

# SOURCE OF WEALTH QUESTIONNAIRE

## FOR PROVIDING DETAILS OF YOUR WEALTH

### USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

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All references to Utmost International, in this document mean Utmost International Isle of Man Limited, Utmost Worldwide Limited and Utmost PanEurope dac.

Under international anti-money laundering and combatting terrorist financing requirements, financial services companies are required to take reasonable measures to establish the Source of Wealth of certain clients. The term 'Source of Wealth' extends further than the funds to be invested, referred to as 'Source of Funds', and relates to a client's entire body of wealth.

The type of client that Source of Wealth requirements relate to will either be Politically Exposed Persons (PEPs) or clients with certain attributes which, under international standards, are regarded as representing an enhanced risk.

This questionnaire seeks to obtain information and documentation to establish the Source of Wealth of such clients.

### A DETAILS OF THE APPLICANT/POLICYHOLDER/INDIVIDUAL PROVIDING SOURCE OF WEALTH

**In this section please give your details. If the policy/application is in the name of a legal person or legal arrangement, please provide information on the individual who has generated the wealth behind the investment (referred to as the 'Beneficial Owner' below).**

Name of Applicant 1/  
Policyholder 1/Beneficial Owner 1

Name of Applicant 2/  
Policyholder 2/Beneficial Owner 2   
(if more than one)

Policy Number (if known)

Name of individual whose Source  
of Wealth is being assessed   
(if different from above)

Date of birth of individual whose  
Source of Wealth is being  
assessed

Residential address of individual  
whose Source of Wealth is being  
assessed   
(This information must be  
provided in full. We are unable  
to accept PO Boxes and 'care of'  
addresses)   
  
Postcode

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**B** SOURCES OF WEALTH

Please provide below an estimated breakdown of the sources from which the individual's current wealth is derived along with the relevant percentages, details including the geographic country and any open source links (URLs) which may provide additional information relating to the source.

SOURCE	%	DETAILS INCLUDING COUNTRY	URLS
<b>Earned Income (including salaries and bonuses)</b>  Please include details of employers and periods of employment.			
<b>Investment Income (e.g. interest, dividends, rents)</b>  Please include details of the main investments from which the income is derived.			
<b>Sale of financial assets (e.g. stocks, bonds, collectives)</b>  Please include details of the main assets sold to raise the proceeds.			
<b>Sale of business interests (e.g. sale of own company)</b>  Please provide name and address of the business(es) sold.			
<b>Sale of property (e.g. sale of residential property or portfolio of properties)</b>  Please provide details of the properties sold.			
<b>Inheritance (e.g. by will, receipt from trusts or under law of succession)</b>  Please provide details of the inheritance including the source, amount and date.			
<b>Settlement (such as divorce proceedings or arising from litigation)</b>  Please provide details of the settlement(s) including the source, amount and date.			
<b>Gifts (e.g. inter-spouse transfers or other lifetime gifts)</b>  Please provide details of the grantor, the amount and date.			
<b>Gaming wins (e.g. lottery wins)</b>  Please provide details of the win including the source, amount and date.			
<b>Other (please specify)</b>  Please provide details of any source not included above.			
<b>TOTAL</b>	100		

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**C** DETAILS OF ASSETS AND LIABILITIES

Please list below details of the individual's assets.

**1. INVESTMENTS (ASSET PORTFOLIOS, STOCKHOLDINGS AND OTHER FINANCIAL INSTRUMENTS OR PRODUCTS)**

Jurisdiction (i.e. where held)	Financial Institution	Account/Product Name	Currency	Value
<b>Total</b>				

**2. PENSIONS (COMPANY OR PERSONAL PENSIONS SCHEMES)**

Name of Scheme	Pension Provider	Currency	Approximate Fund Value
<b>Total</b>			

**3. PROPERTIES (COMMERCIAL OR RESIDENTIAL REAL ESTATE)**

Address of Property	Currency	Value	Outstanding Mortgage
<b>Total</b>			

**4. BUSINESS INTERESTS (SHARES IN PRIVATELY OWNED/UNLISTED COMPANIES OR PARTNERSHIPS)**

Name of Business	Address of Business	Website of Business	Ownership %	Currency	Value
<b>Total</b>					

**5. OTHER ASSETS (PERSONAL PROPERTY, VALUABLES, CARS, ARTWORK ETC)**

Name of Asset	Asset Description	Currency	Value
<b>Total</b>			

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Please list below details of the individuals liabilities.

6. LIABILITIES (CREDIT CARDS, LOANS, DEBTS)

Liability name	Asset Description	Currency	Value
Total			

D TOTAL NET WORTH

Please tick which currency the net worth is expressed in.

US\$

GBP£

Euro €

Other (please state)

Please state in the box below your total estimated net worth, represented by total assets minus total liabilities, both detailed in Section C.

E DECLARATION BY THE APPLICANT/POLICYHOLDER/BENEFICIAL OWNER

I declare that:

› To the best of my knowledge and belief, all the information provided in and with this questionnaire is true and complete.

SIGNATURE

Applicant 1/Policyholder 1/  
Beneficial Owner 1

Applicant 2/Policyholder 2/  
Beneficial Owner 2 (if applicable)

Print full name

Date

d	d	m	m	y	y	y	y
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d	d	m	m	y	y	y	y
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A WEALTH *of* DIFFERENCE

[www.utmostinternational.com](http://www.utmostinternational.com)

Utmost Worldwide Limited (No. 27151) also trading as Utmost Wealth Solutions, is incorporated in Guernsey.

It is authorised and regulated by the Guernsey Financial Services Commission to conduct long term business and general business.

Registered Office: Utmost House, Hirzel Street, St Peter Port, Guernsey, Channel Islands GY1 4PA.

Utmost Worldwide Limited also holds a permit issued by the Jersey Financial Services Commission to conduct long term business in Jersey.

Utmost Portfolio Management Limited (No. 42887) is incorporated in Guernsey. The company is a wholly owned subsidiary of Utmost Worldwide Limited.

It is authorised and regulated for a range of investment business activities by the Guernsey Financial Services Commission.

Registered Office: Utmost House, Hirzel Street, St Peter Port, Guernsey, Channel Islands GY1 4PA.

Utmost Worldwide Limited, Singapore Branch: 6 Battery Road #16-02, Singapore 049909 T+65 6672 9152 E [SingaporeRO@utmostworldwide.com](mailto:SingaporeRO@utmostworldwide.com)

Registered in Singapore as a Branch of a Foreign Company - Number T10 FC0110K.

Licensed by the Monetary Authority of Singapore as a direct insurer to carry on life business in Singapore.

Utmost Worldwide Limited, Switzerland Branch: Bahnhofstrasse 61, 8001 Zürich, Switzerland.

Utmost Worldwide Limited, St Peter Port, Switzerland Branch, Zürich is registered in Switzerland as a Branch of a Foreign Company - Number CHE 477.079.694.

Licensed by the Swiss Financial Market Supervisory Authority (FINMA) as an insurer to carry on insurance business in Switzerland.

Utmost Worldwide Limited, Hong Kong Branch: Unit 24028, Great Eagle Centre, 23 Harbour Road, Wanchai, Hong Kong.

Utmost Worldwide Limited is a Registered Non-Hong Kong Company under Registration No. F0002858.

Authorized to carry on Class C Linked long-term insurance business by the Insurance Authority of Hong Kong.

Utmost Wealth Solutions is the trading name used by Utmost Worldwide Limited and a number of Utmost companies.

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