the accumulation period	Currency	<input type="text"/>	Amount	<input type="text"/>
Average annual bonus over the accumulation period	Currency	<input type="text"/>	Amount	<input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › Last three month's payslips; **or**
- › Three months of account statements showing declared income being credited; **or**
- › Letter on headed paper from employer confirming last year's annualised earned income; and, where applicable, bonus payment **or**
- › Tax statement e.g. P60 for the UK, IRAS for Singapore etc.; **or**
- › Copy of latest accounts if self-employed

b. Compensation

Name of organisation or individual that paid compensation	<input type="text"/>			
Reason for compensation	<input type="text"/>			
Country compensation was awarded	<input type="text"/>			
Total amount received	Currency	<input type="text"/>	Amount	<input type="text"/>
Date of received	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › Letter on company headed paper or court order from compensating body validating the information in the application form; **or**,
- › Signed letter on company headed paper from solicitor/lawyer handling the compensation validating the information in the application form

c. Competition win

Name of competition organiser	<input type="text"/>
Description of competition	<input type="text"/>
Country competition was held in	<input type="text"/>
Total amount won	Currency <input type="text"/> Amount <input type="text"/>
Date of win	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › A signed letter from the organisation providing the proceeds of the win on letter-headed paper confirming name of winner, date of win and value of winnings; **or**
- › Bank statement showing deposit of winnings in clients name and referencing the organisation providing the proceeds of the win; **or**
- › Media coverage of the win showing name of winner, date of win and value of winnings

d. Gift

Full name of person who gave the gift	<input type="text"/>
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Nationality	<input type="text"/>
Address	<input type="text"/> <input type="text"/> <input type="text"/>
Relationship to applicant	<input type="text"/>
Reason for gift	<input type="text"/>
Description of gift	<input type="text"/> <input type="text"/>
Total amount received	Currency <input type="text"/> Amount <input type="text"/>
Date received	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copies of **all** of the following:

- › A valid identification documentation for the donor (even if it is not coming from their account); **and**
- › Letter from the donor explaining the reason for the gift and source of funds behind the gift; **and**
- › Documentary evidence as to the donor's source of wealth as set out in the Source of Funds and Source of Wealth Guidelines

e. Inheritance

Deceased's full name	<input type="text"/>								
Relationship to applicant	<input type="text"/>								
Date of death	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		
Details of the inheritance Tell us about the assets forming the inheritance (eg. cash, property, shares etc.)	<input type="text"/> <input type="text"/>								
Amount received	Currency <input type="text"/> Amount <input type="text"/>								
Date received	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		
Solicitor/lawyer's (who dealt with the estate) name	<input type="text"/>								
Solicitor/lawyer's firm name	<input type="text"/>								
Solicitor/lawyer's firm address	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>								

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › Grant of probate (with a copy of the will) which must include the value of the estate; **or**
- › The will relating to the inheritance; **or**
- › A signed letter from the regulated solicitor dealing with the estate on letter-headed paper confirming the information supplied in this application

f. Loan

Name of loan provider	<input type="text"/>								
Address of loan provider	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>								
	Postcode <input type="text"/>								
Total amount borrowed	Currency <input type="text"/> Amount <input type="text"/>								
Date of loan	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › A signed letter from the lender on letter-headed paper confirming the name of borrower, amount of loan and date of draw-down; or,
- › A loan statement confirming the details provided in this form

g. Maturing policy/policy claim/replacement policy

If the source of funds is the sale of an investment rather than maturity, please complete h instead.

Name of policy provider	<input type="text"/>
Address of policy provider	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Postcode <input type="text"/>
Policyholder's full name	<input type="text"/>
Length of time policy held	<input type="text"/> <input type="text"/> years <input type="text"/> <input type="text"/> months

▶ If the investment/policy being sold has been owned for less than 5 years, we need to understand the Source of Funds immediately prior to the purchase of the investment/policy. Please complete an additional relevant section to confirm this.

