

CHANGING THE ASSETS MY FRENCH EXECUTIVE PORTFOLIO IS LINKED TO

SELFSELECT INVESTMENT STRATEGY



Please use BLOCK CAPITALS and black ink, or type, and do not use correction fluid. You may photocopy this form if required. Please read the guidance notes overleaf before completing this form. When completed, please scan and email to IOMdealing@utmostinternational.com or fax to +353 1 475 1023. The original form is not required once we have received your email or fax.

Contact/company name (if applicable)	<input type="text"/>	Telephone number	<input type="text"/>
Policyholder	<input type="text"/>	Fax number	<input type="text"/>
Policy number	<input type="text"/>	Email address	<input type="text"/>

Where a cash value is provided, the trading value will be based on the previous day's closing price and will therefore be subject to market movements. This could result in an investment or sale instruction that does not reflect the exact value of the trade that was intended. Please note where there is a buy or sell transaction please ensure that you provide details of any corresponding transaction, otherwise we will not be able to carry out the transaction.

BUY/SELL (INDICATE ONE ONLY)	CURRENCY TO INVEST/REDEEM	PLEASE COMPLETE ONE ONLY		SEDOL/ISIN	FULL SECURITY, FUND NAME & CURRENCY (WHERE APPLICABLE INCLUDE CONFIRMATION OF INCOME, ACCUMULATION AND/OR SHARE CLASS, E.G. A, B OR C).
		CASH VALUE TO LINK MY POLICY VALUE TO	NO. OF UNITS/SHARES		

Additional comments

I/We the policy holder(s):

- > have had the opportunity to read any relevant offering documents for any fund(s) relating to any dealing instructions, where I/we wish to do so, and as such, have received, or had sight of information concerning, and accept the levels of risk associated with, this type of investment, including the risk that this investment could result in a loss of a significant proportion, or all of the sum invested;
- > have had the opportunity (where relevant) to review the guidance notes on page 2 of this document;
- > have received advice on this investment in a territory in which the adviser is authorised;
- > are aware of the fees payable within this investment (if relevant) and that these fees exist partly to meet promotion and distribution expenses of the fund(s), including commission paid to my/our fund adviser; and
- > understand that Utmost International may receive payments in the form of fund manager rebates, from an investment provider in respect of the investments held, and which Utmost International may share with my/our financial adviser.

Signature of fund adviser or policy owners 1 2 Date

d	d	m	m	y	y	y	y
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(Policy owners must sign where no fund adviser has been appointed)

GUIDANCE NOTES - TERMINOLOGY

All references to Utmost International mean Utmost PanEurope dac.

FORM REQUIREMENTS

- › **Buy/Sell** - Enter either 'BUY' or 'SELL' in the relevant section, indicating what action should be taken for each asset.
- › **Currency to invest/redeem** - In the case of a purchase (BUY), enter the currency of the cash to be used to purchase the stock. In the case of a sale (SELL), enter the currency of the portfolio cash account that you wish the proceeds to be credited to.
- › **Cash value/No. of units/shares** - For purchases, sales or switch orders, please state either the cash amount or the number of units/shares.
- › **Security Identifier** - If known, please provide a recognised security identifier for all security/fund purchase or sale requests, e.g. a SEDOL or ISIN number. This will enable us to act upon your instructions more efficiently.
- › **Security/Fund name** - Ensure the full name of the security/fund is clearly stated and do not use abbreviations.
- › **Share class** - Where applicable, include confirmation of income/accumulation unit requirements and confirmation of required share class e.g. A, B or C.
- › **Contact details** - Ensure that your contact name, email address, telephone number and/or fax number are clearly legible, so that we can contact you if we need any clarification of your instructions.

KEY POINTS

- › **Sufficient cash** - If when switching units between underlying assets, the proceeds exceed the amount to be invested in new assets, the balance will be held in a money market fund denominated in the policy currency. To avoid this you can ensure that any request to 'buy' request is matched in 'sell' proceeds.
- › **Sufficient holding** - An instruction to sell a holding cannot be actioned unless there are sufficient shares/units held to cover the sale.
- › **Client confirmation** - Where required, signed confirmation should always be provided with the dealing instruction.
- › **Minimum investments** - The minimum amount you can link of your policy value to an asset is £400/US\$600/€600/CHF800/SEK4,800. Please note that fund managers may set their own minimum investment levels that could be higher and you should be aware of these before submitting instructions. You can access information on Assets, including a copy of the fund Key Information Document (KID) or the Key Investor Information Document (KIID) from the fund provider or through Wealth Interactive.
- › **Dealing confirmations** - Dealing/contract note confirmations are available to view on our Online Service Account, 24 hours after being received and executed by our settlements team. If you require any assistance, please contact Utmost International on +44 (0)1624 655555.

INVESTMENT RESTRICTIONS

Permitted Asset Types

The Assets available for selection are shown in the French Executive Portfolio Asset List and Policy Terms. You can access information on Assets, including a copy of the fund Key Information Document (KID) or the Key Investor Information Document (KIID), where applicable, from the fund provider or through Wealth Interactive.

Specific Assets are made available for selection provided they meet our technical acceptance criteria. The Asset must meet certain Irish regulations, be within Article L. 131-1 of the French Insurance Code and comply with our own administrative requirements.

If you have any questions or need any clarification of these guidance notes, please contact our dealing desk on +44 (0)1624 655005, or IOMdealing@utmostinternational.com. Alternatively, please contact your financial adviser. **A delay may occur if the instructions are illegible, unclear, incomplete or relate to an asset which is not normally permitted. We are unable to backdate transactions delayed by the need to clarify your instructions.**

A WEALTH *of* DIFFERENCE

www.utmostinternational.com

Utmost PanEurope dac (registered number 311420) is regulated by the Central Bank of Ireland.

Registered Office address: Navan Business Park, Athlumney, Navan, Co. Meath, C15 CCW8, Ireland.

Utmost PanEurope dac is a Category A Insurance Permit holder with the Jersey Financial Services Commission.

Utmost Wealth Solutions is registered in Ireland as a business name of Utmost PanEurope dac.

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