

EUROPEAN EXECUTIVE INVESTMENT BOND - PRIIPS

utmost[™]
WEALTH SOLUTIONS

APPLICATION FORM - NEW BUSINESS AND TOP-UP

For Trustee Investors

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost PanEurope dac.

THIS DOCUMENT WAS LAST UPDATED IN AUGUST 2025

Please confirm with your financial adviser that this is the most up-to-date document for your product or servicing needs.

USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

IMPORTANT INFORMATION

Your application can be submitted online via Wealth Interactive. If you choose this option, your application can be submitted to us immediately without the delay that can be experienced through the postal system.

DECLARATION OF RESIDENCE OUTSIDE IRELAND

A 'Declaration of Residence outside Ireland' is required:

- › if you are resident in UK Jersey, Guernsey or Gibraltar
- › for trust cases, where it should be signed by the pensioner trustee company where appropriate.

A copy of this document is also available from your financial adviser.

ONLINE SERVICE ACCOUNT ON WEALTH INTERACTIVE

Please ensure that for companies/corporate trustee applications the articles of association permit the company to delegate approval on behalf of the company to one authorised signatory or there has been a board resolution that confirms that one authorised signatory has authority to act on behalf of the company/corporate trustee solely, later referred to as the lead policyholder. If it does not then you must opt to transact with us and receive communication by post rather than online.

When you apply for your Utmost PanEurope European Executive Investment Bond - PRIIPs, we will automatically set you up with an Online Service Account on our Wealth Interactive service. You will receive an e-mail from us containing a link that lets you activate this service. Once your policy and your Online Service Account are live, you can sign into Wealth Interactive whenever you want to review your policy and carry out key transactions. You can also find all the information you need about your investment with us in one place. When we need to send you communications, such as policy valuations for example, we will generally do this through your Online Service Account, although there will be times when we still need to correspond with you by post.

If you would prefer to receive communications from us by post rather than online, please tick here

The underlying member may apply for a view only account. If this is required please provide their e-mail address

YOUR RIGHT TO CANCEL (APPLICABLE TO THE COMPANY QROPS, COMPANY QNUPS, QROPS TRUSTEE, QNUPS TRUSTEE OR SIPP TRUSTEE)

You have the right to cancel your Bond or additional investment and obtain a refund of any contribution(s) paid, less any applicable charges¹ and any fall in the value of the assets linked to your Bond. You have 30 days from the date that you receive the Schedule for the initial contribution, or letter accepting the additional investment to let us know you want to cancel. Further information on how to cancel can be found in the Bond Terms and Conditions.

¹ Where relevant, applicable charges include non-refundable fund charges, fees we have paid to your financial adviser on your behalf and bank charges.

COMPLETING THE FORM

To complete this form:

- › use CAPITAL LETTERS only
- › use blue or black ink
- › specify choices as appropriate
- › do not use correction fluid; any amendments should be crossed out and initialled by authorised signatories of the corporate trustee or Company signatories.

Please ensure that you complete all relevant sections. We will contact you regarding any missing information which will need to be provided to us in writing, and this may delay your application.

Please sign and return your completed form to our administration centre at **King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU.**

We have used the term 'Bond' to refer to your chosen Utmost PanEurope product throughout this application.

All references to Utmost PanEurope, **we, us** and **our** in this application form mean Utmost PanEurope dac.

	PAGE	SECTION	COMPLETED
Applicant details	2	A Applicant details	<input type="checkbox"/>
	4	B Details of the Life Assured	<input type="checkbox"/>
	4	C Politically Exposed Persons' details	<input type="checkbox"/>
Contract details	5	D Policy and Premium details	<input type="checkbox"/>
	6	E Investment options	<input type="checkbox"/>
	8	F Regular withdrawals	<input type="checkbox"/>
Due Diligence	9	G Source of Funds	<input type="checkbox"/>
	11	H Fund Adviser	<input type="checkbox"/>
	11	I Charges	<input type="checkbox"/>
	12	J Identification requirements	<input type="checkbox"/>
Declarations	14	K Financial Adviser details and declaration	<input type="checkbox"/>
	16	L Applicant declarations	<input type="checkbox"/>

A APPLICANT DETAILS

QROPS Trustees

QNUPS Trustees

Company QROPS

Please tick appropriate

Company QNUPS

SIPP Trustees

CUSTOMER ID NUMBER

Utmost PanEurope
Account number

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If known please enter the Customer ID number(s)

