

International Investment Bond and International Portfolio Bond from Utmost PanEurope dac

Application for Additional Investment

Utmost PanEurope dac is obliged to ensure that it acts in accordance with the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010, as amended. This means that we need proof of identity for our customers on an ongoing basis.

This form should be completed if you wish to make additional investments to your existing bond.

Please read the Key features leaflet and illustration(s) before completing this application form.

Please answer all questions fully in block capitals and tick boxes where appropriate. It's important that your answers are accurate to the best of your knowledge and belief. If you're in any doubt, please contact your financial adviser. **On completion please return to: Utmost PanEurope dac, Montague House, Adelaide Road, Dublin 2, Ireland.**

1 Personal details

Name of bond
tick as appropriate

International
Investment Bond

International
Portfolio Bond

Bond number

Name of first bondholder:

Residential address of first
bondholder:

Name of second bondholder:

Residential address of second
bondholder:

Important note: Please submit proof of your current address or certified* proof of your current address. This must be dated within the last six months and include your name and current address details.

Once we hold proof of address as above on file, it will remain valid for six months from the date on the document. New proof of address will be required on subsequent transactions after the six month period expires. This doesn't need to be an original or certified copy at this time unless you have changed address.

Details of the proof of address and photo ID requirements are on section 5*.

2 Additional investment details

The additional investment will be added to your existing bond when we have accepted this application form at our Head Office in Dublin **and** we have received cleared funds in our bank account. Please don't attach any payments to this application form as this will cause delays. The minimum additional investment is £5,000, €7,500 or \$7,500. **You must complete the Source of Wealth Questionnaire in section 6.** Any additional investment will be allocated to the same funds and in the same proportions as the previous investments held immediately prior to the additional investment, **unless** instructed otherwise via completion of the Investment fund choice section overleaf.

I / We wish to invest UK sterling (£) / Euro (€) / US dollar (\$) / other*

Enter amount and delete as appropriate

**Please contact us on +353 1 802 8494 before submitting the application if you wish to send another currency*

Payable by:

Telegraphic transfer **Please complete the telegraphic transfer section of the pre-printed payment form provided**

Cheque **Please complete the cheque section of the pre-printed payment form provided**

The personalised payment reference number, shown on the payment form **MUST** be entered here.

Please also attach the Utmost PanEurope dac duplicate copy of the payment form to this application form.

3 Bank details

Please provide details of the bank account you intend to make the payment from for this investment. If your bank details have changed and are different to the bank details you previously provided, we will require evidence of your new bank details.

If we don't hold the details on your file, we will require an original/certified bank statement for the new bank details.

Bank/Building society name

Branch

Address

CountryPostcode

Bank account name

Sort code (for GBP payments through a UK bank) - -

Swift code (for all GBP Payments through a non-UK bank)

(for all EUR and USD payments)

Bank account number

International bank account number (IBAN)

(IBAN's are required for the majority of payments outside the UK. Failure to provide an IBAN may mean your payment cannot be processed.)

5 Photo I.D. and proof of address - Continued

- Local Authority rent card or tenancy agreement. Please note if document is not in English, translation to English is required by a sworn translator.
- Written confirmation of visit to home address by independent financial advisor on headed paper. Must include date of visit and details of premises entered.

For photo ID: Please provide one piece of current photo ID, in the form of a certified copy* from the list of documents below. If you've already provided certified photo ID and this is currently in date, nothing further will be required. If this isn't in date we will need current certified photo ID.

Examples of acceptable photo ID

- Current passport including cover, photo and signature page.
- Government issued national identity card from the EEA or from the designated territories of Hong Kong or Japan. (Please note, government ID cards for British citizens are no longer valid from the 21 January 2011).
- Current full photo driving licence from an EEA member state, Australia, Canada, New Zealand or Hong Kong.

*Who can certify documents?

- Independent financial adviser
- Practising accountant
- Police officer in an EEA member state, Australia, Canada, New Zealand or Hong Kong.
- Notary public
- Practising solicitor
- Embassy/Consular staff member
- Bank official of a regulated financial or credit institution from an EEA member state, Australia, Canada, New Zealand or Hong Kong.

The certifier must provide their name, position/role, company name, address, company stamp, signature, date and certifier's membership number (if applicable).

We're required to verify the regulated status of the certifier (e.g. by reference to the FCA register). Where it's not possible we'll require evidence of the certifier's regulated status from the client, IFA or certifier.

6 Declaration

In this declaration the singular shall include the plural.