Reason for policy claim or replacement policy (if applicable)	<input type="text"/>
Total amount received	Currency <input type="text"/> Amount <input type="text"/>
Surrender penalty (if applicable)	<input type="text"/>
Date received	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › Letter on company headed paper from previous product provider regarding notification of proceeds of claim under the policy; **or**
- › Closing statement from previous product provider

h. Sale of asset portfolio or investment

If the source of funds is a maturing investment rather than one that you are choosing to sell, please complete g instead.

Description of asset portfolio or investment (i.e. government bonds, equities etc.)	<input type="text"/>
Name of the company that held it	<input type="text"/>
Registered address of company	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Postcode <input type="text"/>
Account name	<input type="text"/>
Length of time asset portfolio or investment held	<input type="text"/> <input type="text"/> years <input type="text"/> <input type="text"/> months

▶ If the portfolio/investment being sold has been owned for less than 5 years, we need to understand the Source of Funds immediately prior to the purchase of the portfolio/investment. Please complete an additional relevant section to confirm this.

Date of sale	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Net amount received	Currency <input type="text"/> Amount <input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of one of the following:

- › Legal sale document; **or**
- › Copy of contract note

i. Sale of interest in company

Company name

Business sector	
-----------------	--

Address of company	
	Postcode

Your connection with the company For example:
owner, partner or shareholder

Date of sale

Sale amount	Currency	Amount
1000	USD	1000
2000	USD	2000
3000	USD	3000
4000	USD	4000
5000	USD	5000
6000	USD	6000
7000	USD	7000
8000	USD	8000
9000	USD	9000
10000	USD	10000
11000	USD	11000
12000	USD	12000
13000	USD	13000
14000	USD	14000
15000	USD	15000
16000	USD	16000
17000	USD	17000
18000	USD	18000
19000	USD	19000
20000	USD	20000
21000	USD	21000
22000	USD	22000
23000	USD	23000
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30000	USD	30000
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40000	USD	40000
41000	USD	41000
42000	USD	42000
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46000	USD	46000
47000	USD	47000
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67000	USD	67000
68000	USD	68000
69000	USD	69000
70000	USD	70000
71000	USD	71000
72000	USD	72000
73000	USD	73000
74000	USD	74000
75000	USD	75000
76000	USD	76000
77000	USD	77000
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79000	USD	79000
80000	USD	80000
81000	USD	81000
82000	USD	82000
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88000	USD	88000
89000	USD	89000
90000	USD	90000
91000	USD	91000
92000	USD	92000
93000	USD	93000
94000	USD	94000
95000	USD	95000
96000	USD	96000
97000	USD	97000
98000	USD	98000
99000	USD	99000
100000	USD	100000

Net amount received
The amount you have received after any deductions such as fees and taxes.

Currency Amount

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified
copy of **one** of the following:

- › Signed letter on company headed paper from solicitor/ lawyer validating the information confirmed in this section of the application form; **or**,
- › Signed letter on company headed paper from regulated accountant validating the information in this section of the application form; **or**,
- › Copy of contract of sale and bank statement in the name of the client showing payment of the proceeds into an account in the name of the applicant; **or**,
- › Copies of media coverage of the sale (if applicable) as supporting evidence that the information is in this section of this application form

j. Sale of property

If you are not the beneficial owner of this property, please select a different option for source of funds that is more appropriate

Address of property sold (including postcode if applicable)	
	Postcode

Length of time property owned years months

If the property being sold has been owned for less than 5 years, we need to understand the Source of Funds immediately prior to the purchase of the property. Please complete an additional relevant section to confirm this.