EUROPEAN EXECUTIVE INVESTMENT BOND
APPLICATION FORM - NEW BUSINESS AND TOP-UP

	QROPS TRUSTEE	SIPP TRUSTEE	QNUPS TRUSTEE								
Jurisdiction of trustees											
The trust name is											
The trust was created on	<table border="1" style="border-collapse: collapse; width: 100%;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
Trust details:	QROPS/SIPP provider - pension transfers or additional contributions to the QROPS/SIPP (being the evidence of the source of the assets supplied by the QROPS/SIPP Trustee) or QNUPS scheme to provide a pension in retirement. The trust assets will be a lump sum contribution to the QNUPS. (QNUPS Trustee will provide source of assets evidence).										
The reason for the establishment of the trust, the type of trust and the source of the trust assets and the country of origin.											
Trust assets											
Corporate trustee name											
	Company QROPS	Company QNUPS									
Company name											
Date of incorporation	<table border="1" style="border-collapse: collapse; width: 100%;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
Country of registration											
Contact person											
Registered office address (This information must be provided in full. We are unable to accept PO Box and 'care of' addresses.)											
Correspondence address (If left blank we will use your residential address for correspondence)											
Telephone number including area code (day time)											
Email address											
Company website address											

LEAD POLICYHOLDER (MUST BE APPOINTED FOR AN ONLINE SERVICE ACCOUNT)

If allowed by the provisions of the trust deed and trust law and if permitted in the articles of association, an individual with appropriate authority to access and transact online on behalf of the company must be appointed as the Lead Policyholder and the company must agree to this appointment.

The company, appoint to act as the Lead Policyholder for the policies comprising our European Executive Investment Bond - PRIIPs in accordance with the Policy Terms.

The specific e-mail address which will be used for the Online Service Account is:

(Please note each client must have a unique e-mail address. An e-mail address cannot be shared by users on Wealth Interactive.)

The declaration on page 17 sets out the role of the the Lead Policyholder.

INTERNATIONAL TAX COMPLIANCE SELF-CERTIFICATION

Please complete the relevant form and return it to us with the Application Form:

Tax Declaration and Self Certification for Individuals

Tax Declaration and Self Certification for Entities

Tax Declaration and Self Certification for Individual Trustees

B DETAILS OF THE LIFE ASSURED

Please complete this section.

	First life assured (Member)	Second life assured (if any)
Title	Mr Mrs Miss	Mr Mrs Miss
	Other <input style="width: 150px;" type="text"/>	Other <input style="width: 150px;" type="text"/>
Full forename(s)	<input style="width: 250px;" type="text"/>	<input style="width: 250px;" type="text"/>
Surname	<input style="width: 250px;" type="text"/>	<input style="width: 250px;" type="text"/>
Do you have a maiden name, a previous name or alias? If "Yes", provide the other name(s)	Yes No	Yes No
	<input style="width: 250px;" type="text"/>	<input style="width: 250px;" type="text"/>
Address	<input style="width: 250px; height: 50px;" type="text"/>	<input style="width: 250px; height: 50px;" type="text"/>
Tax identification number	<input style="width: 250px;" type="text"/>	<input style="width: 250px;" type="text"/>
Gender	Male Female	Male Female
Date of birth	<input style="width: 100px;" type="text" value="d"/> <input style="width: 30px;" type="text" value="d"/> <input style="width: 30px;" type="text" value="m"/> <input style="width: 30px;" type="text" value="m"/> <input style="width: 30px;" type="text" value="y"/> <input style="width: 30px;" type="text" value="y"/> <input style="width: 30px;" type="text" value="y"/> <input style="width: 30px;" type="text" value="y"/>	<input style="width: 100px;" type="text" value="d"/> <input style="width: 30px;" type="text" value="d"/> <input style="width: 30px;" type="text" value="m"/> <input style="width: 30px;" type="text" value="m"/> <input style="width: 30px;" type="text" value="y"/> <input style="width: 30px;" type="text" value="y"/> <input style="width: 30px;" type="text" value="y"/> <input style="width: 30px;" type="text" value="y"/>
Place of birth	<input style="width: 250px;" type="text"/>	<input style="width: 250px;" type="text"/>
Please list all nationalities/ citizenships held.	<input style="width: 250px; height: 30px;" type="text"/>	<input style="width: 250px; height: 30px;" type="text"/>
Relationship to applicant	<input style="width: 50px;" type="text" value="Member"/> <input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>

C POLITICALLY EXPOSED PERSONS' DETAILS

We are required to identify persons associated with this application who could be classed as a Politically Exposed Person ("PEP"). A PEP is a term used to describe someone who is currently, or has previously been, entrusted with prominent public functions or responsibilities. For example: a Head of State, a holder of a senior political or government post, a senior member of the Judiciary or the Military, a senior employee of a State Owned Corporation, or a board member of a Central Bank. Immediate family members or close associates of a PEP should be considered a PEP in their own right.

