

INVESTOR DECLARATION

DELEGATION PRODUCT ONLY

utmost™

Utmost is the brand name used by a number of Utmost companies. This item has been issued by Utmost PanEurope dac.

INTRODUCTION

This Declaration must be signed if you are happy for your nominated External Manager and/or Custodian ("EMC") to invest into Unregulated Collective Investment Schemes ("UCIS") and certain close substitutes, together known as Non-Mainstream Pooled Investments ("NMPIs"), which are investments that are generally restricted to sophisticated investors and high net worth individuals. NMPIs are generally restricted investments not regulated in the UK and as such they cannot be promoted in the UK to retail clients.

The assets which have been grouped into the NMPIs category include:

- › UCIS (Unregulated Collective Investment Schemes);
- › Qualified Investor Schemes ('QIS') or similar structures which require this Declaration to be completed;
- › Special purpose vehicles pooling investment in assets other than listed or unlisted shares or bonds; and
- › Any other investments Utmost PanEurope dac may determine at its discretion from time to time.

BEFORE YOU BEGIN

Prior to completing this form you are required to seek independent advice to ensure that you understand the increased risks associated with investing into NMPIs. This declaration should only be completed after appropriate independent advice has been taken.

HOW TO COMPLETE THIS FORM

Electronic completion: To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

Paper completion: If completing a hard copy of this form, please use black or blue ink and BLOCK CAPITALS. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. Do not use correction fluid.

INTERPRETATION

In this form any reference to words in the singular shall include words in the plural and vice versa.

References to "I", "me", "my", "you" or "your" mean you, an applicant, policyholder, trustee or an authorised signatory. All references to "we", "us", "our", in this form refer to Utmost PanEurope dac.

IMPORTANT INFORMATION

DATA PROTECTION

Before providing us with your personal information, please read our **Privacy Notice** available on our website at www.utmostgroup.com/privacy-statements/ for information about your data protection rights and how we use your personal data. **You should only provide personal information about another individual in this form where you have received their consent to do so.** Utmost PanEurope dac reserves the right to change the Privacy Notice from time to time. We encourage you to periodically review the **Privacy Notice** to keep informed about how we use your personal data and how we keep it protected.

INCOMPLETE INFORMATION

Please ensure that you complete all required sections and provide all necessary supporting documentation. Failure to do so may result in a delay in your request being processed.

Please remember to:

- › attach any additional information or documentation you are sending securely to the back of the form.
- › ensure that the form has been signed by all applicants, policyholders, trustees or the authorised signatories, as applicable.

For any queries on completing this form please email discretionary@utmostwealth.com

WHERE TO SEND COMPLETED FORMS

You can email digitally signed and/or scanned forms and supporting evidence, to us at: discretionary@utmostwealth.com

Alternatively, post paper forms and supporting evidence to: **External Management & Custody Operations Team, Utmost PanEurope dac, C/O Utmost Administration Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles**

A POLICY DETAILS

1 Policy number	<input type="text"/>
2 Policyholder name(s)	<input type="text"/> <input type="text"/> <input type="text"/>
3 External Management & Custody (EMC) account details	<input type="text"/> <input type="text"/>
4 External Management & Custody (EMC) account details (if an additional EMC is nominated)	<input type="text"/> <input type="text"/>

B POLICYHOLDER DECLARATIONS

By signing the below:

- › **I confirm that for my own benefit and protection, I have sought independent advice before agreeing to the investment strategy and to allowing investments into NMPIs and that the advice that I have received has specifically explained to me the risks of investing into NMPIs, and I understand and accept the risks associated with such investments**
- › **I confirm** that I am an investor with the relevant knowledge, experience, and level of sophistication as required to invest into NMPIs, and further confirm that I satisfy the eligibility requirement to invest into the assets that the EMC will be investing into based upon the detailed investment strategy and risk profile that I have provided against which my EMC account is to be managed
- › **I further confirm** that I understand that these undertakings do not imply that I have a direct interest in the investments
- › **I confirm** that I am not an employee or connected person to any of the investments that are permissible under the investment strategy and risk profile that I have set for the EMC account, and I further confirm that future investment strategies will continue to be set in accordance with the declarations that I make here and that should this cease to be the case I will inform Utmost PanEurope dac to rescind this authority and to no longer allow the EMC to place investments into NMPIs
- › **I also acknowledge and understand** that Utmost PanEurope dac will instruct the EMC to rely upon the accuracy of this declaration in making an investment into NMPIs
- › **I confirm** that I will be fully responsible for, and will indemnify and hold harmless Utmost PanEurope dac from and against, all direct or indirect costs, losses and/or expenses suffered or incurred by Utmost PanEurope dac as a result of, or arising from, any investment in NMPIs including, without limitation, any costs, losses and/or expenses which arise from related legal claims, complaints or proceedings brought by any third party against Utmost PanEurope dac.

I understand that in order to link benefits payable under the bond to NMPIs, Utmost PanEurope dac may be required to make certain warranties to the manager, regulator, or exchange of the investments, and to meet certain regulatory requirements. These warranties and requirements are to the effect that the criteria to satisfy the eligibility requirements have been met for the investments that the EMC will be placing in the EMC account. Such investments are based upon the detailed investment strategy and risk profile that I have provided to Utmost PanEurope dac, against which the EMC account is to be managed by the EMC on a discretionary basis.

These criteria may include levels of investment knowledge, experience and sophistication, minimum net wealth/liquid assets, or other criteria as may be required from time to time.

	Applicant/Policyholder 1	Applicant/Policyholder 2	
SIGNATURE	<input type="text"/>	<input type="text"/>	SIGNATURE
Print full name	<input type="text"/>	<input type="text"/>	If there are more than two applicants/policyholders photocopy this page and after signing the additional copies attach it securely to the form.
	<input type="text"/>	<input type="text"/>	
Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	

A WEALTH *of* DIFFERENCE

www.utmostgroup.com

Utmost PanEurope dac is registered in Ireland, registered number 311420. Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost PanEurope dac is regulated by the Central Bank of Ireland as a Life Insurance Undertaking. Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost is registered in Ireland as a business name of Utmost PanEurope dac.

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