Date of sale

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Total sale amount Currency Amount

Net amount applicant received from sale	Currency		Amount	
---	----------	--	--------	--

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy
of **one** of the following:

- › Signed letter on headed paper from solicitor; or lawyer handling the sale or from regulated accountant; **or**
- › Signed letter on headed paper from estate agent (if applicable); **or**
- › Copy of contract of sale detailing the details included in the application form

k. Other

Description of the activity that generated the funds	<input type="text"/>
Role in relation to above activities	<input type="text"/>
Period over which the activities occurred	<input type="text"/>
Country in which the activity occurred	<input type="text"/>
Date received	<input type="text"/> <input type="text"/> years <input type="text"/> <input type="text"/> months
Proceeds received from the activity	Currency <input type="text"/> Amount <input type="text"/>

If there are documentary evidence requirements, as clarified at the start of this section, you are required to provide the following

Original or suitably certified copy of **one** of the following:

- › Appropriate, independent supporting documentation which validates the information provided in this section of the application form; **or**,
- › Signed letter from a person with personal knowledge of the activities described and in a position subject to anti-money laundering regulation, for example a regulated accountant or lawyer

H ASSET CHOICE

Please use this section to list the assets you wish to invest in.

► Please note that you can invest in as many assets as you wish, subject to a minimum of £5,000 (or currency equivalent) in each holding. Some asset providers may have a higher minimum investment requirement and this minimum will prevail.

Please note if we do not receive sufficient details, this will delay your investment.

APPOINTMENT OF A FUND ADVISER, AUTHORISED CUSTODIAN OR DISCRETIONARY ASSET MANAGER

Appointment of a fund adviser, authorised custodian or discretionary asset manager? (✓)

Fund adviser

Authorised custodian

Discretionary asset manager

► Please note that if you are requesting for a custodian to be appointed, you do not need to complete the asset choice section below.

► Please note your premium must be remitted to Utmost International Trustee Solutions Limited.

If you have ticked one or more of the appointment options above, you need to complete the relevant form(s); which are available from your financial adviser.

Please enter which form(s) you are enclosing with this application form. (✓)

Appointing a fund adviser - Utmost International portfolio bonds

Request to transfer to an authorised custodian account

Request for Utmost International Isle of Man Limited ('Utmost International') to appoint a discretionary asset manager

ASSET CHOICE					
ASSET IDENTIFIER ISIN	ASSET NAME - PLEASE ENTER NAMES IN FULL	ACCUMULATION/ INCOME UNIT REQUIREMENT (IF APPLICABLE)	SHARE CLASS (IF APPLICABLE FOR A, B OR C)	BASE CURRENCY OF SECURITY/ FUND (E.G., GBP, USD)	INVESTMENT % (WHOLE % NUMBERS ONLY) THIS MUST MEET OUR MINIMUM DEALING SIZE)
					%
					%
					%
					%
					%
					%
					%
					%
					%
					%
					%
TOTAL (must add up to 100%)					%

(Please continue on a separate copy of this page, which you should sign and attach to this application, if necessary.)

In case we need to clarify the asset choice details above, please provide us with a contact name and telephone number.

Contact name	<input type="text"/>		
Telephone number including area code (daytime)	<input type="text"/>	Telephone number including area code (evening)	<input type="text"/>
Fax number	<input type="text"/>	E-mail address	<input type="text"/>

All investments required, other than Utmost International funds, shall be recognised by Utmost International or specifically agreed by Utmost International as eligible to be purchased by the Bond.

- › Any assets purchased in respect of the Bond will be purchased at the open market buying price as shown on the contract note used by the vendor.
- › Any third party charges relating to the purchasing of assets, such as bank charges, will be debited from your Bond.
- › All assets are held in the name of Utmost International and all dealing and contract notes must be made and rendered in the name of Utmost International.

In the absence of complete investment instructions, or if a selected fund is not available, Utmost International reserves the right to hold part or all of the premium in the Transaction Account. Please note that money held in the Transaction Account does not earn interest.

Future deals should be submitted using the Dealing Instruction Form and faxed to +44(0)1624 615535. Please ask your financial adviser for a copy.

I OPTIONAL REGULAR LOAN REPAYMENTS TO THE SETTLOR(S)

You will need to complete this section if regular loan repayments are required from the Bond. Please note that we will only pay loan repayments to the Settlor(s).