Is there anyone associated with this application who could be considered a PEP? Yes No

If "Yes", please provide details

D POLICY AND PREMIUM DETAILS

NEW INVESTMENT

The type of bond cannot be changed after it has been set up.

Please select the product below.

European Executive Investment Bond - PRIIPs (Terms ref E04v4)

Reason for investment
(e.g. saving for retirement)

ADDITIONAL INVESTMENT

If this is an application for an additional transfer please provide your existing bond number:

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BOND CURRENCY

We wish our Bond to be valued in

£

US\$

€

HK\$

Other currency
(State Currency)

Please note if no currency is entered your Bond currency will be Euro (€). The Bond currency cannot be changed after the Bond is set up.

APPOINTMENT OF AN AUTHORISED CUSTODIAN

Please tick if you wish to appoint an authorised custodian?

Authorised custodian

Name of custodian

Address of custodian

If you have ticked above, you need to complete the **Appointing a Custodian form** which is available from your financial adviser.

BANK DETAILS OF WHERE FUNDS ARE BEING REMITTED FROM

The premium payment must come from an account held in the name of the trust/the company.

ACCOUNT NAME	CURRENCY	SORT CODE	SWIFT CODE	ACCOUNT NUMBER	IBAN NUMBER

Bank name

Bank address

Country

When did the trust/company open this account?

PREMIUM PAYMENT

The premium for the policy(ies) will be the amount shown reduced by the amount of any duty on documents or insurance premium tax payable.

If you pay your **additional** premium by a transfer of assets into our ownership then you will need sufficient cash to be included to cover any duty on documents or insurance premium tax that may be payable. The amount you include will be assumed to be gross of any duty on documents or insurance premium tax.

Please note that if some assets are not transferred into our ownership until after the policy date, then the amount will be treated as an additional premium and it will be reduced by any applicable duty on documents or insurance premium tax.

Currency € US\$ £ Other currency (State Currency)

Amount to be invested (anticipated total amount based upon estimated transfer value(s))

Payment method² Electronic bank transfer

If you select an authorised custodian, asset transfers must be sent directly to the authorised custodian. When sending electronic bank transfers you have two options. Please select one option:

- Sending the payment to Utmost PanEurope who will forward this onto the authorised custodian.
- Send payment directly to the authorised custodian

NUMBER OF POLICIES (NEW BONDS ONLY)

Please enter the number of policies you would like:

The number of policies cannot be changed after the Bond is set up.

We will issue 12 policy schedules per contract unless you request a different number of policies here.

E INVESTMENT OPTIONS

CHOOSING INVESTMENTS

Please use this section to list any stocks, shares and funds which, in addition to those funds listed on the 'asset transfer form' (if applicable), should form the investments of your portfolio fund in your policy.

Please indicate your investment choice, using whole percentages only. A minimum of €3,750/£2,500/\$3,750, or other currency equivalent, must be invested in any fund selected. You must maintain a holding in a deposit fund or a bank deposit in your chosen policy currency to meet portfolio fund charges or withdrawals. Utmost PanEurope does not provide investment advice and has no responsibility for the performance of your selection of investments. It is for you and your Fund Adviser to choose the investments most suitable for you.

For full details of investment restrictions, please refer to the Policy Terms. The list below shows examples of the types of investment we might accept/refuse.

Permitted Investments for the European Executive Investment Bond - PRIIPs

- › Collective investment schemes and UCITs
- › Bank deposits

Non-Permitted Investments for the European Executive Investment Bond - PRIIPs

- › Commodities
- › Any illiquid investments
- › Any assets that are difficult to value

Please note that most stocks and shares quoted on stock exchanges recognised by us are also permitted investments for the European Executive Investment Bond - PRIIPs.

² Payment instructions are available from Utmost PanEurope on request.

F REGULAR WITHDRAWALS

Encashment to pay trust/QROPS/QNUPS/SIPP charges

OR

Encashment to make a payment to a beneficiary

Unless previously provided, ID and proof of residence on the beneficial owner(s) will be required before such payments can be made.

Please indicate the amount or percentage of investment to be withdrawn each year. Please note that the minimum amount for any regular withdrawal payment, regardless of frequency, is €750 (or other currency equivalent).

Amount (per annum) **OR** Percentage (per annum) %

Please choose the frequency of the withdrawal

Monthly	Every Two Months	Quarterly
Every Four Months	Half-yearly	Yearly

Date of first withdrawal

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Currency

€	US\$	£	Other currency (State Currency) <input type="text"/>
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NOMINATED ASSET(S)

Please state which asset(s) listed in Section E is/are to be used as the Nominated Asset to pay regular withdrawals.

