

APPOINTMENT OF FINANCIAL ADVISER

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost Ireland dac.

IMPORTANT NOTES

Please ensure that all sections are fully completed. Please note that in this form words in the singular shall include the plural and vice versa.

HOW TO COMPLETE THIS FORM

Please complete this form using **black ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. **Please do not use correction fluid.**

SIGNATURE This symbol highlights the signature sections within this form which need to be signed by the policyholder, authorised signatory or trustee as applicable.

If you have any queries please contact your financial adviser or our Customer Support team on **+44 (0)1624 643 345**.

PRIVACY STATEMENT

Our Privacy Statement explains when and why we collect personal information about our customers, how we use it, the conditions under which we may share it with others and how we keep it secure. It also explains how long we keep customer information for, how a customer can obtain details of the information we keep and the choices customers have about how we use that information. You can find a copy at www.utmostwealth.com/privacy-statement or you can request a copy from our Customer Service Team.

INVESTMENT ADVISERS AND/OR EXTERNAL MANAGER AND/OR CUSTODIAN (EMC)

If you have a bond other than Delegation and wish to nominate a new Investment Adviser and/or EMC on your bond, please complete a **Nomination of Investment Adviser** form or a **Nomination of External Manager and/or Custodian (EMC)** form.

If you have a Delegation bond and wish to nominate a new EMC to manage your investment, please complete the **Delegation- Nomination of External Manager and/or Custodian** form.

ADVISER CHARGING

If you want us to facilitate any adviser charges to your financial adviser, please make sure you complete the relevant section within our separate **Adviser Charges pack**.

For detailed information about adviser charges and how these may be applicable to you, please see our **Guide to charges**.

All forms are available from our website www.utmostwealth.com or from your financial adviser on request.

CHECKLIST

We want to process the appointment as quickly as possible. To help us do this please remember:

- › If you are sending any additional information or documentation, please attach them securely to the back of the form.
- › Please ensure that the form has been signed by all policyholders, Trustees or authorised signatories, as applicable.
- › If Utmost Ireland is facilitating any initial, ongoing or ad-hoc charges, you must complete the relevant section of the separate **Adviser Charges pack**.
- › If you wish to nominate an Investment Adviser or EMC that you have completed a **Nomination of Investment Adviser** form or a **Nomination of External Manager and/or Custodian (EMC)** form.
- › For Delegation bonds only - if you wish to nominate a new EMC to manage the investment ensure that you have completed a **Delegation - Nomination of External Manager and/or Custodian (EMC)** form.

WHAT TO DO WHEN YOU'VE COMPLETED THIS FORM

Please send the completed form to Utmost Ireland dac, Ashford House, Tara Street, Dublin 2, D02 VX67, Ireland.

A APPOINTMENT

1	Policy number	<input type="text"/>
2	Policy owner	<input type="text"/>
3	Name of firm to be appointed (the financial adviser)	<input type="text"/>
4	Name of contact person	<input type="text"/>
5	FCA number	<input type="text"/>
6	Address of financial adviser	<input type="text"/>
	Postcode	<input type="text"/>
7	Terms of Business Number (if known)	<input type="text"/>

B EXISTING COMMISSION

BONDS WHICH PAY COMMISSION

If your bond was issued before adviser charging applied (normally before 31 December 2012) this section may be relevant to you.

By requesting the appointment of a new financial adviser, any ongoing commission arrangements on your bond will normally stop. However, by ticking the box below, you may elect to re-register the current ongoing commission to your new financial adviser to pay, or part pay, for any ongoing adviser charges. Your new adviser will need to provide you with an ongoing service for re-registration to apply, and you will need to agree this with them before completing this section. There may be tax benefits to you if you re-register the commission. For more information please contact your new financial adviser who will be able to assist you.

I have agreed with the firm set out in Section A to re-register any ongoing commission payments to them

C DECLARATION

I hereby appoint the firm in Section A as my financial adviser (the Adviser), subject to the terms of my bond. I understand that:

1. this appointment may be terminated by me, the Adviser or Utmost Ireland dac (the Company) at any time by giving prior written notice to all other parties, and
2. the Company will pass details concerning my bond to the Adviser unless the Company is informed that the Adviser is no longer acting for me.

The Company will not pass your personal information to any other party claiming to act for you unless you give us authority in writing to do so.

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Print full name																			
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A WEALTH *of* DIFFERENCE

Utmost Wealth Solutions is the trading name used by a number of Utmost companies. Utmost Trustee Solutions is the trading name used by Utmost Trustee Solutions Limited. This item has been issued by: Utmost Ireland dac.

The following companies are registered in the Isle of Man: Utmost Limited (No 056473C), Utmost Administration Limited (No 109218C) and Utmost Trustee Solutions Limited (No 106739C), which are regulated or licenced by the Isle of Man Financial Services Authority. Utmost Services Limited (No 059248C) is not regulated. Each of the above companies has its registered office at: Royalty House, Walpole Avenue, Douglas, Isle of Man, IM1 2SL British Isles.

The following companies are registered in Ireland: Utmost Ireland dac, trading as Utmost Wealth Solutions, is regulated by the Central Bank of Ireland. Its registered number is 303257 and it has its registered office at: Ashford House, Tara Street, Dublin 2, D02 VX67, Ireland. Utmost PanEurope dac, trading as Utmost Wealth Solutions, is regulated by the Central Bank of Ireland. Its registration number is 311420 and it has its registered office at: Navan Business Park, Athlumney, Navan, Co. Meath C15 CCW8, Ireland. Its FCA number is 426350. Both companies are authorised by the Financial Conduct Authority in the UK for Conduct of Business Rules.