I hereby apply to make an additional investment to my existing bond and confirm that (please tick the relevant boxes, then sign and date the application):

- My financial adviser has fully explained the charging structures of the Bond to me including any charges that will be taken from the Bond and I understand the same charging structures will apply to any additional investments.
- The information contained in this application is true and complete to the best of my knowledge and belief and I agree my contract with Utmost PanEurope dac will comprise information provided together with any conditions in this application, the Policy Terms and Conditions and the Policy Schedule.
- I am not a resident of the United States of America, also I am not by virtue of my country of residence or nationality, subject to any taxation, exchange control legislation that would make this investment unlawful and confirm that it is my responsibility to ensure that my country's laws permit me to invest in this contract.
- The International Investment Bond and International Portfolio Bond Key features are important so you should take the time to read them. If you didn't get the documents when you applied, please ask us for a copy. If, after you've read the documents, there's anything you don't understand, you should ask for more information.
- I understand that as the investment choice to which my policy may be linked is mine, Utmost PanEurope dac is not responsible for the investment performance or for any loss whatsoever arising from such choice. I therefore hold Utmost PanEurope dac indemnified against any claim in respect of these clauses.
- I understand that where I am applying on the advice of a financial adviser, that financial adviser is acting as my agent and not as an agent of Utmost PanEurope dac.
- I wish to exercise my option regarding the language of my Policy Conditions and hereby request that they be issued in English and I acknowledge that my contract will be subject to the law of England and Wales.
- (For UK resident investors only) I hereby undertake to inform Utmost PanEurope dac should I become resident or ordinarily resident in Ireland and I have read the residence definitions contained within the 'Declaration of residence outside Ireland' section of the application completed by me in respect of my initial investment.

6 Declaration - Continued

If there are two applicants please tick one box as appropriate:

- I authorise Utmost PanEurope dac to accept instructions from either of us in respect of all transaction requests apart from full surrender please tick appropriate box yes no

If no, joint instructions will be required for all transactions. If you make no selection we will proceed as if you had answered no.

- I acknowledge that to fully cash in the bond, both our signatures will be needed. This authority extends if appropriate to any transactions conducted by electronic means.

Privacy Statement

Our Privacy Statement explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at www.utmostwealth.com/privacy-statement or you can request a copy from our Customer Service Team.

Please complete the table below before signing the form:

Checklist of documents to be included	Enclosed	Not applicable
Proof of address as explained in section 5		
Current photo I.D,		
Original/certified current bank statement		

First applicant's signature		Date	
Second applicant's signature		Date	

7 Source of wealth questionnaire

Please note these details are required for all investments under anti-money laundering regulations to help prevent unlawful activity. Please complete all sections of Part 1 and Part 2 and the declaration. Please note that insufficient details may delay investment and acceptance.

Part 1: Questions to be answered by the introducing financial adviser

- How long have you known the applicant(s)?
- Who introduced the applicant(s) to you?
- Is the applicant(s) a politically exposed person (PEP) in accordance with local law and regulation? If yes, further due diligence may be required. Yes No

Part 2: Questions to be answered by the applicant

If more than one sale please indicate details on a separate sheet.

- Are there any other parties indirectly involved with this application who have not already been disclosed (i.e. lender, potential borrower)? If so please give full details: Yes No
- Where the source of wealth for this application derives from investments, please provide the following details:
Name of investment(s)
Date of sale Day Month Year

7 Source of wealth questionnaire - Continued

3. Where the source of wealth for this application derives from savings, please provide the following details:

How were the savings accumulated?
 (Please note that we expect details of the dates and circumstances in which these savings were accumulated.)

4. Where the source of wealth for this application is from the sale of property, please provide the following information:

Address of property

Postcode

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Date of sale

<input type="text"/>	<input type="text"/>	Day	<input type="text"/>	<input type="text"/>	Month	<input type="text"/>	<input type="text"/>	Year
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Amount received

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5. Where the source of wealth for this application is from inheritance, please provide the following details:

Date received

<input type="text"/>	<input type="text"/>	Day	<input type="text"/>	<input type="text"/>	Month	<input type="text"/>	<input type="text"/>	Year
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From

6. For all other sources of wealth such as gifts, compensation payments, lottery wins or anything else please provide details:
 (please note that we expect detail of the dates and circumstances in which this wealth was accumulated):

Utmost PanEurope dac reserves the right to request further documentary evidence of source of wealth should it be considered necessary.

Declaration to Utmost PanEurope dac

I declare that to the best of my knowledge and belief, the information given in this questionnaire and its accompanying application form is true and complete.

SIGNED BY:

Introducing financial adviser signature

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Date

<input type="text"/>	<input type="text"/>	Day	<input type="text"/>	<input type="text"/>	Month	<input type="text"/>	<input type="text"/>	Year
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Name of introducing financial adviser

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Introducing financial company

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Note for financial adviser:

We would also expect you (the introducing financial adviser) to see original documents of sale, contract notes, audited accounts, bank statements and similar documents as evidence of a legitimate source of funds. By signing the above declaration, you are confirming that you have seen evidence (eg contract notes) that these are legitimate sources of funds.

For branch use only

Consultant code

Branch code

EILOC / SD code

Quote number

A WEALTH *of* DIFFERENCE

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Utmost PanEurope dac (No 311420), trading as Utmost Wealth Solutions, is regulated by the Central Bank of Ireland. Its registered office is Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland.

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