Please note that you are required to keep a sufficient balance in your nominated asset to cover all withdrawals and fees debited to your policy. Therefore we are unable to pay any withdrawals or fees if there is an insufficient balance in your nominated asset.

If there are any further nominated assets, please photocopy this page, attach the details with this application form and tick here

ISIN	<input type="text"/>	Name	<input type="text"/>	Percentage	<input type="text"/> %
ISIN	<input type="text"/>	Name	<input type="text"/>	Percentage	<input type="text"/> %
ISIN	<input type="text"/>	Name	<input type="text"/>	Percentage	<input type="text"/> %
ISIN	<input type="text"/>	Name	<input type="text"/>	Percentage	<input type="text"/> %
ISIN	<input type="text"/>	Name	<input type="text"/>	Percentage	<input type="text"/> %

This will only apply where you have not chosen an authorised custodian.

PAYEE DETAILS

We will pay withdrawals to Policyholders only. Please note that third party payments are not permitted.

Please select your preferred payment method:

Telegraphic Transfer

Please be advised that payments incur a bank transfer charge.

BACS direct credit

Only available for GBP payments paid to a UK, IOM or Channel Islands bank account.

This payment method takes three working days to reach the account, but doesn't incur a bank transfer charge.

If no payment method is selected we will default to Telegraphic Transfer, unless you have requested GBP regular withdrawals payments, in which case we will default to BACS where possible.

Do you want your withdrawals to be made to the same bank account detailed in section D 'BANK DETAILS OF WHERE FUNDS ARE BEING REMITTED FROM'? Yes No

If 'No' please complete your chosen bank details below.

Until further notice, we would like regular withdrawals to be made to:

Bank account holder (name as stated on bank account)

Bank account number/IBAN

Sort code (applicable to UK accounts) - -

SWIFT or BIC code

(SWIFT code needed for bank accounts outside Europe; BIC code needed for European accounts with an IBAN)

Bank name

Bank address

Country

G SOURCE OF FUNDS

ACTIVITY WHICH GENERATED AMOUNT TO BE INVESTED

Utmost PanEurope is required to record details of how the funds being invested have been accumulated.

Where your funds come from more than one source, you should complete all relevant sections to give us the full picture of its origin.

Documentary evidence requirements:

Please refer to our [Source of Wealth Guidelines](#) (for Ireland policies).

Description	Details required	Details
Transfer of pension	Pension providers name	<input style="width: 100%;" type="text"/>
	Pension account number	<input style="width: 100%;" type="text"/>
	Pension providers address	<input style="width: 100%;" type="text"/>
		<input style="width: 100%;" type="text"/>
	Postcode	<input style="width: 100%;" type="text"/>
	Pension value	Currency
Amount		<input style="width: 100%;" type="text"/>
Transfer date		<input style="width: 100%; height: 25px;" type="text"/>

Transfer of pension

Pension providers name

Pension account number

Pension providers address

Postcode

Pension value Currency
Amount

Transfer date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Transfer of pension

Pension providers name

Pension account number

Pension providers address

Postcode

Pension value Currency
Amount

Transfer date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Transfer of pension

Pension providers name

Pension account number

Pension providers address

Postcode

Pension value Currency
Amount

Transfer date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Transfer of pension

Pension providers name

Pension account number

Pension providers address

Postcode

Pension value Currency
Amount

Transfer date

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

EUROPEAN EXECUTIVE INVESTMENT BOND
APPLICATION FORM - NEW BUSINESS AND TOP-UP

Other	Source of funds (if ticked Other)	<input style="width: 95%;" type="text"/>								
	From which organisation or whom (state the relationship if applicable)	<input style="width: 95%;" type="text"/>								
	Details (including reasons where applicable how the money was acquired etc)	<input style="width: 95%; height: 50px;" type="text"/>								
	Total amount	Currency <input style="width: 80%;" type="text"/>								
		Amount <input style="width: 80%;" type="text"/>								
	Date received	<table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 100px; height: 20px;"> <tr> <td style="width: 15px;">d</td><td style="width: 15px;">d</td><td style="width: 15px;">m</td><td style="width: 15px;">m</td><td style="width: 15px;">y</td><td style="width: 15px;">y</td><td style="width: 15px;">y</td><td style="width: 15px;">y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			

We may require further information or documentary evidence in addition to the documents already requested to support your application, in relation to your investment, before we can process the application. Your Financial Adviser can establish if further information or documentary evidence is needed by referring to our Source of Funds and Source of Wealth Guidelines or contacting us before sending in your application form.

Please enter what documentary evidence you are enclosing with this application form (if applicable).