Amount of investment to be withdrawn each year

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Currency (✓)

£

US\$

€

Other (state currency)

Percentage of initial investment to be withdrawn each year

Withdrawals frequency (✓)

Monthly

Quarterly

Half-yearly

Yearly

Date of first payment

d	d	m	m	y	y	y	y
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NOTES

1. Monthly loan repayments are only available for invested amounts totalling more than £100,000 (or currency equivalent of £100,000).
2. Minimum loan repayment amount is £500 (or currency equivalent of £500).
3. We would suggest that you contact your financial adviser before requesting that loan repayments are set up to discuss the tax implications of these encashments in the country in which you reside.
4. Where the encashment value of the units allocated to the Bond at the date of the loan repayment falls below the higher of 15% of the amount invested or £10,000 (or currency equivalent of £10,000), we may decline the repayment.

NOMINATED ASSET(S)

Please state which asset(s) listed in Section H is/are to be used as the Nominated Asset(s) to pay regular loan repayments.

► If there are any further nominated assets, please photocopy this page, attach the details with this application form and tick here

ISIN	<input type="text"/>	Name	<input type="text"/>
ISIN	<input type="text"/>	Name	<input type="text"/>
ISIN	<input type="text"/>	Name	<input type="text"/>
ISIN	<input type="text"/>	Name	<input type="text"/>
ISIN	<input type="text"/>	Name	<input type="text"/>

Payee details

For joint Settlers, loan repayments will be made to both Lenders, either in full to a joint bank account or split equally to bank accounts in each of the Settlers' names.

Please tick this box and attach a second Settlor's bank details, if applicable. (✓)

Please select your preferred payment method:

Telegraphic Transfer

Please be advised that payments incur a bank transfer charge.

BACS direct credit

Only available for GBP payments paid to a UK, IOM or Channel Islands bank account.

This payment method takes three working days to reach the account, but doesn't incur a bank transfer charge.

If no payment method is selected we will default to Telegraphic Transfer, unless you have requested GBP regular withdrawals payments, in which case we will default to BACS where possible.

Until further notice, I/we require these loan repayments to be made to:

Bank name	<input type="text"/>
Bank address (for payments)	<input type="text"/>
	<input type="text"/>
	<input type="text" value="Postcode"/>
Account in name of	<input type="text"/>
	<input type="text"/>
Bank account number/IBAN	<input type="text"/>
Sort code	<input type="text"/> - <input type="text"/> - <input type="text"/>

NOTE

We will only pay withdrawals to the Lender(s).

SIGNATURE

First or sole Settlor

Date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

First or sole Settlor

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

J DECLARATION BY THE SETTLOR(S)

Important information - this section must be completed.

Any omission or misstatement of a material fact in this application could affect the payment of benefits under the Executive Redemption Bond/Executive Investment Bond. A material fact is one which is likely to influence the assessment and acceptance of the application. If you are uncertain whether a fact is material, you should give full details so that Utmost International can assess its possible significance. If you become aware of such a fact while Utmost International is considering the application, you should notify Utmost International immediately.

Please state the country in which this application form was completed.

- I/We understand that Utmost International has designated the Policy as suitable only for Professional Investors as defined by Utmost International in the Policy terms and conditions.
- I/We confirm that I/we meet the definition of a Professional Investor. I/We understand that Utmost International will rely solely on my/our confirmation, as part of their application acceptance criteria, that I/we meet the definition of a Professional Investor.
- I/We understand that the Policy allows investment into various types of Assets and some of these Assets are only suitable for Professional Investors. I/We accept the level of risk associated with these Assets including the risk that the investment into such an Asset:
 - could provide a lower degree of investor protection and regulatory safeguards; and
 - could result in a loss of significant proportion of some or all of the sums invested; and
 - may have a minimum duration, impose significant redemption penalties or are illiquid.
- I/We understand that I/we should read the offering documents for Assets suitable for Professional Investors.

