

SOURCE OF WEALTH QUESTIONNAIRE

For providing details of a client's wealth (for high risk clients/clients subject to enhanced due diligence).

USING THE EDITABLE FIELDS?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

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All references to Utmost International, in this document mean Utmost International Isle of Man Limited, Utmost Worldwide Limited and Utmost PanEurope dac.

Under international anti-money laundering and combatting terrorist financing requirements, financial services companies are required to take reasonable measures to establish the Source of Wealth of certain clients. The term 'Source of Wealth' extends further than the funds to be invested, referred to as 'Source of Funds', and relates to a client's entire body of wealth.

The type of client that Source of Wealth requirements relate to will either be Politically Exposed Persons (PEPs) or clients with certain attributes which, under international standards, are regarded as representing an enhanced risk.

This questionnaire seeks to obtain information and documentation to establish the Source of Wealth of such clients.

A DETAILS OF THE APPLICANT/POLICYHOLDER/INDIVIDUAL PROVIDING SOURCE OF WEALTH

In this section please give your details. If the policy/application is in the name of a legal person or legal arrangement, please provide information on the individual who has generated the wealth behind the investment (referred to as the 'Beneficial Owner' below).

Name of Applicant 1/
Policyholder 1/Beneficial Owner 1

Name of Applicant 2/
Policyholder 2/Beneficial Owner 2
(if more than one)

Policy Number (if known)

Name of individual whose Source
of Wealth is being assessed
(if different from above)

Date of birth of individual whose
Source of Wealth is being
assessed

Residential address of individual
whose Source of Wealth is being
assessed
(This information must be
provided in full. We are unable
to accept PO Boxes and 'care of'
addresses)

Postcode

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B SOURCES OF WEALTH

Please provide below an estimated breakdown of the sources from which the individual's current wealth is derived along with the relevant percentages, details including the geographic country and any open source links (URLs) which may provide additional information relating to the source.

SOURCE	%	AMOUNT	DETAILS INCLUDING COUNTRY	URLS
<p>Earned Income (including salaries and bonuses)</p> <p>Please include details of employers and periods of employment.</p>				
<p>Investment Income (e.g. interest, dividends, rents)</p> <p>Please include details of the main investments from which the income is derived.</p>				
<p>Sale of financial assets (e.g. stocks, bonds, collectives)</p> <p>Please include details of the main assets sold to raise the proceeds.</p> <p>Gains only to be included in this section. For the original capital invested, please indicate the source in the relevant section.</p>				
<p>Sale of business interests (e.g. sale of own company)</p> <p>Please provide name and address of the business(es) sold.</p> <p>Please indicate % of shares held and sold in the business in the details section.</p>				
<p>Sale of property (e.g. sale of residential property or portfolio of properties)</p> <p>Please provide details of the properties sold.</p>				
<p>Inheritance (e.g. by will, receipt from trusts or under law of succession)</p> <p>Please provide details of the inheritance including the source, amount and date.</p> <p>Please indicate the relationship between deceased party and heir, along with the activity that generated the amount received in the details section.</p>				
<p>Settlement (such as divorce proceedings or arising from litigation)</p> <p>Please provide details of the settlement(s) including the source, amount and date.</p> <p>Please indicate the activity that generated the amount received in the details section.</p>				
<p>Gifts (e.g. inter-spouse transfers or other lifetime gifts)</p> <p>Please provide details of the grantor, the amount and date.</p> <p>Please indicate the activity that generated the amount received in the details section.</p>				

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Gaming wins (e.g. lottery wins) Please provide details of the win including the source, amount and date.					
Other (please specify) Please provide details of any source not included above.					
TOTAL	100				

C DETAILS OF ASSETS AND LIABILITIES

Please list below details of the individual's assets.

1. INVESTMENTS (ASSET PORTFOLIOS, STOCKHOLDINGS AND OTHER FINANCIAL INSTRUMENTS OR PRODUCTS)				
Jurisdiction (i.e. where held)	Financial Institution	Account/Product Name	Currency	Value
Total				

2. PENSIONS (COMPANY OR PERSONAL PENSIONS SCHEMES)			
Name of Scheme	Pension Provider	Currency	Approximate Fund Value
Total			

3. PROPERTIES (COMMERCIAL OR RESIDENTIAL REAL ESTATE)				
Address of Property	Currency	Value	Outstanding Mortgage	
Total				

4. BUSINESS INTERESTS (SHARES IN PRIVATELY OWNED/UNLISTED COMPANIES OR PARTNERSHIPS)					
Name of Business	Address of Business	Website of Business	Ownership %	Currency	Value
Total					

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5. OTHER ASSETS (PERSONAL PROPERTY, VALUABLES, CARS, ARTWORK ETC)

Name of Asset	Asset Description	Currency	Value
Total			

Please list below details of the individuals liabilities.

6. LIABILITIES (CREDIT CARDS, LOANS, DEBTS)

Liability name	Asset Description	Currency	Value
Total			

D TOTAL NET WORTH

Please tick which currency the net worth is expressed in.

US\$

GBP£

Euro €

Other (please state)

Please state in the box below your total estimated net worth, represented by total assets minus total liabilities, both detailed in Section C.

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E DECLARATION BY THE APPLICANT/POLICYHOLDER/BENEFICIAL OWNER

I declare that:

› To the best of my knowledge and belief, all the information provided in and with this questionnaire is true and complete.

	Applicant 1/Policyholder 1/ Beneficial Owner 1	Applicant 2/Policyholder 2/ Beneficial Owner 2 (if applicable)
SIGNATURE	<input type="text"/>	<input type="text"/>
Print full name	<input type="text"/>	<input type="text"/>
Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Utmost Worldwide Limited (No. 27151) also trading as Utmost Wealth Solutions, is incorporated in Guernsey.

It is authorised and regulated by the Guernsey Financial Services Commission to conduct long term business and general business.

Registered Office: Utmost House, Le Truchot, St. Peter Port, Guernsey, GY1 1GR.

Utmost Worldwide Limited also holds a permit issued by the Jersey Financial Services Commission to conduct long term business in Jersey.

Utmost Worldwide Limited, Singapore Branch: 6 Battery Road #16-02, Singapore 049909 T+65 6672 9152 E SingaporeRO@utmostworldwide.com

Registered in Singapore as a Branch of a Foreign Company - Number T10 FC0110K.

Licensed by the Monetary Authority of Singapore as a direct insurer to carry on life business in Singapore.

Websites may refer to products that are not authorised or regulated and/or are not available for offering to planholders in certain jurisdictions.

Utmost Worldwide Limited, Switzerland Branch: Bahnhofstrasse 61, 8001 Zürich, Switzerland.

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Licensed by the Swiss Financial Market Supervisory Authority (FINMA) as an insurer to carry on insurance business in Switzerland.

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Utmost Worldwide Limited is a Registered Non-Hong Kong Company under Registration No. F0002858.

Authorized to carry on Class C Linked long-term insurance business by the Insurance Authority of Hong Kong.

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Utmost Wealth Solutions is the trading name used by Utmost Worldwide Limited and a number of Utmost companies.

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