H FUND ADVISER

APPOINTING A FUND ADVISER TO YOUR UTMOST PANEUROPE PORTFOLIO BOND

Please tick as appropriate

We have not appointed a Fund Adviser and we will act on an 'execution only basis' (where we have requested the appointment of an Authorised Custodian in section D, we also include a complete 'letter of authority'); or

We wish to appoint a Fund Adviser and enclose a completed 'appointing a Fund Adviser to your Utmost PanEurope Portfolio Bond' form and, where the Fund Adviser is not directly linked to any Authorised Custodian we have requested to be appointed in section D, a 'letter of authority'.

I CHARGES

The charging structure for your bond is based upon the reference code provided on your application; this will dictate the level, term and type of charges that apply and these will be confirmed to you in your policy documents. These charges will include our administration costs together with those incurred in making any initial commission payment to your financial adviser.

If you have agreed to pay your financial adviser an on-going commission payment (referred to as fund based commission) then this will be reflected in the deduction of an additional Ongoing Service Charge equivalent to the amount paid.

UTMOST PANEUROPE CHARGES

Please enter the reference code for your chosen charging structure:

(Your financial adviser will be able to provide you with this code)

--	--	--	--	--	--	--	--	--	--

Please enter allocation percentage for this bond

 %

Please enter Ongoing Service Charge

 %

J IDENTIFICATION REQUIREMENTS

This Section is required to verify the identity of the Policyholders and to be completed by the financial adviser in conjunction with the Policyholder.

How to certify documents is outlined in the document **'Anti Money Laundering Guide.'**

All identification papers must be certified by the financial adviser, a lawyer or a notary public and must include a photograph of the Policyholder(s).

Below you will find the standard minimum requirements. In some circumstances we may request additional information.

For individual Policyholders, we require one suitably certified document from Part 1, together with one suitably certified document from Part 2. **Mobile phone bills and store card statements are not acceptable.**


For corporate and trustee Policyholders, we require the documentation listed in Part 3.

For corporate Policyholders and trustee Policyholders (with corporate trustees), we will require identification verification for at least two directors (one of whom is at an executive level) and individual shareholders that hold 25% or more of the issued share capital.

For trustees, the following parties to the trust must be identified and verified - trustees, settlors, protectors and irrevocable beneficiaries.


PART 1 PERSONAL IDENTIFICATION

1 Who is being identified?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2 Valid passport				
3 National ID card (with photograph)				
4 A current driving licence ³ (with photograph)				

 Please confirm who is being identified in each section e.g. Policyholder, trustee, executive director, director, beneficial owner, etc. as relevant.

Who is being identified	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Document reference number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Document issuing entity ⁴	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Issue Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Expiry Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Where the Policyholder does not hold an item from Part 1, indicate why in the box below and supply a second document from Part 2.

 Where a driving licence is used to verify identity, it cannot also be used to verify address. The driving licence needs to be current and valid.

³ Where the driving licence does not confirm nationality this will be requested.

⁴ To include entity name and country where the document was issued.

PART 2 VERIFICATION OF RESIDENTIAL ADDRESS

- 1 Whose address is being verified?

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- 2 A recent⁵ utility bill dated and certified within the last six months
- 3 A recent⁵ mortgage statement, giving the residential address
- 4 A current driving licence

- 5 A state pension benefit book or other government produced document showing benefit entitlement
- 6 A recent⁵ tax assessment document
- 7 A recent⁵ rates or council tax bill

- 8 A recent⁵ account statement from bank or credit card showing at least one transaction

Whose address is being identified				
Reference number				
Issuing entity				

PART 3 CORPORATE AND TRUST IDENTIFICATION

CORPORATES INCLUDING CORPORATE TRUSTEES

- A copy of the Certificate of Incorporation

- Company Memorandum and Articles of Association

- Evidence of the registered office of the company

- Copy of annual reports and accounts (only required where not a public company)

- An authorised signatory list

- A list of all directors and identification and proof of address for two

⁵ Recent generally means not more than six months old, if issued on a monthly basis. If the document is issued less frequently, e.g. annually, recent means the most recently issued document (for example mortgage statements are usually issued annually).

Identification and proof of residential address for beneficial owners (a natural person holding more than 25% whether directly or indirectly) and individual shareholders that hold 25% or more of the issued share capital

A certified copy of the Board Resolution authorising the establishment of business relations with Utmost PanEurope and appointment of authorised signatories for this purpose

TRUSTS

The trust deed and letter of wishes, including any supplementary deeds, showing the proper appointment of the trustees and classes of beneficiaries

For will trusts a copy of the will together with grant of probate (if applicable)

K FINANCIAL ADVISER DETAILS AND DECLARATION

FINANCIAL ADVISER DETAILS

Utmost PanEurope Account number	<input type="text"/>
Adviser ID	<input type="text"/>
Name of financial adviser	<input type="text"/>
Company name	<input type="text"/>
Address	<input type="text"/>
Telephone number	<input type="text"/>
Fax number	<input type="text"/>
E-mail address	<input type="text"/>

Utmost PanEurope only accepts business introduced by companies which have Terms of Business with us.