5. I/We understand that if I/we consider myself/ourselves to be a Retail Investor in the future, Utmost International will not restrict the choice of assets available under the Policy. I/We also understand that it will be my/our responsibility to:
 - a. only choose Assets which are suitable for Retail Investors; or
 - b. to inform the Fund Adviser that I am/we are now a Retail Investor; or
 - c. to complete a new Investment Mandate and send this to Utmost International where a Discretionary Asset Manager has been appointed.
6. I/We have read and understand the terms and conditions detailed in this application which apply to me/us, the trustee and my/our financial adviser.
7. I/We confirm that each life assured (or parent where parental consent is required) consents to this application and agrees to my/our acting as their agent for the purpose of the information provided on this application.
8. I/We declare that to the best of my/our knowledge and belief the statements made in this application and any related documents are true and complete and that I/we have not concealed any material fact.
9. I/We understand that, while the investment decisions for the Loan Trust are made purely at the trustee's discretion, by Utmost International Trustee Solutions Limited signing and dating this application they are applying to enter into a contract for an Executive Redemption Bond/Executive Investment Bond using the details I/we have completed in this application form and details I/we have completed in the Client Agreement sent to Utmost International Trustee Solutions Limited.
10. I/We understand and agree that the contract that Utmost International Trustee Solutions Limited are applying to enter into with Utmost International will be subject to Isle of Man law and that the Policy Terms will be in the English language.
11. I/We request that the Lump Sum referred to as a Premium is invested as we have instructed as an initial premium for policies comprising either an Executive Redemption Bond (ref ERB4) or an Executive Investment Bond (ref EIB4), and we request Utmost International to issue the policies in the name of the trust.
12. I/We understand that in cases where the asset(s) selected in this application, if Utmost International Trustee Solutions Limited agrees to this application, is/are not redeemable for a certain period of time, Utmost International may not be able to return that part of the payment to Utmost International Trustee Solutions Limited until the end of that period. The description of the assets chosen will give details if this applies. We may request that Utmost International Trustee Solutions Limited consider investing immediately into non-daily dealing assets with the understanding that in the event of cancellation or requiring early access that:
 - a. Utmost International Trustee Solutions Limited may not get the money back immediately and payment may be delayed for some time;
 - b. the institution may impose penalties and therefore Utmost International Trustee Solutions Limited may get back less than it invested, and/or
 - c. the only way in which to receive value may be through a transfer of ownership of that asset into the name of the relevant Beneficiary or Settlor.
13. The premium detailed in this application and any other premium tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation
14. The application for an Utmost International policy is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.

DATA PRIVACY STATEMENT

I understand that Utmost Services Limited, Utmost International Business Services Limited, Utmost Services Ireland Limited, Utmost Administration Limited, Utmost International Isle of Man Limited, Utmost International Trustee Solutions Limited and / or Utmost PanEurope dac (Utmost International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

- › my contact details
- › information to verify my identity
- › information about my family, lifestyle, health and finances
- › my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside the Utmost group of companies for the general purpose of establishing, maintaining and servicing an insurance policy. The sharing of my personal data may be used for any or all of the following purposes, to:

- › check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;
- › allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;
- › enable an appointed financial adviser or fund adviser to assist in the provision of services to the policyholder;
- › compile statistical analysis or market research, where information is not specific to the individual;
- › comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standards;
- › enable an appointed discretionary asset manager or custodian to meet their legal or regulatory requirements, where that discretionary asset manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a discretionary asset manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Utmost International would apply.

I may ask Utmost International to:

- › provide a copy of personal information held about me and an explanation of how this data is processed;
- › update or correct my personal information;
- › delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);
- › restrict processing of my personal information where appropriate. I may also object to Utmost International processing my data but understand that this may have consequences in Utmost International being able to continue servicing my policy.

I have been made aware that a full explanation of how Utmost International collects, uses and shares my personal information can be found at www.utmostinternational.com/privacy-statements/

If I have any questions about data privacy I can address these to:

For Utmost PanEurope dac: The Data Protection Officer, Utmost PanEurope dac, Navan Business Park, Athlumney, Co Meath, C15 CCW8, Ireland.

Or email: dataprotection@utmost.ie

For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited: The Data Protection Officer, Utmost International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

Or email: IOM.DPO@Utmostinternational.com

If I have a complaint about the processing of my personal information and Utmost International is unable to provide a satisfactory response I may contact the appropriate regulator:

For Utmost PanEurope dac: The Ireland Data Protection Commissioner, Canal House, Station Road, Portarlington, R32 AP23 Co. Laois, Ireland.