As it is you who chooses your financial adviser, you need to bear in mind that they are acting on your behalf and not on behalf of Utmost PanEurope. You are responsible for their actions or omissions.

This section must be completed in all instances.

DECLARATION BY THE FINANCIAL ADVISER/SUITABLE CERTIFIER

PART 1 - WHO HAS MET THE CLIENT

Please complete one of the following:

- I have met my client(s) in person
- I have met my client(s) face-to-face via secure live video stream
- I have not met my client(s) face-to-face

PART 2 - HOW HAS THE CUSTOMER DUE DILIGENCE (CDD) BEEN OBTAINED

Please confirm which items of CDD have been provided and how they were obtained by ticking the relevant boxes:

Please note 'Obtained via a third party who has met the client face to face' also includes via live video stream.

	OBTAINED BY THE ADVISER DIRECTLY FROM THE CLIENT	OBTAINED VIA A THIRD PARTY WHO HAS MET THE CLIENT FACE TO FACE	PROVIDED DIRECT TO UTMOST INT. BY THE CLIENT
Valid identity document(s)			
Valid proof of residential address			
Source of funds			
Source of wealth documents/ information			

Where certification is required, please ensure the following is carried out on each copy document:

I certify this document as a copy of the document that I have seen through <insert method of communication used> held on <x date> between me and the applicant /policyholder. The document has not been tampered with and I have received the same confirmation from the applicant /policyholder

PART 3 - THIRD PARTY DETAILS

If you have confirmed in either Part 1 that you have not met your client face to face or in Part 2 that CDD has been obtained via a third party who has met the client face to face, please provide the following details:

	THIRD PARTY DETAILS 1	THIRD PARTY DETAILS 2
Name of individual(s) that obtained the CDD or met the client face to face		
Date of Birth		
Residential Address		
Registered Company Name		
Registered Company Address		

Where there is more than two third parties involved in obtaining CDD, please contact your Utmost PanEurope Sales Consultant for further guidance.

PART 4 - FINANCIAL ADVISER DECLARATION

I declare that:

- › I have taken reasonable steps to ensure that the funding is legitimate and in line with the client's circumstances.
- › To the best of my knowledge, all the information provided with this form and application is true and complete and that I will provide further information if required.
- › I have not made any changes to the application form after the client has signed it
- › I have verified the contents of the original documents where copies have been enclosed and that they are true copies of the original.

By providing certification for Customer Due Diligence documents where these have been viewed and verified via secure live video stream, you confirm:

1. That the client held their ID beside their face to confirm the document as a true likeness.
2. The other elements of the Customer Due Diligence (CDD) were held up by the clients so I could verify they were a true likeness to those in my possession.
3. That I obtained evidence by retaining a recording of the video meeting or by taking a picture of my client with their CDD for record keeping purposes and to validate my certification. I will provide this to Utmost PanEurope upon request.

I confirm that I gave advice concerning this investment to the applicant(s) in (name of country)

on

d	d	m	m	y	y	y	y
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Regulatory body authorisation number (if applicable)

Regulator name

Utmost PanEurope financial adviser account number

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Financial Adviser

SIGNATURE

Date

d	d	m	m	y	y	y	y
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Full name

Stamp

L APPLICANT DECLARATIONS

DATA PROTECTION DECLARATIONS

I have received, read and fully understood the **Utmost PanEurope Data Privacy Notice**.

I acknowledge that the information which I provide as part of this application will be used by Utmost PanEurope for the purposes of assessing this application, for investigating and preventing fraud, and if this application is accepted, will also be used for underwriting, administration, claims handling, customer service, business analysis, and to comply with legal and regulatory obligations (including, but not limited to, legal obligations under company law and Anti-Money Laundering Legislation).

I understand that I have rights to obtain and amend any personal information in relation to my data held by Utmost PanEurope. I understand that I also have the right to object, on request and free of charge, to the processing of my personal information held by Utmost PanEurope, for grounded and legitimate reasons related to my specific situation. Requests for such access or opposition or any other demand referred to data protection can be made to the Utmost PanEurope Data Protection Officer in Ireland.

DATA PROTECTION - TRANSFER OUTSIDE THE EUROPEAN ECONOMIC AREA (EEA)

I acknowledge that where transfers of my personal data are required to countries outside of the European Economic Area (EEA) and not included in the EU Commission’s approved countries list, that Utmost will take reasonable measures as outlined in the Utmost PanEurope’s Data Privacy Notice to ensure an equivalent level of data protection.

I hereby warrant and confirm that prior to my provision of information to Utmost PanEurope in respect of any other persons related to this application, the said individual has been informed of the use of this information and that I have been authorised by that individual to provide such information to Utmost PanEurope. I confirm that I have informed the individual of the Utmost PanEurope privacy notices on www.utmostinternational.com/privacy-statements/

IMPORTANT INFORMATION

Please read the following declaration carefully.

Any omission or misstatement of a material fact in this application could affect the payment of benefits under the policy. A material fact is one which is likely to influence the assessment and acceptance of the application.

If you are uncertain whether a fact is material, you should give full details so that we can assess its possible significance. If you become aware of such a fact while we are considering your application, you should notify us immediately.

DECLARATION - BY EACH APPLICANT

In this declaration, any reference to we, us and our refers to either the Company QROPS, Company QNUPS, QROPS Trustee, QNUPS Trustee or SIPP Trustee indicated in section A of this form.

1. We confirm that we and the member have requested and received all pre-contractual documentation to be in the English Language. Where this is permitted within the laws of our habitual residence, we have requested that the law of the contract is Irish law unless we have specifically requested the law to be based on our country of habitual residence, the member has consented to this request. We confirm that we have received a copy of and had the opportunity of reading each of the following items, applicable to the contract we are applying for, before completing this application:
 - i. Policy Terms
 - ii. Client brochure
 - iii. Illustration
 - iv. Where to find information about units and funds linked to your Utmost PanEurope Bond
 - v. Tax and other important information document
 - vi. if applicable, Key Information Document (KID) for the policy and Asset Key Information Document(s) (KIDs) and, where appropriate, the Asset Key Investor Information Documents (KIIDs). Furthermore, we agree that where we choose to change assets of the portfolio fund in future, we will ensure that we will obtain Asset Key Information Document(s) for those assets before they are purchased.
2. We understand that we have an Online Service Account and agree that:
 - a. Policy Transactions can be made by us using our Online Service Account where the Online Service allows, unless we have requested otherwise; and
 - b. communications from us can be through our Online Service Account where the Online Service Account allows, unless we have requested otherwise.
3. For the bond we have requested in section D, subject to the applicable Terms either:
 - a. If a bond number is not shown in section D of this form, we request that the amount shown in section D less any applicable duty on documents or insurance premium duty be invested as an initial premium for the bond we have chosen in section D, and request Utmost PanEurope to issue the bond in the name of the trust/company; or
 - b. We request that the amount shown in section D less any applicable duty on documents or insurance premium tax be invested as an additional premium for the bond currently in force bearing the bond number shown in section D of this form.
4. We declare to the best of our knowledge and belief the statements made in this application, and any related documents, are true and complete and that we have not concealed any material fact.
5. We confirm that where this is permitted within the laws of our country of habitual residence/country of registration, each life assured consents to this application and agrees to my acting on their behalf for the purpose of the information provided in this application, in particular to signing the application form on their behalf. Where this is not permitted within the laws of our habitual residence/country of registration, by signing the application, the life assured consents to this application.
6. We confirm that we are not resident or incorporated in Hong Kong, Singapore or the United States of America or any of its territories.
7. If we become resident or incorporated in the United States of America or any of its territories, Utmost PanEurope may not be able to accept any further premiums until after we cease to be resident or incorporated in the United States of America or its territories.
8. We confirm that the investment into the bond is within the investment powers available to the trustees under the trust.
9. We confirm that the company has not been and is not in the process of being dissolved, struck off, wound up or terminated.