For Utmost International Isle of Man Limited or Utmost International Trustee Solutions Limited: The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, British Isles, IM1 1ET.

As the Isle of Man is not part of the United Kingdom, our Appointed Representative in the United Kingdom is an establishment of Utmost Services Limited based at Saddlers House, 5th Floor, 44 Gutter Lane, London, EC2V 6BR.

I have read and understood the Data Privacy Statement set out above and will make it available to other individuals whose personal information has been provided by me to Utmost International either in this application or within accompanying documentation.

SIGNATURE

First or sole Settlor

Date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

First or sole Settlor

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

A copy of the Policy Terms and/or this completed application form are available on request.

1. By signing and sealing this application form below, Utmost International Trustee Solutions Limited confirms that they have read and understood the terms applying to the contract, and having considered the application completed by the Settlor(s), hereby agree to apply for the Executive Redemption Bond (ref ERB4) or Executive Investment Bond (ref EIB4) as indicated in Section A.
2. We confirm that investment into an Executive Redemption Bond or an Executive Investment Bond is within the investment powers available to us as trustees of the trust.
3. We authorise and request Utmost International to effect the optional regular loan repayments as detailed in Section I, if applicable to our application, and we confirm that such payments will discharge Utmost International from all liabilities and claims arising from those payment. We understand that this authority supersedes any authority previously given.
4. We appoint the financial adviser to act on the Settlor(s)'s behalf in accordance with the Policy Terms.

SIGNATURE

Trustee

Name of signatory

Date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Trustee

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Seal of the trust company

K FINANCIAL ADVISER/SUITABLE CERTIFIER DECLARATION

This section must be completed in all instances.

DECLARATION BY THE FINANCIAL ADVISER/SUITABLE CERTIFIER

PART 1 - WHO HAS MET THE CLIENT

Please complete one of the following:

I have met my client(s) in person

I have met my client(s) face-to-face via secure live video stream

I have not met my client(s) face-to-face

PART 2 - HOW HAS THE CUSTOMER DUE DILIGENCE (CDD) BEEN OBTAINED

Please confirm which items of CDD have been provided and how they were obtained by ticking the relevant boxes:

Please note 'Obtained via a third party who has met the client face to face' also includes via live video stream.

	OBTAINED BY THE ADVISER DIRECTLY FROM THE CLIENT	OBTAINED VIA A THIRD PARTY WHO HAS MET THE CLIENT FACE TO FACE	PROVIDED DIRECT TO UTMOST INT. BY THE CLIENT
Valid identity document(s)			
Valid proof of residential address			
Source of funds			
Source of wealth documents/information			

Where certification is required, please ensure the following is carried out on each copy document:

I certify this document as a copy of the document that I have seen through <insert method of communication used> held on <x date> between me and the applicant /policyholder. The document has not been tampered with and I have received the same confirmation from the applicant /policyholder

PART 3 - THIRD PARTY DETAILS

If you have confirmed in either Part 1 that you have not met your client face to face or in Part 2 that CDD has been obtained via a third party who has met the client face to face, please provide the following details:

	THIRD PARTY DETAILS 1	THIRD PARTY DETAILS 2
Name of individual(s) that obtained the CDD or met the client face to face		
Date of Birth		
Residential Address		
Registered Company Name		
Registered Company Address		

Where there is more than two third parties involved in obtaining CDD, please contact your Utmost International Sales Consultant for further guidance.

PART 4 - FINANCIAL ADVISER DECLARATION

I declare that:

- › I have taken reasonable steps to ensure that the funding is legitimate and in line with the client's circumstances.
- › To the best of my knowledge, all the information provided with this form and application is true and complete and that I will provide further information if required.
- › I have not made any changes to the application form after the client has signed it
- › I have verified the contents of the original documents where copies have been enclosed and that they are true copies of the original.