10. We confirm that we are applying for a Utmost PanEurope bond as instructed by the member. We have received a copy of the Policy Terms relating to the chosen bond as indicated in section D and we have had the opportunity to read it when completing this application form.
11. We may wish to invest into professional/non-retail type investment schemes, and if so, we will make sure we have had an opportunity to read the offering documents for funds of this nature. Where we decide to invest in professional/non-retail investment schemes, We accept the levels of risk associated with these, including the risk that the investment into such a scheme could result in a loss of a significant proportion, or the entire sum invested. We also confirm that we are aware of the fees (if applicable) payable for the chosen investment(s) to be held in our bond. We understand that the fees exist partly to meet the promotion and distribution expenses of the product, including commission paid to a financial adviser and or Fund Adviser.
12. We understand that in cases where the asset(s) we have selected is not redeemable for a certain period of time, Utmost PanEurope may not be able to return that part of our payment until the end of that period. The description of the funds and/or assets we have chosen will give details if this applies. We may invest immediately into non-daily dealing funds with the understanding that in the event of cancellation or requiring early access that we:
- may not get our money back immediately and payment may be delayed for some time;
 - the institution may impose penalties and therefore we may get back less than we invested, and/or
 - the only way in which to receive value may be through an in-specie transfer of that asset into the name of the trust/company.
13. Where we have requested the appointment of an authorised custodian we confirm that:
- We have read the description of the selected custodian facility in the relevant Bond Policy Terms.
 - We have read and understand any relevant documents provided by the requested authorised custodian for the opening of the account.
 - We understand and agree that Utmost PanEurope bears no legal or other responsibility if at any time the authorised custodian:
 - fails to meet any of its obligations; and/or
 - acts in a fraudulent, incompetent or negligent manner; and/or
 - enters into liquidation and/or receivership or enters into a voluntary arrangement with its creditors and/or is unable to pay its debts.
 - By making this request to Utmost PanEurope we understand that we shall not be entitled to make any claim against Utmost PanEurope relating to the custody of the investments of our portfolio fund irrespective of any express or implied obligation that exists in the Bond Policy Terms.
 - We understand that any authorised custodian charges will be paid from the portfolio fund.
 - We agree that Utmost PanEurope can release our personal data to the authorised custodian should it be required to do so by the authorised custodian to enable it to comply with local laws or anti-money laundering practices.
14. We are aware of the charges payable on the Bond, including the charges payable in respect of the investments which may be held within it. We understand the charges exist partly to meet advice, promotion and distribution expenses. These may include initial and ongoing payments (such as commission) made by Utmost PanEurope to your financial adviser. These payments could be in addition to any commission payable by the investment provider to your financial adviser in respect of the investments held. Further details of the charges payable by you and the amounts payable to your financial adviser are available from your financial adviser on request.
15. We appoint the financial adviser specified in section K of our application form to act on our behalf in accordance with the Bond Policy Terms.

Appointment of a Lead Policyholder

- We agree to the appointment of the Lead Policyholder, who is named in this application, for the policies comprising our Utmost PanEurope European Executive Investment Bond - PRIIPs in accordance with the Policy Terms.
- We understand that this appointment is revocable and can be changed at any time (as explained in the Policy Terms).
- We understand that by agreeing to the appointment of the Lead Policyholder we authorise the Lead Policyholder to provide Utmost PanEurope with instructions to carry out and request certain Policy Transactions on behalf of all Policyholders. The instruction or request shall be deemed to have been addressed, sent and authorised on behalf of all Policyholders.
- We confirm that the company has authority to delegate all decisions on behalf of the company to the Lead Policyholder.
- We understand that these instructions will be legally binding and that Utmost PanEurope can act on instructions received from the Lead Policyholder.

Please enter the country in which this application form was completed.

EUROPEAN EXECUTIVE INVESTMENT BOND
APPLICATION FORM - NEW BUSINESS AND TOP-UP

This application must be completed by the applicants unless you have asked your financial adviser to complete it.

Did you complete this application form yourself Yes No

If No, did a third party, such as your financial adviser, complete it on your behalf? Yes No

QROPS / QNUPS applications will default to Irish Law unless we specify country of habitual residence / country of registration of the QROPS / QNUPS applicant here⁶

SIPP applications will default to English & Welsh Law (this cannot be changed)

By signing this declaration you confirm that you have read through the declaration and, if a third party has completed the application form on your behalf, that all the information provided in it is correct.

The QROPS/QNUPS/SIPP Trustees/Company QROPS/Company QNUPS as indicated in section A

SIGNATURE

Date

Name

Capacity

Where the laws of habitual residence/country of registration do not allow the Applicant to sign the application form on behalf of the Life Assured, by signing the application, the Life Assured consents to this application. The Life Assured is required to sign in all territories from which Utmost PanEurope currently accepts QROPS/QNUPS/SIPP business and failure to do so will delay the processing of the application.

SIGNATURE

Date

Copies of the Policy Terms and/or this completed application form are available from Utmost PanEurope on request.

A copy of the Policy Terms for your application are contained on the Utmost International website. Links to these Policy Terms and Conditions can be found here www.utmostinternational.com.

⁶ Please note Utmost PanEurope may reject your application if they are unable to offer a contract subject to your country of habitual residence/country of registration.

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Utmost PanEurope dac is registered in Ireland, registered number 311420. Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost PanEurope dac is regulated by the Central Bank of Ireland as a Life Insurance Undertaking.

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