By providing certification for Customer Due Diligence documents where these have been viewed and verified via secure live video stream, you confirm:

1. That the client held their ID beside their face to confirm the document as a true likeness.
2. The other elements of the Customer Due Diligence (CDD) were held up by the clients so I could verify they were a true likeness to those in my possession.
3. That I obtained evidence by retaining a recording of the video meeting or by taking a picture of my client with their CDD for record keeping purposes and to validate my certification. I will provide this to Utmost International upon request.

I confirm that I gave advice concerning this investment to the applicant(s) in (name of country)

on

d	d	m	m	a	a	a	a
---	---	---	---	---	---	---	---

Regulatory body authorisation number (if applicable)

Regulator name

Utmost International financial adviser account number

--	--	--	--	--	--	--	--	--	--

SIGNATURE

Financial adviser

Full name of financial adviser

Date

d	d	m	m	a	a	a	a
---	---	---	---	---	---	---	---

Financial adviser stamp

CHECKLIST - THE SETTLOR(S)

THE APPLICATION FORM

- › Have you completed all the sections which are not optional (lives assured are only required if you are requesting Utmost International Trustee Solutions Limited to consider applying for an Executive Investment Bond and signed and dated section J? (✓)

Even though the applicant for the Executive Redemption Bond or Executive Investment Bond will be Utmost International Trustee Solutions Limited, you must agree to the declarations in section J by signing and dating in this section.

OPTIONAL SECTION

- › If you wish to receive regular loan repayments from the Bond, have you completed section I - Optional regular loan repayments to the Settlor(s)? (✓)

CHECKLIST - FINANCIAL ADVISER

- › Have you completed the financial adviser details on the first page? (✓)
- › Have you completed the Utmost International Trustee Solutions Limited Adviser application including terms and conditions of business (if you have not previously registered with Utmost International Trustee Solutions Limited?) (✓)

HAVE YOU ENCLOSED THE FOLLOWING ITEMS?

- › A copy of your client's personalised illustration showing the chosen charging structure (✓)
- › A completed Utmost International Trustee Solutions Limited client agreement and terms of business for Loan Trust, signed and dated by the Settlor(s) (✓)
- › A cheque drawn by the Settlor(s), made payable to Utmost International Trustee Solutions Limited, or a Telegraphic Transfer* directly to Utmost International Trustee Solutions Limited's bank account as detailed in the client agreement. (the client agreement can be found on our website) (✓)
- › A completed Utmost International Trustee Solutions Limited Loan Trust deed signed by the Settlor(s) (✓)
- › Have you included the relevant certified documents.** (✓)

Please ensure all items are sent to Utmost International Trustee Solutions Limited, PO Box 142, King Edward Bay House, King Edward Road, Onchan, Isle of Man IM99 3DJ.

* All electronic transfer charges are the responsibility of the Settlor(s), not Utmost International/Utmost International Trustee Solutions Limited.

**** Certifying documents**

Unless the documents provided are originals, they will all need to be certified by a suitable certifier. A suitable certifier can be any of the following:

- › a regulated introducer, or authorised employee of a regulated introducer, that is based and regulated in an equivalent jurisdiction as defined in the Isle of Man Financial Services Authority Anti-Money Laundering and Countering the Financing of Terrorism Handbook (see www.iomfsa.im); or
- › a person appointed in writing by Utmost International (suitable certifier); or
- › an equivalent jurisdiction as defined in the Isle of Man Financial Services Authority Anti-Money Laundering and Countering the Financing of Terrorism Handbook (see www.iomfsa.im); or
- › notary public; or
- › an authorised representative of an embassy or consulate of the country that issued the identification document.

When certifying a document, the certifier should state **"I hereby confirm that this document is a true copy of the original which I have sighted"**.

If the document is verifying the identity of a natural person, the certifier should also state that they have met the person whose identity is being verified and use the following statement **"I hereby confirm that this document is a true copy of the original which I have sighted and the photograph represents a good likeness of the client who I have met"**.

The suitable certifier must also sign and print their name, their position within the company and the date.

UK lasting powers of attorney and UK enduring powers of attorney can be certified by the Settlor(s), a solicitor or a notary only.